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# SHASHI THAROOR



## INGLORIOUS EMPIRE

*What the British Did to India*



SCRIBER

## **Inglorious Empire**

Shashi Tharoor served for twenty-nine years at the UN, culminating as Under-Secretary General. He is a Congress MP in India, the author of fourteen previous books and has won numerous literary awards, including a Commonwealth Writers' Prize. Tharoor has a PhD from the Fletcher School and was named by the World Economic Forum in Davos in 1998 as a Global Leader of Tomorrow.

*For my sons, Ishaan and Kanishk,  
whose love of history equals,  
and knowledge of it exceeds,  
my own*

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But 'tis strange.  
And oftentimes, to win us to our harm,  
The instruments of darkness tell us truths...

William Shakespeare, *Macbeth*, Act I, scene iii Thy hand, great Anarch! lets the  
curtain fall;  
And universal darkness buries all.

Alexander Pope, *The Dunciad*

We live in the flicker—may it last as long as the old earth keeps rolling!  
But darkness was here yesterday.

Joseph Conrad, *Heart of Darkness*

India—a hundred Indias—whispered outside beneath the indifferent moon, but  
for the time India seemed one and their own,  
and they regained their departed greatness by hearing its departure lamented...

E. M. Forster, *A Passage to India*

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# CHRONOLOGY



<b>1600</b>	British Royal Charter forms the East India Company, beginning the process that will lead to the subjugation of India under British rule.
<b>1613– 14</b>	British East India Company sets up a factory in Masulipatnam and a trading post at Surat under William Hawkins. Sir Thomas Roe presents his credentials as ambassador of King James I to the Mughal Emperor Jehangir.
<b>1615– 18</b>	Mughals grant Britain the right to trade and establish factories.

**1700**

India, under Mughal Emperor Aurangzeb, accounts for 27 per cent of the world economy.

**1702**

Thomas Pitt, Governor of Madras, acquires the Pitt Diamond, later sold to the Regent of France, the Duc d'Orléans, for £135,000.

**1739**

Sacking of Delhi by the Persian Nadir Shah and the loot of all its treasures.

**1751**

Robert Clive (1725–74), aged twenty-six, seizes Arcot in modern-day Tamil Nadu as French and British fight for control of South India.

**1757**

British under Clive defeat Nawab Siraj-ud-Daula to become rulers of Bengal, the richest province of India.

<b>1765</b>	Weakened Mughal Emperor Shah Alam II issues a <i>diwani</i> that replaces his own revenue officials in the provinces of Bengal, Bihar and Orissa with the East India Company's.
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<b>1767</b>	First Anglo-Mysore War begins, in which Hyder Ali of Mysore defeats the combined armies of the East India Company, the Marathas and the Nizam of Hyderabad.
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<b>1771</b>	Marathas recapture Delhi.
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**1772**

Birth of Rammohan Roy (d. 1833). British establish their capital in Calcutta.

<b>1773</b>	British East India Company obtains monopoly on the production and sale of opium in Bengal. Lord North's Regulating Act passed in Parliament. Warren Hastings appointed as first Governor-General of India.
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<b>1781</b>	Hyder Ali's son, Tipu Sultan, defeats British forces.
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<b>1784</b>	Pitt the Younger passes the India Act to bring the East India Company under Parliament's control. Judge and linguist Sir William Jones founds Calcutta's Royal Asiatic Society.
<b>1787–95</b>	British Parliament impeaches Warren Hastings, Governor-General of Bengal (1774–85), for misconduct.

**1793**

British under Lord Cornwallis introduce the 'permanent settlement' of the land revenue system.

**1799**

Tipu Sultan is killed in battle against 5,000 British soldiers who storm and raze his capital, Srirangapatna (Seringapatam).

**1803**

Second Anglo-Maratha War results in British capture of Delhi and control of large parts of India.



<b>1806</b>	Vellore mutiny ruthlessly suppressed.
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**1825**

First massive migration of Indian workers from Madras to Reunion and Mauritius.

<b>1828</b>	Rammohan Roy founds Adi Brahma Samaj in Calcutta, first movement to initiate socio-religious reform. Influenced by Islam and Christianity, he denounces polytheism, idol worship and more.
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**1835**

Macaulay's *Minute* furthers Western education in India. English is made official government and court language.

**1835**

Mauritius receives 19,000 migrant indentured labourers from India.  
Workers continued to be shipped to Mauritius till 1922.

<b>1837</b>	Kali-worshipping thugs suppressed by the British.
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<b>1839</b>	Preacher William Howitt attacks British rule in India.
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<b>1843</b>	British conquer Sindh (present-day Pakistan). British promulgate 'doctrine of lapse', under which a state is taken over by the British whenever a ruler dies without an heir.
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<b>1853</b>	First railway built between Bombay and Thane.
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<b>1857</b>	First major Indian revolt, called the Sepoy Mutiny or Great Indian Mutiny by the British, ends in a few months with the fall of Delhi and Lucknow.
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<b>1858</b>	Queen Victoria's Proclamation taking over in the name of the Crown the governance of India from the East India Company. Civil service jobs in India are opened to Indians.
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<b>1858</b>	India completes first 200 miles of railway track.
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<b>1860</b>	SS <i>Truro</i> and SS <i>Belvedere</i> dock in Durban, South Africa, carrying first indentured servants (from Madras and Calcutta) to work in sugar plantations.
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<b>1861</b>	Rabindranath Tagore is born (d. 1941).
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<b>1863</b>	Swami Vivekananda is born (d. 1902).
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<b>1866</b>	At least a million and a half Indians die in the Orissa Famine.
<b>1869– 1948</b>	Lifetime of Mohandas Karamchand Gandhi, Indian nationalist and political activist who develops the strategy of non-violent disobedience that forces Britain to grant independence to India (1947).



<b>1872</b>	First British census conducted in India.
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**1876**

Queen Victoria (1819–1901) is proclaimed Empress of India (1876–1901). Major famine of 1876–77 mishandled by Viceroy Lord Lytton.

**1879**

The *Leonidas*, first emigrant ship to Fiji, adds 498 Indian indentured labourers to the nearly 340,000 already working in other British empire colonies.

<b>1885</b>	A group of middle-class intellectuals in India, some of them British, establish the Indian National Congress to be a voice of Indian opinion to the British government.
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<b>1889</b>	Jawaharlal Nehru is born (d. 1964).
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<b>1891</b>	B. R. Ambedkar is born (d. 1956).
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<b>1893</b>	Swami Vivekananda represents Hinduism at Chicago's Parliament of the World's Religions, and achieves great success with his stirring addresses.
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<b>1896</b>	Nationalist leader and Marathi scholar Bal Gangadhar Tilak (1856–1920) initiates Ganesha Visarjan and Shivaji festivals to fan Indian nationalism. He is the first to demand ‘purna swaraj’ or complete independence from Britain.
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**1897**

Queen Victoria's Diamond Jubilee celebrated amid yet another famine in British India.

<b>1900</b>	India's tea exports to Britain reach £137 million.
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<b>1901</b>	Herbert Risley conducts first ethnographic census of India.
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<b>1903</b>	Lord Curzon's grand Delhi Durbar.
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<b>1905</b>	Partition of Bengal rouses strong opposition. Swadeshi movement and boycott of British goods initiated. Lord Curzon, prominent British viceroy of India, resigns.
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**1906**

The Muslim League political party is formed in India at British instigation.

<b>1909</b>	Minto–Morley Reforms announced.
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**1911**

Final imperial durbar in Delhi; India's capital changed from Calcutta to Delhi. Cancellation of Partition of Bengal.



<b>1913</b>	Rabindranath Tagore wins Nobel Prize in Literature.
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**1914**

Indian troops rushed to France and Mesopotamia to fight in World War

I.

<b>1915</b>	Mahatma Gandhi returns to India from South Africa.
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<b>1916</b>	<i>Komagata Maru</i> incident: Canadian government excludes Indian citizens from immigration. Lucknow Pact between Congress and Muslim League.
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**1917**

Last Indian indentured labourers are brought to British colonies of Fiji and Trinidad.

**1918**

Spanish Influenza epidemic kills 12.5 million in India, 21.6 million worldwide.

<b>1918</b>	World War I ends.
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<b>1919</b>	Jallianwala Bagh massacre. General Dyer orders Gurkha troops to shoot unarmed demonstrators in Amritsar,
	killing at least 379. Massacre convinces Gandhi that India must demand full independence from oppressive British rule. Montagu–Chelmsford Reforms promulgated. Rowlatt Acts passed.



**1920**

Gandhi formulates the *satyagraha* strategy of non-cooperation and non-violence. Khilafat movement launched.

<b>1922</b>	Non-cooperation movement called off by Mahatma Gandhi after Chauri Chaura violence.
<b>1927 &amp; 1934</b>	Indians permitted to sit as jurors and court magistrates.

<b>1930</b>	Jawaharlal Nehru becomes president of the Congress party. Purna Swaraj Resolution passed in Lahore. Will Durant arrives in India and is shocked by what he discovers of British rule. Mahatma Gandhi conducts the Salt March.
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<b>1935</b>	Government of India Act.
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<b>1937</b>	Provincial elections in eleven provinces; Congress wins eight.
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<b>1939</b>	World War II breaks out. Resignation of Congress ministries in protest against not being consulted by viceroy before declaration of war by India.
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<b>1940</b>	Lahore Resolution of Muslim League calls for the creation of Pakistan.
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<b>1942</b>	Cripps Mission. Quit India movement. Congress leaders jailed. Establishment of Indian National Army (Azad Hind Fauj) by Subhas Chandra Bose to fight the British.
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<b>1945</b>	Congress leaders released. Simla Conference under Lord Wavell.
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**1946**

Royal Indian Navy Mutiny. Elections nationwide; Muslim League wins majority of Muslim seats. Cabinet Mission. Interim government formed under Jawaharlal Nehru. Jinnah calls Direct Action Day. Violence erupts in Calcutta.

**1947**

India gains independence on 15 August. Partition of the country amid mass killings and displacement. Britain exits India.

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*Shashi Tharoor* Paro, Bhutan

August 2016

# PREFACE

This book, somewhat unusually, began as a speech.

At the end of May 2015, I was invited by the Oxford Union to speak on the proposition ‘Britain Owes Reparations to Her Former Colonies’. Since I was already scheduled to speak at the Hay Festival of Literature later that week, I thought it might be pleasant to stop in Oxford on the way and debate there again (as I had once done, on behalf of the United Nations, a decade earlier). The event, in the Union’s impressive wood-panelled premises, dating back to 1879, was a success and I left pleased enough, but without giving the proceedings a second thought.

In early July, however, the Union posted the debate on the web, and sent me a video copy of my own speech. I promptly tweeted a link to it—and watched in astonishment as it went viral. Within hours it was being downloaded and replicated on hundreds of sites, sent out on WhatsApp and forwarded by email. One site swiftly crossed over three million views; others did not keep track, but reported record numbers of hits. Right-wing critics of mine suspended their ‘trolling’ of me on social media to hail my speech. The Speaker of the lower house of the Indian parliament, the Lok Sabha, went out of her way to laud me at a function attended by the Prime Minister, Narendra Modi, who then congratulated me for having said ‘the right things at the right place’. Schools and colleges played the speech to their students; one university, the Central University of Jammu, organized a day-long seminar at which eminent scholars addressed specific points I had raised. Hundreds of articles were written, for and against what I had said. For months, I kept meeting strangers who came up to me in public places to praise my ‘Oxford speech’.

I was pleasantly surprised but also a bit perplexed. For one thing, though I had spoken well enough for my side to win the debate by a two-thirds majority of the audience, I knew I had made better speeches that had not acquired a tenth of the fan following this one had. For another, I honestly did not think I had said anything terribly new. My analysis of the iniquities of British colonialism was based on what I had read and studied since my childhood, and I thought the arguments I was making were so basic that they constituted what Americans

would call ‘Indian Nationalism 101’—the fundamental, foundational arguments that justified the Indian struggle for freedom from British rule. Similar things had been said by Indian nationalists in the late nineteenth century, and by Jawaharlal Nehru and a host of others in the twentieth.

Yet the fact that my speech struck such a chord with so many listeners suggested that what I considered basic was unfamiliar to many, perhaps most, educated Indians. They reacted as if I had opened their eyes, instead of merely reiterating what they had already known.

It was this realization that prompted David Davidar to insist I convert my speech into a short book—something that could be read and digested by the layman but also be a valuable source of reference to students and others looking for the basic facts about India’s experience with British colonialism. The moral urgency of explaining to today’s Indians—and Britons—why colonialism was the horror it turned out to be could not be put aside.

The book differs from the speech in some crucial respects. It is not about reparations, for one thing. My speech led up to that argument because that was the topic the Oxford Union had announced, not because I was personally wedded to the case for reparations. I was convinced about the wrongs inflicted on colonial subjects by the British empire, but I suggested at the end of my speech that India should be content with a symbolic reparation of one pound a year, payable for 200 years to atone for 200 years of imperial rule. I felt that atonement was the point—a simple ‘sorry’ would do as well—rather than cash. Indeed, the attempt by one Indian commentator, Minhaz Merchant, to compute what a fair sum of reparations would amount to, came up with a figure so astronomical—\$3 trillion in today’s money—that no one could ever reasonably be expected to pay it. (The sum would be larger than Britain’s entire GDP in 2015.)

This book is also not about British colonialism as a whole, but simply about India’s experience of it. This is partially because discussing the entire history of British colonialism, as the speakers at the Oxford Union did, would have made for a huge and unwieldy book, but also because I simply don’t know enough about it, whereas Indian history is a field I have delved into since my student days. I do not mean to discount the horrors of the British colonization of Africa, or the monstrosity of the slave trade, for which reparations may well be justified

(it is striking that when slavery was abolished, the British government paid compensation, not to the men and women so inhumanely pressed into bondage, but to their former owners, for their ‘loss of property’!) There are others who can do justice to those issues; I hope I have done justice in this volume to the specific case of British rule in India.<sup>1</sup>

[1 As I was typing this last sentence, somewhat hastily, my spellcheck offered ‘Brutish’ as an acceptable substitute for ‘British’ rule in India.]

There is a third respect in which this book differs from my speech. At Oxford I was arguing one side of a debate; there was little room for nuance or acknowledgement of counter-arguments. In a book laying out the ‘Evils of Empire’, however, I feel duty-bound to take into account the arguments for the British Raj as well. This I have done in each chapter, especially in chapter 2, and in chapters 3 to 7 in which I consider and reject most of the well-worn remaining arguments in favour of the British empire in India. I have supplemented my own years of reading with extensive research both into colonial-era texts and into more recent scholarly work on the British in India, all duly cited in the notes at the end. I hope my arguments have sufficient expert backing, therefore, to be regarded seriously even by those who may disagree with me.

Finally, this book makes an argument; it does not tell a story. Readers looking for a chronological narrative account of the rise and fall of the British empire in India will not find it here; the sequence of events is outlined only in the chronology preceding this Preface. The purpose of this volume is to examine the legacy of the Raj, to critically study the claims made for its alleged benefits, and to present the evidence and the arguments against it.

My speech did not, of course, arouse universal approbation. For one thing, in the context of the debate I could scarcely acknowledge that many aspects of Empire were far more complicated in nature or ambiguous in impact than any generalization of good or evil could do sufficient justice to. This book is built on the premise that many of the issues involved require more complex treatment and substantiation than is possible in a debating chamber. In addition, several other arguments were made in response to my speech that should be acknowledged here, even though they do not fit directly into the themes of any of my chapters.

The most common of these criticisms is that India’s postcolonial failings invalidate my attacks on Britain’s colonial cruelties. ‘Tharoor might have won



the debate—but moral victory eludes India’ wrote a columnist in *TIME* magazine, arguing that the Indian government’s performance since Independence indicates that there is no evidence that any reparations paid to India would be spent well, or would reach the intended beneficiaries. One blogger added, for good measure, that the deplorable attitude of India’s post-Independence authorities is evident from over millions of tons of food grains that were found damaged in the government’s storage depots in 2010, as if incompetence after Independence justified the famines that occurred before it.

My position as a Member of Parliament for the Indian National Congress party, which had ruled India for fifty-two of its sixty-eight years of independence (at the time I made my Oxford speech), left me vulnerable to another line of attack. Commentator Jonathan Foreman put it most bluntly: ‘[T]he Congress Party,’ he declaimed somewhat intemperately, ‘misruled India for more than six decades, all the time becoming increasingly arrogant and corrupt, and seeming almost as insulated from ordinary Indians as their British predecessors had been.’ Indian leaders from the Congress were responsible for India’s woeful ‘Hindu rate of growth’, and ‘because of the ruling elite’s neglect of basic education and literacy, their obsession with socialist planning, their fostering of the “Licence Raj”, and their corrupt deals with a handful of monopolistic business families, countries like South Korea and even Mexico overtook India in per capita GDP between 1950 and 1980.’

Some of these criticisms are legitimate—indeed, I have made variants of them myself in my own books, though not in such extreme or trenchant terms—but one set of failings do not invalidate another. Nor can twenty decades of colonial oppression be undone in six; the record of Indian, indeed Congress, governments is in most respects vastly better than that of their British colonial predecessors in India, especially on such indices as GDP growth, literacy, poverty eradication, life expectancy and overcoming droughts and crop failures. History, in any case, cannot be reduced to some sort of game of comparing misdeeds in different eras; each period must be judged in itself and for its own successes and transgressions.

The fact that reparations were a centrepiece of the Oxford debate added fuel to my critics’ fire. One Indian commentator argued that the claim for reparation revealed India’s insecurities and low self-esteem; Indians making this argument were transferring responsibility to the British for the subsequent failures of

Indian rule. Others pointed out that it would be impossible to identify the beneficiaries who genuinely deserved to receive compensation for colonial exactions.

In any case, some averred, Britain has in effect provided reparations in the form of aid to India over the years—not, by any means, as acceptance of guilt, but out of British generosity to their former colonial subjects. More than enough has been unilaterally transferred from Britain to India post-independence, and not just as aid; according to historian John MacKenzie, one of my adversaries at Oxford, British companies ‘can be said to have fostered part of the outsourcing boom that India experienced which can be considered a form of reparations’. Another debater against the Oxford motion, Sir Richard Ottaway, MP, argued that given the voluntary aid extended by wealthier countries to poorer ones, ‘to demand even more is to maintain the old inferiority complex’.

I need scarcely point out, of course, that I did not demand more; I demanded less—just a symbolic one pound a year. But that is also beside the point. I used Oxford’s reparations motion to raise the issue of the moral debt Britain owed her former colonies, not a financial one. And as for aid, British aid amounts to less than 0.02 per cent of India’s GDP, and somewhat less than the Government of India spends on fertilizer subsidies—an appropriate metaphor, perhaps, for the aid argument.

Many pointed out that today’s Britons bore no responsibility for the transgressions of their forebears and should not be expected to bear the burden of reparations for sins in which they played no part. Nor, for that matter, were today’s Indians worthy of being compensated for the sufferings of their ancestors. Compensation should be paid to the victims, not to their grandchildren, and by the wrongdoers, not by their grandchildren.

Fair enough, but this elides the sense of national identity and responsibility that characterizes most countries. When Willy Brandt was chancellor of Germany, he sank to his knees at the Warsaw Ghetto in 1970 to apologize to Polish Jews for the Holocaust. There were hardly any Jews left in Poland, and Brandt, who as a socialist was persecuted by the Nazis, was completely innocent of the crimes for which he was apologizing. But in doing so—with his historic *Kniefall von Warschau* (Warsaw Genuflection), he was recognizing the moral responsibility of the German people, whom he led as chancellor. That is

precisely why I called for atonement rather than financial aid.

Of course, not everyone agrees that even atonement is due. Historian John Keay put it best: ‘The conduct of states, as of individuals, can only be assessed by the standards of their age, not by today’s litigious criteria. Otherwise, we’d all be down on the government of Italy for feeding Christians to the lions.’

Amusing, but indefensible. The British Raj is scarcely ancient history. It is part of the memories of people still alive. According to a recent UN Population Division report, the number of Indians over the age of eighty is six million: British rule was an inescapable part of their childhoods. If you add to their number, their first-generation descendants, Indians in their fifties and sixties, whose parents would have told them stories about their experiences of the Raj, the numbers with an intimate knowledge of the period would swell to over 100 million Indians.

It is getting late for atonement, but not too late: I, for one, dearly hope that a British prime minister will find the heart, and the spirit, to get on his or her knees at Jallianwala Bagh in 2019 and beg forgiveness from Indians in the name of his or her people for the unforgivable massacre that was perpetrated at that site a century earlier. David Cameron’s rather mealy-mouthed description of the massacre in 2013 as a ‘deeply shameful event’ does not, in my view, constitute an apology. Nor does the ceremonial visit to the site in 1997 by Queen Elizabeth and the Duke of Edinburgh, who merely left their signatures in the visitors’ book, without even a redeeming comment. Whoever the PM is on the centenary of that awful crime will not have been alive when the atrocity was committed, and certainly no British government of 2019 bears a shred of responsibility for that tragedy, but as a symbol of the nation that once allowed it to happen, the PM could atone for the past sins of his or her nation. That is what Prime Minister Justin Trudeau did in 2016 when he apologized on behalf of Canada for the actions of his country’s authorities a century earlier in denying permission for the Indian immigrants on the *Komagata Maru* to land in Vancouver, thereby sending many of them to their deaths. Trudeau’s Willy Brandt moment needs to find its British echo.

Indeed, the best form of atonement by the British might be, as Labour leader Jeremy Corbyn has suggested, to start teaching unromanticized colonial history in British schools. The British public is woefully ignorant of the realities of the

British empire, and what it meant to its subject peoples. These days there appears to be a return in England to yearning for the Raj: the success of the television series *Indian Summers*, building upon earlier Anglo-nostalgic productions like *The Far Pavilions* and *The Jewel in the Crown*, epitomize what the British-domiciled Dutch writer Ian Buruma saw as an attempt to remind the English ‘of their collective dreams of Englishness, so glorious, so poignant, so bittersweet in the resentful seediness of contemporary little England.’ If British schoolchildren can learn how those dreams of the English turned out to be nightmares for their subject peoples, true atonement—of the purely moral kind, involving a serious consideration of historical responsibility rather than mere admission of guilt—might be achieved.

Buruma was, of course, echoing what the Indian-born British writer Salman Rushdie had said a few years earlier: ‘The continuing decline, the growing poverty and the meanness of spirit of much of Thatcherite Britain encourages many Britons to turn their eyes nostalgically to the lost hour of their precedence. The recrudescence of imperialist ideology and the popularity of Raj fictions put one in mind of the phantom twitchings of an amputated limb... The jewel in the crown is made, these days, of paste.’

Britain is no longer ‘Thatcherite’, though in the aftermath of ‘Brexit’, it may even be worse. The need to temper British imperial nostalgia with postcolonial responsibility has never been greater.

And then there’s the issue of Indian complicity in British rule. The Indian columnist Aakar Patel suggested that we are unable to come to terms with the fact that the British ‘takeover was facilitated and encouraged by Indians’. Indeed, Indians were active collaborators in many, if not most, of the misdeeds that I will spell out in this book. This was especially true of Indian princes who, once British rule was well established, accepted a Faustian bargain to protect their wealth and their comforts in exchange for mortgaging their integrity to the British. These nominal ‘rulers’ went out of their way to demonstrate their loyalty to the Crown—thus the cricketer-prince Ranjitsinhji obliged his peasantry, in the midst of a crippling drought, to contribute to the British coffers during World War I; and as his state choked in the grip of famine, he literally burned up a month’s revenues in a fireworks display for a visiting Viceroy. Such episodes were by no means untypical of the complicity shown by the compromised Indian

aristocracy with the colonial project.

There were other well-known Indian supporters of Empire, most notably the Bengali intellectual and unabashed Anglophile, Nirad C. Chaudhuri, who in a series of books extolled the virtues of the British empire and lamented its passing. (We will discuss specific examples later in this book.) Many ordinary Indians, too, went along with the British; many never felt they had a choice in the matter. But when a marauder destroys your house and takes away your cash and jewellery, his responsibility for his actions far exceeds that of the servant who opened the door to him, whether out of fear, cupidity or because he simply didn't know any better.

In describing and confronting what the British did to us, are we refusing to admit our own responsibility for our situation today? Are we implying that the British alone are responsible for everything that is wrong with us? Of course not. Some writers have pointed out that growth and development requires sound institution-building and wise macro-economic policies, not a recitation of past injustices. I wish to stress that I agree. I do not look to history to absolve my country of the need to do things right today. Rather I seek to understand the wrongs of yesterday, both to grasp what has brought us to our present reality and to understand the past for itself. The past is not necessarily a guide to the future, but it does partly help explain the present. One cannot, as I have written elsewhere, take revenge upon history; history is its own revenge.

One final caveat about this book. I write of British rule in India, fully conscious of the fact that the 'India' I am referring to no longer exists but has become three separate states. Much of what I have to say also applies to what are today the independent states of Bangladesh and Pakistan. This is not to associate any unwilling foreigners with my arguments, but to grant that my case is theirs too, should they wish to adopt it. Still, I write as an Indian of 2016 about the India of two centuries ago and less, animated by a sense of belonging morally and geographically to the land that was once so tragically oppressed by the Raj. India is my country, and in that sense my outrage is personal. But I seek nothing from history—only an account of itself.

This book has no pretensions to infallibility, let alone to omniscience. There may well be facts of which I am unaware that undermine or discredit some of my arguments. Still, the volume before you conveys in essence what I

understand of my country's recent past. As India approaches the seventieth anniversary of its independence from the British empire, it is worthwhile for us to examine what brought us to our new departure point in 1947 and the legacy that has helped shape the India we have been seeking to rebuild. That, to me, is this book's principal reason for existence.

'[W]hen we kill people,' a British sea-captain says in the Indian novelist Amitav Ghosh's *Sea of Poppies*, 'we feel compelled to pretend that it is for some higher cause. It is this pretence of virtue, I promise you, that will never be forgiven by history.' I cannot presume to write on behalf of history, but as an Indian, I find it far easier to forgive than to forget.

## DID THE BRITISH GIVE INDIA POLITICAL UNITY?

The British like to point out, in moments of self-justifying exculpation, that they deserve credit for the political unity of India—that the very idea of ‘India’ as one entity (now three, but one during the British Raj) instead of multiple warring principalities and statelets, is the unchallengeable contribution of British imperial rule.

It is difficult to refute that proposition except with a provable hypothesis: that throughout the history of the subcontinent, there has existed an impulsion for unity. This was manifest in the several kingdoms throughout Indian history that sought to extend their reach across all of the subcontinent: the Maurya (322 BCE–185 BCE), Gupta (at its peak, 320–550 CE), and Mughal (1526–1857 CE) empires, and to a lesser extent, the Vijayanagara kingdom in the Deccan (at its peak 1136–1565 CE) and the Maratha confederacy (1674–1818 CE). Every period of disorder throughout Indian history has been followed by a centralizing impulse, and had the British not been the first to take advantage of India’s disorder with superior weaponry, it is entirely possible that an Indian ruler would have accomplished what the British did, and consolidated his rule over most of the subcontinent.

The same impulse is also manifest in Indians’ vision of their own nation, as in the ancient epics the *Mahabharata* and the *Ramayana*, which reflect an ‘idea of India’ that twentieth-century nationalists would have recognized. The epics have acted as strong, yet sophisticated, threads of Indian culture that have woven together tribes, languages, and peoples across the subcontinent, uniting them in their celebration of the same larger-than-life heroes and heroines, whose stories were told in dozens of translations and variations, but always in the same spirit and meaning. The landscape the Pandavas saw in the *Mahabharata* (composed approximately in the period 400 BCE to 400 CE) was a pan-Indian landscape, for instance, as their travels throughout it demonstrated, and through their tale, Indians speaking hundreds of languages and thousands of dialects in all the places named in the epic, enjoyed a civilizational unity. Lord Rama’s journey through India and his epic battle against the demon-king of Lanka reflect the

same national idea.

After all, India has enjoyed cultural and geographical unity throughout the ages, going back at least to Emperor Ashoka in the third century BCE. The vision of Indian unity was physically embodied by the Hindu sage Adi Shankara, who travelled from Kerala in the extreme south to Kashmir in the extreme north and from Dwarka in the west to Puri in the east, as far back as the seventh century after Christ, establishing temples in each of these places that endure to this day. Diana Eck's writings on India's 'sacred geography' extensively delineate ancient ideas of a political unity mediated through ideas of sacredness. As Eck explains: 'Considering its long history, India has had but a few hours of political and administrative unity. Its unity as a nation, however, has been firmly constituted by the sacred geography it has held in common and revered: its mountains, forests, rivers, hilltop shrines...linked with the tracks of pilgrimage.'

Nor was this oneness a purely 'Hindu' idea. The rest of the world saw India as one: Arabs, for instance, regarded the entire subcontinent as 'al-Hind' and all Indians as 'Hindi', whether they hailed from Punjab, Bengal or Kerala. The great nationalist Maulana Azad once remarked upon how, at the Haj, all Indians were considered to be from one land, and regarded themselves as such. Surely such impulses, fulfilled in those distant times by emperors and sages, would, with modern transport, communications and far-sighted leaders, have translated themselves into political unity?

Starting from these incontrovertible facts, it is possible to construct an alternative scenario to British colonialism in the late eighteenth and early nineteenth centuries, with the Marathas extending their conquests across the country, while finding it politically convenient to mask their power under a titular Mughal emperor, a process that had already begun. Though the Marathas would have ruled the country under the nominal overlordship of a weak Mughal monarch (as the British themselves were briefly to do), this would have led to an inevitable transition to constitutional rule, just as England transitioned (with the seventeenth-century Glorious Revolution and the subsequent strengthening of the House of Commons) from an absolute monarchy to a constitutional monarchy. This could have happened in India just as it did in several other countries in the non-colonized world, across Europe and in the handful of Asian countries that were not colonized, notably China, Japan and Thailand. The



process would not have been painless; there may well have been revolutions and military struggles; there would have been disruption and conflict; but India's resources would have stayed in India and its future would have been resolved by its own people. The onset of British colonialism interrupted this natural evolution and did not allow it to flower. But to suggest that Indian political unity would not have happened without the British is absurd and unsupported by the evidence.

Counterfactuals are, of course, impossible to prove. One cannot assert, for instance, with any degree of certitude, events that did not in fact occur, nor name that centralizing figure who might have been India's Bismarck, Mazzini, Atatürk or Garibaldi in the absence of the British. But historical events find their own *dramatis personae*, and it is unreasonable to suggest that what happened everywhere else would not have happened in India. From such an initially hybrid system could have emerged a modern constitutional monarchy and political institutions built upon the Mughal administrative system, as modified by the Marathas. But these are hypotheticals. The British came, and no such non-colonial India emerged.

Counterfactuals are theoretical but facts are what they are. The facts point clearly to the dismantling of existing political institutions in India by the British, the fomenting of communal division and systematic political discrimination with a view to maintaining and extending British domination.

When the British eventually left in 1947, they left India as a functioning democracy, and many Britons would take credit for having instilled in their Indian subjects the spirit of democracy and the rule of law, even if Indians were denied its substance by the British. This claim is worth examining closely.

## *The Destruction of Political Institutions*

It is arguable that the democratic values of the British imperialists were more highly developed than those of other colonists. Some scholars have recently demonstrated, with impressive statistics (based on analyses of the aggregate correlates of political regimes), that a large number of former British colonies are democracies, and, indeed, that having once been a British colony is the variable most highly correlated with democracy. Myron Weiner has pointed out that, except for countries in the Americas, ‘every country with a population of at least 1 million (and almost all the smaller countries as well) that has emerged from colonial rule and has had a continuous democratic experience is a former British colony’. (There have also been former British colonies whose democratic experience has not been continuous, but featured bouts of military dictatorship, including both Pakistan and Bangladesh.) So it would seem that however much they failed to live up to their own ideas—however strongly they denied to Indians, as they had to Americans before 1776, ‘the rights of Englishmen’—the British did instil sufficient doses of the ethos of democracy into their former colonies that it outlived their tutelage.

But the actual history of British rule does not suggest this was either policy or practice.

In the years after 1757, the British astutely fomented cleavages among the Indian princes, and steadily consolidated their dominion through a policy of ‘divide and rule’ that came to be dubbed, after 1858, ‘*divide et impera*’. At this time it was a purely political ploy, and the divisions the Company sought to encourage were entirely based on greed and the desire for self-advancement rather than religion or social group. One aristocratic cousin was pitched against another for the Company’s support; often it was merely a question of who could pay more to the British. Loyalties were purchasable, sometimes more than once. Thus in 1757, as we have seen, Clive installed Mir Jafar on the throne of Bengal for a handsome sum, as a reward for having betrayed the previous nawab, Siraj-ud-Daula, at Plassey; Clive’s successors deposed Mir Jafar and put Mir Kasim in his place for somewhat less (for the money went to them, after all, and not to Clive); three years later, they restored Mir Jafar, since he now paid them two and a half times more than Mir Kasim did; and two years after that, they took money

from Najim-ud-Daula to depose Mir Jafar yet again. That sort of 'bribe, suborn and rule' system was comprehensible in terms of the crass motives that animated the East India Company in India. But it would be a forerunner of a more insidious divide-and-rule policy from the late nineteenth century, which instigated Indian against Indian on the basis of divisions that would do far more lasting damage.

The early crude practices of installing and defenestrating the rulers behind whose nominal authority the East India Company would rule, revealed little respect for the existing political institutions of India nor for the need to develop them to face the challenges of a new era. But the weakening of India's political institutions went deeper. As part of the 'Permanent Settlement', the British enfeebled village communities, since they made direct arrangements with individual local potentates in order to increase revenue collections. They also centralized judicial and executive powers, functions previously dispensed by village communities in their jurisdiction. Reports written by observers of the Company described the village communities as self-governing republics and functioning economic units, linked to the wider precolonial global market, that had governed themselves even as powers at the centre came and went. Under the British this ceased to be true.

It is important to remember that these villages did not exist in some kind of rustic agrarian isolation but were active and functioning political and economic units as well. 'In India,' wrote an eminent English civil servant, 'the village system was the one organism that survived the long years of anarchy and invasion, and it was in full vigour when we conquered India. Those who care to read up the subject can see it in Sir Henry Sumner Maine's *Indian Village Communities*.' But instead of building self-government from the village level up, as the British could have done had they been sincere, the Company destroyed what existed, and the Crown, when it eventually took charge of the country, devolved smidgens of government authority, from the top, to unelected provincial and central 'legislative' councils whose members represented a tiny educated elite, had no accountability to the masses, passed no meaningful legislation, exercised no real power and satisfied themselves they had been consulted by the government even if they took no actual decisions.

Part of the problem was that the Indian social structures were unfamiliar to the

British, whose own villages survived in a largely feudalistic relationship to their landlords. Empire was in many ways the vehicle for the extension of British social structures to the colonies they conquered. The socio-political constructs that the British made in their Empire were primarily reflections of the traditional, individualistic, unequal and still class-ridden society that existed in England. The architects of Empire, responding to what they knew, sought to recreate the rural arcadia of Tory England, where local government since the sixteenth century had been controlled by those with high social prestige and ruled by an established squirearchy. Instead of the autonomous village governments the British dismantled in India, English villages were in the hands of the traditional lords, the *grandees* being supplemented by gentry attached to them. The English tried to find similar structures in the traditional societies of their colonies, and when they could not, they invented an approximation of them. Thus was born the 'indirect rule' system of government that characterized much of the Empire, with power devolved to an entire hierarchy of greater and lesser imitation 'gentlemen', many given British-invented titles like 'Rai Bahadur' or even knighted (and, in a couple of cases, ennobled) for their pains. This was both less expensive for the Empire and, as with the English system at home, it was run by complicit amateurs, so there was no need to create a professional class of Indians who would wield, and then seek to exercise, political authority.

This British practice, previously unknown in India, caused long-lasting damage. The historian Jon Wilson has argued that India had a dynamic economic and political order—'a society of little societies'—where constant negotiation between the rulers and the ruled was the norm. India's villages were not self-reliant republics that lived in blissful isolation. They were networked and connected, and it was the destruction of Indian industry that forced people to retreat and focus on farming, creating both a more agrarian society and the problem of peasant dispossession. By the early 1800s, India had been reduced from a land of artisans, traders, warriors and merchants, functioning in thriving and complex commercial networks, into an agrarian society of peasants and moneylenders. Extensive scholarship has shown how the British created the phenomenon of landlessness, turned self-reliant cultivators into tenants, employees and bondsmen, transformed social relations and as a result undermined agrarian growth and development. The impact of such policies

endures to the present day and has had a distorting effect on India's evolution: Banerjee and Iyer, for instance, demonstrate how British colonial policy choices led to sustained differences in economic outcomes: 'Areas in which proprietary rights in land were historically given to landlords have significantly lower agricultural investments and productivity in the post-independence period than areas in which these rights were given to the cultivators.' There are no victimless colonial actions: everything the British did echoes down the ages.

Underlying the British imperial expansion in India was a congeries of motivations and assumptions—crass commercial cupidity, as we have demonstrated, and the need to consolidate political power in order to safeguard profits, but also the racist European notion, expressed most bluntly during the Iberian conquest of the New World, that 'heathen' Indian nations were unworthy of the status of sovereign legal entities. In the Americas, hostility to European traders and resistance to the Christian gospel were considered adequate causes for 'just' war, justifying territorial conquest and the enslavement of the losers. While such a proposition was not explicitly advanced in India, the British broadly shared the same sets of beliefs as their European confrères in the West.

Initially the game of thrones was played one step removed, as it were, with nawabs propped up by the Company as the official rulers. This was because the Company's official status, as of 1764, was as revenue administrators of three major Mughal provinces in eastern India, an authority granted, as we have seen, by a *firman* from the chastened and weak-kneed Mughal emperor, who issued an edict to this effect. Robert Clive explained his role to the board of directors of the East India Company in a letter dated 27 January 1764: 'We may be regarded as the spring which, concealed under the shadow of the Nabob's name, secretly gives motion to this vast machine of government without offering violence to the original constitution. The increase of our own, and diminution of his, power are effected without encroachment on his prerogative. The Nabob holds in his hands, as he always did, the whole civil administration, the distribution of justice, the disposal of offices, and all those sovereign rights which constitute the essence of his dignity, and form the most convenient barrier between us and the jealousy of the other European settlements.'

Arguably, however, the reality of British paramountcy over India had already become clear thanks to the numerous military victories of the East India

Company over Indian princes, and the unequal treaties that reified their subjugation. William Bolts, a Dutch trader who had worked for a few years for the East India Company, wrote in 1772 that the Company was nothing more than a despotic oligarchy of merchants who had usurped the status of sovereigns. The Nawab of Bengal was little more than a 'stipendiary servant' and the Mughal emperor, a pensioner and a 'mere instrument of their power'. The fig leaf of revenue administration was, according to Bolts, a 'mere fiction' invented to legitimize the acquisition of these newly acquired territorial possessions 'for the private purposes of the Company and their servants'. The British historian Edward Thompson argues that after 1819, when Lord Lake defeated the Marathas, 'only stupidity or hypocrisy, or an excess of tactfulness, could pretend that the East India Company was not the paramount power or that any of the [Indian] Princes were equal to its status'.

Presiding over all of this was the governor-general of India, an executive appointed by the East India Company but, in effect, the monarch of all he surveyed. William Dalrymple quotes one contemporary observer as saying: 'Of all human conditions, perhaps the most brilliant and at the same time the most anomalous, is that of the Governor-General of British India. A private English gentleman, and the servant of a joint-stock company, during the brief period of his government he is the deputed sovereign of the greatest empire in the world; the ruler of a hundred million men; while dependent kings and princes bow down to him with a deferential awe and submission. There is nothing in history analogous to this position...'

The ad hoc nature of the expansion of British power brought with it its own deinstitutionalization of India's governance. Between 1746 and 1763 the Company fought three 'Carnatic Wars', which combined a quest for local dominance with a British conflict for supremacy against the French, mirroring the parallel wars in Europe at the same time. In many of its conquests and campaigns the Company did not hesitate to outsource its military efforts to mercenaries and armed bands of various sorts. Scholars see the East India Company as an example of a military patronage state, which distributed its patronage to itinerant bands of warriors without regard to any formal or institutional structures. The Company paid soldiers in exchange for their service and others for essential procurements, offering various benefits to ensure their

support. Violence, to use today's language, was contracted to non-state actors. Such methods accentuated the informal, non-institutionalized nature of the British conquest of India, stunting the prospect of the normal development of political institutions in the country.

This resort to free-floating mercenary warrior elements served India ill. Lord Cornwallis, for instance, did not have the resources to provide irregular mounted units with regular rations, so he ordered them to find their own means of subsistence. This led to pillage and extortion as the troops advanced, only adding to the suffering and deprivation of the indigenous population; but then the well-being of the inhabitants had never been a priority for the Company. The freelance warriors and mercenaries associated with the Company enjoyed the license to loot everything they could lay their hands on: hardly a British contribution to good governance in India.

This method of expansion was not to last, however, thanks to the Company's unquestioned military superiority, especially once 'the other European settlements' Clive had referred to had all been routed or taught their place, and the Company—though still a trading corporation—soon had few compunctions about deposing native princes and absorbing their kingdoms. The Crown, when it assumed responsibility for the Raj, through Queen Victoria's Proclamation of 1858, largely preferred to leave the traditional rulers of India in place, with their authority subordinate to the British. (They exercised their power through an official parked at the princely court with the nominally modest title of 'the Resident', another case of British understatement masking the uglier reality of brute power.)

Where the British during their gradual takeover of India did not annex the territory of a subjugated ruler, they made him sign an unequal treaty. This mixture of devices by which the British ruled India was, as I have pointed out throughout this chapter, far from conducive to the development of Indian political institutions, and nor did it engender respect for the nominal authority in whose name power was supposedly exercised.

It is also pertinent to nail the canard that whatever the deficiencies of the Company, its rule was no worse than the supposedly rapacious princes whom the British supplanted. This is simply false. Much of the British conquest and expansion before 1857 took place against either benign, or not particularly

oppressive, native rulers. The Maratha Peshwas, the Mysore rulers and the chess-playing Nawab of Oudh, to name three, were not accused of misgovernance: they were merely too powerful for colonial comfort or too rich to avoid attracting British avarice. (Indeed there were outstanding examples of good governance in India at the time, notably the Travancore kingdom, which in 1819 became the first government in the world to decree universal, compulsory and free primary education for both boys and girls.) The British charges against the rulers they overthrew were largely specious: a 1907 study concluded that ‘we discover that there is little basis for all this pessimism of the past beyond the eagerness to exalt, however dishonestly, the superiority of European methods’. Where British charges of misrule had any validity, they were principally against rulers the Company had installed in the first place or, in the twentieth century, princes they had removed from their cultural context and educated at Eton and Harrow, leaving them aliens in their own land.

This is not to suggest that precolonial India was universally well-ruled—as we know, it was going through a period of disintegration, collapsing Mughal authority, and in many places, conditions bordering on anarchy—but is merely intended to reject the notion that British rapacity would have been seen as an improvement by most Indians of that time. In large parts of India during the period of British colonial expansion, fairly decent governments, broadly accepted by the people, were removed and replaced by British rulers whose motives and methods were, on the whole, much more reprehensible than those they had overthrown.

### *The Crown Takes Over Its Jewel*

While the case against the misgovernance of Company rule in India is irrefutable—having been made, among others, by Edmund Burke in his celebrated impeachment of Warren Hastings, by Macaulay in his denunciations of the greed of the nabobs, and by Clive himself through his act of suicide—the assumption of power by the British Crown of its imperial ‘jewel’ changes the argument somewhat. With Queen Victoria’s Proclamation in 1858, the British offered a different narrative for their rule of India: that they would govern in pursuit of ‘that prosperity and that social advancement which can only be secured by internal peace and good government...’ The Queen added her ‘earnest desire to



stimulate the peaceful industry of India, to promote works of public utility and improvement, and to administer the government for the benefit of all our subjects resident therein. In their prosperity will be our strength, in their contentment our security, and in their gratitude our best reward’.

This was a stirring manifesto of the ‘we are ruling you for your own good’ school, far removed, at least in declared intent, from the naked rapacity of the East India Company. With the coronation of 1877, the British monarchy was reinvented by Benjamin Disraeli as an imperial instrument—the queen became an empress, with India the newest and most glittering possession, and her domains stretched across the world to an unprecedented extent. Equally important to the imperial project was the perception of grandeur that accompanied it. The British in India spent a great deal on extravagant display, but the gaudy glitter also had an imperial purpose: it was intended by the British, suggests Jan Morris, ‘partly to amaze the indigenes, partly to fortify themselves. In a country of princes, they deliberately used the mystique of monarchy as an instrument of dominion.’

In pursuance of this ‘schlock and awe’ strategy, three gigantic durbars were held to mark imperial occasions—the crowning of Queen Victoria as the Empress of India was commemorated with the grand pageantry of an imperial durbar presided over by Viceroy Lord Lytton in 1887; the accession of Edward VII by an even grander durbar held by Lord Curzon on New Year’s Day 1903; and the final imperial durbar of the Raj, in 1911, to welcome King George V and Queen Mary to the new capital, Delhi.

At the peak of its pomp, the British empire in India conceived and built an immense and hugely impressive new imperial capital at New Delhi. The French statesman Georges Clemenceau was sceptical, seeing it as the latest in a long line of imperial follies; it is said that he laughed when he saw half-built New Delhi in 1920 amid the rubble of seven previous cities in the same area, and observed: ‘*Ça sera la plus magnifique de toutes ces ruines.*’ (This will be the most magnificent of all these ruins.) Years later, the management theorist C. Northcote Parkinson would cite the building of New Delhi among many examples in formulating his ‘second law’, that institutions build their grandest monuments just before they crumble into irrelevance.

Morris describes in lavish detail the imperial durbar conducted by Lord

Curzon in Delhi, where, amid elephants and trumpets, bejewelled maharajas paying tribute and a public assembled from all four corners of the subcontinent to view the imperial panoply, ‘theatre became life’. Appropriately enough, Curzon had the durbar filmed, using the-then novel technology of the moving image. (Though Mahatma Gandhi, in his autobiography, noted that many of the maharajas privately deplored the lengths to which they had to go, the elaborate costumes and finery they had to wear, in order to impress the British sufficiently to hold on to their thrones and their privileges.)<sup>4</sup>

[4 It was not just the maharajas who had to suffer: every Indian schoolchild must lament the influence of the British dress code on Indians—especially the tie as a permanent noose around the necks of millions of schoolchildren, in India’s sweltering heat, even today.]

Curzon, who conducted the grandest of the three durbars just two years after a ruinous famine, was the epitome of imperial majesty as Viceroy. What Jan Morris called Curzon’s ‘taste for lordliness,’ and Niall Ferguson dubs his ‘Toryentalism’, was integral to his viceroyalty, which he conducted in a manner and with a paternalism befitting a scion of the old British aristocracy (his family was descended from Norman stock). Curzon’s public life had long been haunted by four lines of Balliol doggerel targeting him in his student days at Oxford, which were unfailingly cited by the popular press whenever he received a new appointment: ‘My name is George Nathaniel Curzon *I am a most superior person My hair is black, my face is sleek / I dine at Blenheim every week*’.<sup>5</sup> If this undergraduate humour had immortalized him, so would his viceroyalty, which was to eclipse every other accomplishment in his ultimately disappointing political career. Curzon had nurtured the ambition to be Viceroy since childhood, and he brought to it a vision of imperial grandeur that he sought both in substance and style to fulfil.

[5 I have consulted British newspapers of the 1890s to satisfy myself of the accuracy of this version. It has since been improved in the retelling, and some readers might be more familiar with the altered update of the verse: ‘My name is George Nathaniel Curzon/I am a most superior person./My cheek is pink, my hair is sleek/I dine at Blenheim every week.’]

The style that Curzon brought to its apogee reflected what the British writer David Cannadine dubbed ‘Ornamentalism’. Curzon was, to Cannadine, a ‘ceremonial impresario’. Cannadine devoted an entire book to the proposition that the British empire was about ‘antiquity and anachronism, tradition and honour, order and subordination; about glory and chivalry, horses and elephants, knights and peers, processions and ceremony, plumed hats and ermine robes;

about chiefs and emirs, sultans and nawabs, viceroys and proconsuls; about thrones and crowns, dominion and hierarchy, ostentation and ornamentation'. It continued in this vein right until the final surrender, when the ceremonial costumes of the last Viceroy, Lord Louis Mountbatten, seemed to be in inverse proportion to his dwindling hold on political power.

This pageantry involved the British not merely exalting the principle of hierarchy in ensuring reverence for their own queen, but extending it to India, honouring 'native princes', ennobling others and promoting the invention of ersatz aristocratic tradition so as to legitimize their rule. Thus the British created a court culture that the princes had to follow, and a hierarchy that sought to show the Crown as successors of the Mughal emperor. The elaborately-graded gun salutes, from nine guns to nineteen (and in only five cases, twenty-one)<sup>6</sup>, depending on the importance, and cooperativeness, of the ruler in question; the regulation of who was and was not a 'Highness', and of what kind (the Nizam of Hyderabad went from being His Highness to His Exalted Highness during World War I, mainly because of his vast donation of money to the war effort); the careful lexicon whereby the 'native chiefs' (not 'kings'), came from 'ruling', not 'royal', families, and their territories were 'princely states' not 'kingdoms'—all these were part of an elaborate system of monarchical illusion-building. The India Office in London even had a room with two identical doors for entry, in case two Indian potentates of equivalent rank had to be received at the same time, so that neither had to precede the other. And so it went...

[6 Up to World War I, only Hyderabad, Baroda and Mysore enjoyed 21-gun salutes; Gwalior and Jammu & Kashmir were added to the list in 1917 and 1921 in appreciation of their soldiers' services to the British in the Great War. Other monarchs were allowed 21-gun salutes within their own domains, but only 19 outside, and so on: the protocol was fastidiously elaborate.]

For all the elaborate protocol and ostentation, as David Gilmour points out, the British had very little respect for the Indian aristocracy they were indulging. Curzon himself sneered at 'the category of half-Anglicised, half-denationalised, European women-hunting, pseudo-sporting, and very often in the end spirit-drinking young native chiefs'. But he realized that Britain alone was to blame for the invention of the Indian royals as an imperial category. In 1888, one imperial official in Central India reported that in his zone of responsibility the result of 'an English training for princely youths' so far was 'sodomites 2, idiots 1, sots 1...[and a] gentleman ...prevented by chronic gonorrhoea from paying his

respects on the Queen's birthday'. Curzon himself complained in 1900 of the 'frivolous and sometimes vicious spendthrifts and idlers' who made up the bejewelled ranks of the Indian princes. The Rana of Dholpur, he wrote to Queen Victoria, was 'fast sinking into an inebriate and a sot', the Maharaja of Patiala was 'little better than a jockey', the Maharaja Holkar was 'half-mad' and 'addicted to horrible vices', and the Raja of Kapurthala was only happy philandering in Paris. Of course, there were enlightened and benevolent Indian princes, and even visionary ones—Baroda, Travancore and Mysore, to name three, enjoyed stellar reputations as exemplary rulers concerned about the well-being of their subjects—but stories of dissolute rajas were far more frequent than tales of good governance.

### *The Un-Indian Civil Service*

If the panoply and external trimmings of the Crown's takeover of India were grand enough, the queen went farther in respect of the substance of her rule. In her celebrated 1858 Proclamation, she expressed her wish that 'our subjects, of whatever race or creed, be freely and impartially admitted to office in our service, the duties of which they may be qualified by their education, ability and integrity duly to discharge'.

But what was the reality? In Will Durant's words, it was one of 'political exclusion and social scorn'. In 1857, F. J. Shore, the colonial administrator in Bengal whom I have quoted earlier, testifying before the House of Commons, confessed that 'the Indians have been excluded from every honour, dignity or office which the lowest Englishman could be prevailed upon to accept'. Decades later, Indian graduates from the finest universities of India, Europe and America found that, for the most part, only the lowest places in government service were open to them; according to Durant, just 4 per cent of the 'covenanted' positions in the Indian (initially the 'Imperial') Civil Service, the top cadre, were filled by Indians in as late as 1930.

As critics have pointed out, it is not as if the best and brightest staffed the posts available to Britons in India. Lord Asquith declared in 1909 that 'if high places were given to Hindus half as unfit as the Englishmen who then occupied them in India, it would be regarded as a public scandal'. Mediocrities ruled the roost, and they were paid far more than Indians, since they had to endure the

‘hardships’ of the Indian heat—despite the warmth of the sun offering a welcome respite, for most, from the cold and fog of grey, benighted Blighty. (As Rudyard Kipling memorably put it in his novel, *The Light That Failed*, describing a return to London: ‘A thin grey fog hung over the city, and the streets were very cold; for summer was in England.’) They were also, as a rule, singularly smug and self-satisfied and insufferably patronizing in their attitudes to Indians (when they were not simply contemptuous). Jawaharlal Nehru put it sharply: the Indian Civil Service, he said, was ‘neither Indian, nor civil, nor a service’.

The British ruled nineteenth-century India with unshakeable self-confidence, buttressed by protocol, alcohol and a lot of gall. Stalin found it ‘ridiculous’ that ‘a few hundred Englishmen should dominate India’. He was not arithmetically accurate, but in principle he was right: it was remarkable that the British Raj was run by so few people. There were only 31,000 Britons in India in 1805 (of whom 22,000 were in the army and 2,000 in civil government). The number increased substantially after 1857, but still, as of 1890, 6,000 British officials ruled 250 million Indians, with some 70,000 European soldiers and a larger number of Indians in uniform. In 1911, there were 164,000 Britons living in India (of whom 66,000 were in the army and police and just 4,000 in civil government). By 1931, this had gone up to just 168,000 (including 60,000 in the army and police and still only 4,000 in civil government) to run a country approaching 300 million people. It was an extraordinary combination of racial self-assurance, superior military technology, the mystique of modernity and the trappings of enlightenment progressivism—as well as, it must be said clearly, the cravenness, cupidity, opportunism and lack of organized resistance on the part of the vanquished—that sustained the Empire, along with the judicious application of brute force when necessary. The British in India were never more than 0.05 per cent of the population. The Empire, in Hobsbawm’s evocative words, was ‘so easily won, so narrowly based, so absurdly easily ruled thanks to the devotion of a few and the passivity of the many.’

In Clive’s time, the Company presided over a ‘dual’ system: the Company exercised power but propped up a puppet nawab. Warren Hastings ended the pretence and overthrew the nawab: direct administration was now under the control of the Company. Cornwallis, in 1785, created a professional cadre of

Company servants who were to govern the country for the Company, reserving all high-level posts for the British, and placing Englishmen in charge of each district with the blunt title of 'Collector', since collecting revenue was their *raison d'être*. The Collector usually exercised the dual function of magistrate in his district.<sup>7</sup> The British thus ran government, tax collection, and administered what passed for justice. Indians were excluded from all of these functions.

[7 The British ran a complex administrative system with multiple variants. In essence, and at its peak, British India, under the Governor-General (later Viceroy), was divided into a number of presidencies and provinces, each headed by a Governor, Lieutenant Governor or Commissioner, depending upon its size and importance. Each province or presidency comprised a number of divisions, each headed by a Divisional Commissioner. These divisions were in turn subdivided into districts, which were the basic administrative units; each district was headed by a Collector and District Magistrate or Deputy Commissioner (in most cases these were all the same person, usually a young Englishman in his mid to late twenties).]

With these tasks to be performed, a civil service came into being, nominated by the Company's bigwigs from influential young people of their acquaintance, and trained after 1806 in Haileybury College, near London, to serve the Company. After 1833, competitive examinations were introduced, though directors' nominees could still be recruited on a nod and a wink. After 1853, selection was entirely examination-based, and thrown open to all white Britons. Demand for the Imperial Civil Service was high, since the work was ridiculously well-compensated, and the Company's servants exercised genuine political power in India, which they could not hope to do in any equivalent job they might get in Britain. The tests did not seek to establish any knowledge of India or any sensitivity to its peoples; they sought to identify proper English gentlemen, and emphasized classical learning and good literary skills. After 1860, Indians were allowed to take the examinations too. But the Indian Civil Service remained, in ethos, British. One viceroy, Lord Mayo, declared, 'we are all British gentlemen engaged in the magnificent work of governing an inferior race'. Few shared Victoria's 'romantic feelings for brown skins'. In David Gilmour's telling, they had no illusions about preparing Indians for self-government; their view of Indians was at best paternalist, at worst contemptuous (well into the twentieth century, they spoke and wrote of the need to treat Indians as 'children', incapable of ruling themselves). Several generations of some families served in India, some over three centuries, without ever establishing roots there: they sent their own children 'home' to school and 'endured' years of separation from loved ones. It was not, of course, all self-sacrifice and hard work: ICS men

earned the highest salaries of any officials in the world, with, as we have seen, generous furloughs and a guaranteed pension, and some at least found it 'quite impossible' to spend their income. The English political reformer John Bright, unsurprisingly, called the Empire a 'gigantic system of outdoor relief for the aristocracy of Great Britain'.

The attitudes the ICS men brought to bear to their work in India had greatly deteriorated by the end of the nineteenth century from curiosity and concern to complacency and cant. 'The whole attitude of Government to the people it governs is vitiated,' wrote H. Fielding-Hall, after thirty years of service in the ICS. 'There is a want of knowledge and understanding. In place of it are fixed opinions based usually on prejudice or on faulty observation, or on circumstances which have changed, and they are never corrected. Young secretaries read up back circulars, and repeat their errors indefinitely... "following precedent".'

The British Labour politician Keir Hardie described British rule in India as 'a huge military despotism tempered somewhat by a civil bureaucracy'. That bureaucracy was all-pervasive, overpaid, obtusely process-ridden, remarkably inefficient and largely indifferent to the well-being of the people for whose governance it had, after all, been created. Lord Lytton, in a lighter mood, described British governance in India as 'a despotism of office-boxes tempered by an occasional loss of keys'. This bureaucratic despotism went back to the early years of Company rule in the late eighteenth century, when Lord Cornwallis had announced that 'all rights had been reduced to writing'. As John Stuart Mill, who luxuriated in the title of 'Examiner of Indian Correspondence' for the East India Company, put it, the 'great success of our Indian administration' was that it was 'carried on in writing'. But this was in fact the great flaw of the British system. Indian rulers had in the past negotiated with their local subjects because they had to live with them. Now the Company kept a distance from its subjects and only cared for one thing—a network that delivered cash to directors in faraway London as quickly and efficiently as possible. In reality, as Jon Wilson points out, the extraordinary flow of paper that Mill celebrated 'constructed a world of letters, ledgers and account books that had its own pristine order but could not comprehend or rule the forces which shaped rural society...the new maze of paperwork blocked the creation of the public,

reciprocal relationship between the state and local lords which political authority and economic prosperity had relied on before’.

It also meant that decisions were increasingly made in offices, behind closed doors, by foreigners with no connection to those whose fates they were deciding. The public display of the rulers’ authority was replaced by the private circulation of incomprehensible paper. Decisions were being made by people who were out of the view of those impacted by the decisions. As the public places where Indians could hold their rulers to account were out of bounds, so the scope for intrigue and corruption expanded. Indians were anxious that decisions were being made over which they had no say. Clerks were bribed to find out what was being written in the all-important files. The Raja of Nadia was so concerned about what was happening behind closed doors that he paid a Bengali clerk in the Collector’s office to tell him what was written in the letters exchanged between the district capital and Calcutta.

The old accessible Indian rulers were replaced by new officious British bureaucrats who were good at manipulating the paperwork created by the new rules but had little interest in the well-being of their subjects nor the capacity to establish their authority other than by reference to their rules. When these were violated, they could only take recourse in the forcible imposition of law and order. ‘The new system was not designed to create a stable political order in the Indian countryside,’ says Wilson. ‘Its aim was to defend the integrity of the East India Company from accusations in Britain of venality and vice. It began life as an effort to manage metropolitan moral anguish, not to handle the complaints of Indians about what Company officers were doing in India.’ The neat registers kept in the Company’s offices ‘allowed British officials to imagine they had created an effective, unitary structure of rule; they fostered a delusion of power’.

This was the tradition that the Company passed on to the Crown, which continued it without change. Much of the British bureaucracy, as Lytton implied, was excessively formalistic; perhaps the obsession with procedure and paperwork resulted from a sneaking hope that anything resulting from the filling of forms in quadruplicate could not possibly be an injustice. (Or written on stamp paper, a British invention, that imparted a sense of authority to a document and gave the British a feeling of control.) Creating rule book after rule book concealed the fragile nature of the hold they had on the society they ruled.



Regulations were framed and were meant to be applied across the board without reference to context and without any sensitivity to the circumstances of the individuals being regulated. Decisions were based on rules rather than facts, 'often merely disconnecting officers from the political circumstances that called upon them to make decisions in the first place'.

The British system of rule in India was, by any standards, remarkable. A twenty-four-year-old district officer found himself in charge of 4,000 square miles and a million people. The duties which the district officer had to perform were enumerated in a contemporary account as follows: 'Collector of the Land Revenue. Registrar of the landed property in the District. Judge between landlord and tenant. Ministerial officer of the Courts of Justice. Treasurer and Accountant of the District. Administrator of the District Excise. Ex officio President of the Local Rates Committee. Referee for all questions of compensation for lands taken up for public purposes. Agent for the Government in all local suits to which it is a party. Referee in local public works. Manager of estates of minors. Magistrate, Police Magistrate and Criminal Judge. Head of Police. Ex officio President of Municipalities...' All these tasks were performed by a young man, in a foreign country, with little knowledge of the local language or conditions, following uniform rules of procedure laid down by the distant government, but convinced of his innate superiority over those he had been assigned to rule and his God-given right to dispense authority in all these functions. Authority, but not welfare; there was no 'development work' listed for any British official in a district.

If all this were not enough, the young man was subject to the tyranny of the 'Warrant of Precedence' and the rigidities of protocol in a hierarchy-conscious society, learned the desperate importance of being able to play whist as an antidote to loneliness, and in, due course, to humour the incessant social obligations of higher office (a lieutenant-governor hosted, on a single day, a boathouse lunch, a thé dansant and a garden party, and a dinner at the club). The diversions were plentiful. Wedded inexcusably to its own pleasures, the British bureaucracy retreated to mountain redoubts in the hills for months on end to escape the searing heat of the plains, there to while away their time in entertainments, dances and social fripperies while the objects of their rule, the Indian people, were exploited ruthlessly below.

In the summer capital of Simla, with its population of ‘grass widows’ enjoying the cooler air while their husbands toiled in the hot plains, the ‘main occupations’ were ‘gambling, drinking, and breaking the 7th Commandment’.

And yet there is no doubt about the heroic efforts of many individual civilians, who dug canals, founded colleges, administered justice and even, in some cases, advocated Indian self-rule. Their names became part of the geography of the subcontinent: towns called Abbottabad, Lyallpur and Cox’s Bazar, Corbett Park, Cotton Hill, the Mcnabbwah Canal. As a rare left-winger in the ICS, John Maynard, explained, ‘ugly pallid bilious men’ were able to ‘do great things in the very midst of their querulous discontents and unideal aspirations’.

But their lifestyles, for the most part, separated them from the masses they sought to rule. The British in India created little islands of Englishness, planting ferns and roses and giving their cottages nostalgia-suffused names like Grasmere Lodge (in Ooty) and Willowdale (in Darjeeling). By the early nineteenth century, the British had established themselves as a ruling caste, but at the top of the heap: they did not intermarry or inter-dine with the ‘lower’ castes, in other words, the Indians; they lived in bungalows in their own areas, known as cantonments and ‘civil lines’, separated from the ‘Black Towns’ where the locals lived; they kept to their clubs, to which Indians were not admitted; their loyalties remained wedded to their faraway homeland; their children were shipped off to the British public-school system and did not mingle with the ‘natives’; their clothes and purchases came from Britain, as did their books and ideas. At the end of their careers in India, for the most part, they returned ‘home’. As the English writer Henry Nevinson observed in the first decade of the twentieth century: ‘A handful of people from a distant country maintain a predominance unmitigated by social intercourse, marriage, or permanent residence’. ‘India,’ wrote another sympathetic Englishman in 1907, ‘is, in fact, now administered by successive relays of English carpet-baggers, men who go out with carpet-bags and return with chests, having ordinarily as little real sympathy with the natives as they have any deep knowledge of their habits and customs.’

The Indian Civil Service, peculiarly, insisted that all ICS men remain bachelors until after the age of thirty. This made them ripe for capture by the ‘fishing-fleet’, as the boatloads of Englishwomen who came over to India to trawl for husbands in the mid-and late-nineteenth century were known. These

ladies were usually the rejects of the British upper and upper-middle classes, women who were too smart or too plain to find a 'good husband' and were in their late teens or early twenties. Once you were deemed too old for the English marriage-market, it was either the boat to India or a spinster's life as governess at home—and tales of the comforts of British life in the colonies certainly made the boat a more attractive option. ICS officers (and other civilians, for that matter), forbidden to consort with local women, bored, lonely and frustrated by thirty, were ripe for the picking. At English clubs and tennis matches, elegant balls and tiger shoots, the women of the 'fishing-fleet' allowed themselves to be reeled in by eligible civilians. Insulated from India by their upbringing and new social circumstances, waited upon by a flotilla of servants and ignorant of contact with any other Indian, and susceptible to the prejudices of white Victorian England, these women were often the most guilty of racism and disdain for the country. They were responsible for turning British society prim and proper and rather priggish in its attitudes to relations with Indians.

That was the life of the ICS men. Then, after twenty-five or more years in the subcontinent, as we have seen, they would retire to Cheltenham or South Kensington, to English suburbs that became known as 'Asia Minor' or 'the Anglo-Indian Quarter', surrounded by reminders and relics of the land they had ruled. One civilian settled in Teddington on the Thames and named his last home 'Quetta', for the capital of Baluchistan. Another, William Strachey, set his watch to Calcutta time even in England, 'eating breakfast at tea-time and living most of his life by candlelight'. It is a poignant image. But the candlelight has dimmed: the places named for the British have mostly been renamed. Lyallpur, in Pakistan, has been renamed Faisalabad, for a Saudi king. The old ruling caste no longer takes precedence.

## *Indians in Imperial Service*

The very element that indicts this system in the eyes of an Indian—its foreignness and its disconnection from the Indian people for whose benefit it was supposed to govern—was, however, seen as a virtue in English eyes. The promised admission of Indians to the ICS was resisted at every level of the British government, and it had to be prised from the British grasp like the last gold nugget from the fist of a dead prospector. Even a moderate civil servant like H. Fielding-Hall (who, after retirement, wrote books about India that were suffused with sympathy for Indians though leavened by imperial attitudes), had this to say in objecting to the admission of Indians into the covenanted civil services: ‘the Government of India is not Indian, it is English. It is essentially English, the more so and the more necessarily so because it is in India... England has made herself responsible for India, and she cannot shirk or divide this responsibility’. He added: ‘Government must do its work in its own way, and that is the English way. No Indian can tell what this is.’

The result was that there were more statues to Queen Victoria on Indian territory than Indians in the higher reaches of the civil service. There was always, of course, the excuse of a substantive, as opposed to merely racialist, argument: ‘It would be impossible to place Indian civilians in places where cooperation with military or military police-officers would be essential’. But the essence of the problem emerged soon enough. The whites in India would never accept an Indian in a position of real authority. Fielding-Hall insisted in 1913: ‘That an Indian should rule Europeans, and that it should be to an Indian they looked for the maintenance of peace and order and for the administration of justice, criminal and civil, is unthinkable. The stability of the administration is due to its being English, and any threat to that stability would not be borne.’

In substantiation of his case, Fielding-Hall recounted the experience of an early Indian in the ICS, a ‘Mr Chetty’, who after an English education at Wren’s and Oxford, ranked high in the civil services examination and was posted to a district in India. But there the club—the centre of all social life for officialdom and other English civilians—refused to admit him as a member. This was more than a personal privation: it was an absolute handicap in his career, since so much official work, and so many professional relationships, were dealt with and

processed over a drink at the club. Fielding-Hall, who did not disapprove of the racial discrimination practised by his fellow Englishmen, blamed it on the unwise policy of recruiting Indians for jobs only the English should do. He muses about ICS officers like Chetty: 'Socially he belongs to no world. He has left his own and cannot enter the other. And you cannot divorce social life from official life. They are not two things, but one.' He adds: 'In the end Chetty shot himself. It was a sad end for a man gifted and likeable. And although such an end was unusual, the causes which led to it are universal. I have known several civilians who were Indians, and... I think they were all unhappy.'

This reads chillingly to any modern mind, but Fielding-Hall was by no means the worst of his tribe: reading him, you realize he was more broad-minded and humane than most of his peers. Racial discrimination was pervasive in the ICS. While Indians were theoretically entitled to senior positions in the Indian Civil Service, and Satyendranath Tagore (elder brother of the great Nobel Prize-winning poet Rabindranath Tagore) broke into its elite ranks as early as 1863, most applicants were turned down and only a handful succeeded him for decades afterwards. Satyendranath Tagore and the ones who came after him suffered the most appalling racial discrimination and personal humiliation in their careers. After thirty years' ICS service, in a series of insignificant posts, Satyendranath, who was a brilliant linguist, lyricist and social reformer, could only retire as a judge in the provincial Maharashtrian town of Satara.

Lord Lytton, writing confidentially as viceroy in 1878 to his superiors in London, was frank about the betrayal of 'educated Indians whose development the Government encourages without being able to satisfy the aspiration of its existing members; every such Indian, once admitted to Government employment in posts previously reserved to the Covenanted [i.e. the senior civil] Service, is entitled to expect and claim appointment in the fair course of promotion to the highest posts in that service. We all know that these claims and expectations *never can or will be fulfilled*. [emphasis in original] We have had to choose between prohibiting them and cheating them, and we have chosen the least straight-forward course.'

The cheating continued in awful ways for several decades more. Another of the very early Indian entrants into the ICS, the second after Satyendranath Tagore, Surendra Nath Banerjea, was initially barred from the service he had

entered in 1869, on allegations of misrepresenting his age. He appealed this successfully and was posted to a minor position in Sylhet, but not forgiven, and was dismissed from the service altogether in 1874 for a minor infraction (an inadvertent procedural irregularity in requesting accommodation in the civil lines equal to that given to Britons, that might not have earned an English officer even a reprimand). He went on to become a distinguished academician, journalist, editor, orator (one English journalist hailed him as the finest orator he had heard in English since Gladstone) and twice president of the Indian National Congress, but it is noteworthy that an individual of intellectual and administrative ability far in excess of most of his contemporaries should have been seen by the British not as a talent to be made use of in the government's interest, but as an element to be eliminated by dismissal from its employment. (After nearly four decades of struggle, though, Banerjea, who memorably had urged his countrymen to 'agitate, agitate, agitate—you have yet to learn the great art of grumbling', accepted a knighthood. Perhaps, as disappointed nationalists argued, he had changed but by then, to some degree, so had the British. The path carved and hacked against such impossible odds by the first two ICS Indians was now trodden somewhat more easily by larger numbers of their countrymen.)

Similarly, Aurobindo Ghosh—then named Ackroyd Ghosh—after studying at Manchester, St Paul's School, and Cambridge University, also ranked second out of several thousand candidates in the examinations for the Indian Civil Service but unlike Banerjea, was not selected because he was deemed to have failed the riding test. (This may well have spared him the experience of being dismissed later on like his illustrious predecessor, since his temperament would have sat ill with British overlords. He went on to achieve worldwide renown and immortality as Sri Aurobindo, founder of a global spiritual movement that still flourishes in Pondicherry.)

It was only when World War I drove thousands of young British men to officer duty in the trenches rather than service in the Empire that the British grudgingly realized the need to recruit more Indians, and the numbers of Indians in the ICS slowly inched upwards in the last three decades of the Raj.

But till then, Indians may have had positions, but no real authority. A rare Cambridge-educated Indian judge appointed on the bench of the Allahabad High Court in 1887, Justice Syed Mahmud, suffered daily discrimination and

prejudice, especially from Chief Justice Sir John Edge, who Mahmud felt treated him like a conquered subject rather than a judicial equal. As a young man freshly returned from England enthusiastic about Empire, Mahmud had dreamed of a day when ‘the English people are known to us more as friends and fellow subjects, than as rulers and foreign conquerors’. That was not to be. On the verge of being dismissed, Mahmud—the second son of the famous reformer Sir Syed Ahmed Khan, whose support was so crucial for the British among Indian Muslims—resigned in 1892, unable to reconcile his faith in British law with his exclusion from the high table at the institutions administering it, turned to drink and depression, and died a broken man at the age of just fifty-three.

His father, Sir Syed Ahmed Khan, the founder of the Anglo-Mohammedan College and a famed advocate of British rule in India, wrote at the time of his son’s forced resignation as a judge of the Allahabad High Court:

If an Indian in such a position tries to preserve his self-respect which is concomitant to nobility and uprightness, the relations between him and his European colleagues get embittered. On the other hand, if utterly regardless of self-respect, he makes himself quite subservient to the wishes of his European colleague, who because he belongs to a conquering race, *naturally* believes in his superiority, he is able to pull on pretty well. But this can never be expected from a man who wishes to remain true to his conscience, and in whose veins runs the blood of his (noble) ancestors. It is no secret that there is as much difference between the Englishman’s treatment of his own countryman and that of others as there is between *black* and *white* [emphasis in original].

Black and white, night and day: the differences were rubbed in at every level. I have touched upon how well compensated British bureaucrats in India were, but what made things worse was how imbalanced their salaries were when compared with their local counterparts. In the first decades of the twentieth century, J. T. Sunderland observed that the difference in salaries and emoluments was so great that 8,000 British officers earned £13,930,554, while 130,000 Indians in government service were collectively paid a total of £3,284,163. The Indians were shown their place in their ranks, authority,

positions assigned, lack of career advancement—and every month when their salary slips arrived.

The long-term consequences of this included the failure to build up human capital in India, as Dadabhai Naoroji argued in 1880: ‘With the material wealth go also the wisdom and experience of the country. Europeans occupy almost all the higher places in every department of Government directly or indirectly under its control. While in India they acquire India’s money, experience, and wisdom; and when they go, they carry both away with them, leaving India so much poorer in material and moral wealth. Thus India is left without, and cannot have those elders in wisdom and experience who in every country are the natural guides of the rising generations in their national and social conduct, and of the destinies of their country; and a sad, sad loss this is!’

### *Imperial Racism: Only Disconnect*

But this was deliberate policy. William Makepeace Thackeray spoke of the need to suppress ‘haughtiness’, ‘deep thought’ and ‘independence’ of spirit in India: ‘they are directly adverse to our powers and interest. We do not want generals, statesmen and legislators. We want industrious husbandmen’. The result, of course, was racist discrimination in every sphere. As a tract put out by the ‘Indian National Party’ in London in 1915 argued: ‘It is not the Roman System of thoroughly Latinizing and assimilating the subject races that is tried by England, but the system of exploitation and degradation of a race by another for the material benefits of the latter.’

This racism infected every aspect of the Empire, and not just its civil service. Racism, of course, was central to the imperial project: it was widespread, flagrant and profoundly insulting, and it worsened as British power grew. It is instructive to note the initial attitudes of whites in India when they were not yet in a dominant position. William Dalrymple has described well how the rule of the East India Company, in the first two centuries from 1600 to 1800, was characterized by a remarkable level of interaction between the colonized and the colonizer. This included not just business ties and political and financial relations, but friendships, love affairs, and, quite frequently, marriage. During the eighteenth century, Dalrymple writes, ‘it was almost as common for Westerners to take on the customs and even the religions of India as the reverse.



Contrary to stereotype, a surprising number of company men responded to India by slowly shedding their Britishness like an unwanted skin and adopting Indian dress and taking on the ways of the Mughal governing class they came to replace'. Salman Rushdie has called this 'chutnification'; Dalrymple dubs the practitioners of this approach 'White Mughals'.

Between 1780 and 1785, Dalrymple says, 'the wills of company officials show that one in three were leaving everything to Indian wives, often accompanied by moving declarations of love asking their close friends to care for their "well beloved" Indian partners, or as one put it, "the excellent and respectable Mother of my two children for whom I feel unbounded love and affection and esteem". Family portraits from the period are remarkable for the ease with which two races and religions cohabit, with British men dressed in turbans and kurta pajamas, while their Indian wives sit in the European manner on European furniture. One official, the Boston-born Sir David Ochterlony, who every evening used to take all thirteen of his Indian consorts around Delhi, each on the back of her own elephant, went so far as to build a Mughal garden tomb for himself and his chief wife, where the central dome was topped by a cross and flanked by a forest of minarets. A note from Ochterlony gives a measure of the surprisingly multi-religious tone of this period. "Lady Ochterlony," he reported to Calcutta, "has applied for leave to make the Hadge to Mecca."

The contrast with the later half of British rule, with the assertion of incontestable British political and military dominance and the arrival of the 'fishing fleet', as well as the fear and rage that multiplied after the Revolt (or 'Mutiny') of 1857, is striking. Sir John Malcolm, later Governor of Bombay, wrote in 1832, 'our Eastern empire... has been acquired, and must be maintained, by the sword'. Not only was there no pretence of ruling with the consent of the governed ('a passive allegiance', Malcolm added, 'is all [Indians] will ever give to their foreign masters'); there was, in essence, almost complete apartheid, a profound belief in racial differences, 'and little friendship or marriage across strictly policed racial and religious boundaries.'

This became apparent again as late as 1942 during the disastrous British retreat from Malaya, Singapore and Burma. As Mahatma Gandhi wrote in his newspaper column in August 1942: 'Hundreds, if not thousands, on their way from Burma perished without food or drink, and the wretched discrimination

stared even these miserable people in the face. One route for whites, another for blacks! Provision of food and shelter for the whites, none for the blacks! India is being ground down into the dust and humiliated even before the Japanese advent.’ Bitterness at racial discrimination even in defeat played no small part in Gandhi’s decision to launch the ‘Quit India’ movement that month, calling for Britain’s departure from India.

Much of imperial literature portrayed the British empire as a ‘family’, the Queen as the benign mother figure presiding like a humourless matriarch over her far-flung progeny, the Indians as simple children in need of strict discipline, and the imperial space itself as a sort of elaborate Victorian drawing-room in which civilized manners could be imparted to the unruly heathen brood. This very metaphor pops up in the quarrel between Ronny and Mrs Moore in E. M. Forster’s *A Passage to India*, when Ronny argues that ‘India isn’t a drawing-room’ while his mother sees the domestic virtues of courtesy and kindness as leading the British empire into becoming ‘a different institution’.

The inversion of values so essential to the imperial project is evident in a story like Rudyard Kipling’s ‘Naboth’, the tale of an Indian hawker or street-vendor who takes advantage of a colonial Englishman’s kindness to gradually appropriate more and more of the latter’s land and build himself a hut there. In the end, of course, the Englishman throws out the Indian (from what is, after all, Indian soil!) and the story ends with the lone narrator’s triumphalism over the ungrateful Indian: ‘Naboth is gone now, and his hut is ploughed into its native mud with sweetmeats instead of salt for a sign that the place is accursed. I have built a summer house to overlook the end of the garden, and it is as a fort on my frontier where I guard my Empire.’

Though he turned down several invitations to become Britain’s Poet Laureate, Rudyard Kipling (1865–1936) was for much of his adult life the unofficial Poet Laureate of Empire. His roots as the quintessential writer of imperialism ran deep: Kipling, the cub reporter for seven years with newspapers in Lahore and Lucknow, was eighteen when Lord Ripon unsuccessfully attempted to allow Indian judges to try Europeans, and the controversy (in which he, of course, sympathized with his racist fellow settlers) shaped his attitude to the need for ‘dominion’ over ‘lesser breeds without the Law’. Kipling wrote articles designed to show the inability of Indians to govern themselves, prefiguring Kipling the

later imperial prophet declaiming thunderous anapests about the white man's burden. In both incarnations, Kipling the arch-imperialist, in the admission of a sympathetic biographer, wrote of Indians 'sometimes with a rare understanding, sometimes with crusty, stereotyped contempt'. What matters in Kipling's work is not Indians, not even the physical and social details of India that he knowingly throws into his narratives, but the vastness and passion animating his vision and rendering of Empire itself. Scholars have come to see Kipling's writings as 'part of the defining discourse of colonialism' which both 'reinscribe cultural hegemony and the cultural schizophrenia that constructed the division between the Englishman as demi-God and as human failure, as colonizer and semi-native'.

The British saw themselves as a civilizing force, the 'brave island-fortress/of the storm-vexed sea' in the line of the poet Sir Lewis Morris, written on the occasion of Queen Victoria's Diamond Jubilee. Macaulay, for all his sins, was more alive to the contradictions of the imperial mission: 'Be the father and the oppressor of the people,' he wrote, 'be just and unjust, moderate and rapacious.' Not every Englishman in India can be accused of having any great notions of serving such warped ideas of Empire. Many, like the teacher Cyril Fielding in Forster's *A Passage to India*, saw themselves as merely being in India because they needed the job—petty men in the service of a great cause they did not personally think about, a cause they saw propagated in the form of bibles, bayonets and brandy.

The British aristocracy, of course, saw themselves as transcending every possible distinction held by Indians of whatever lineage. 'The Aga Khan,' the College of Heralds in London once noted, 'is held by his followers to be a direct descendant of God. English Dukes take precedence.'

Rudyard Kipling was emblematic of a late nineteenth-century paradox: imperialists saw their mission not only in terms of the lands they subjugated and ruled, but as part of a vital task of stiffening the backbone of an increasingly soft metropole. The wild frontier was a place for the hardy Englishman to test his mettle, demonstrate his toughness, and celebrate the virtues of manliness, fidelity to a band of brothers, and loyalty to Queen and country. *Kim* begins with the English protagonist atop the Zam-Zammah cannon that symbolized authority and control over the Punjab, having knocked Hindus and Muslims off the gun

before him. ‘Who hold Zam-Zammah, that “fire-breathing dragon”, hold the Punjab, for the great green-bronze piece is always first of the conqueror’s loot. There was some justification for Kim...since the English held Punjab and Kim was English’.

According to this line of thinking, the imperial enterprise required men of courage, capable of violence, prepared for action and ready at all times to prevail against the unwashed hordes, qualities reaffirmed in the works of Kipling (such as *Stalky & Co.*, where British schoolboys triumph through savagery) and other ‘masculinist’ writers of Empire. This literary reaffirmation is all the more ironic, since it celebrates qualities that are proudly deployed in pursuit of a civilizing mission. The Empire’s heroes were, in other words, men who used barbarity to pacify the supposedly barbarous.

As Lieutenant Herbert Edwardes wrote in 1846 of his mission in India: ‘There is something noble in putting the hand of civilization upon the mane of a nation like the Punjab...and looking down brute passions.’ It is striking that the Punjab in this metaphor is like an untamed beast on whose ‘mane’ the civilizing British hand must be firmly placed. Lord Curzon told an audience at Oxford University in 1907 that it was on the uncivilized outskirts of Empire that were found ‘the ennobling and invigorating stimulus for our youth, saving them alike from the corroding ease and the morbid excitements of Western civilization’. Impelled by such ideas, imperialists during the second half of the nineteenth century developed and expressed a strong preference for the noble savage (the primitive, wild, martial but ‘manly’ tribesman and his ilk) over the educated ‘wog’ (the effete, culturally-hybrid Westernized Oriental gentlemen later to be derided as Macaulayputras). In Kipling’s racially repugnant *Kim*, the latter is typified in the character of Hurree Chunder Mookerjee, the ‘baboo’ ethnographer in the employ of the British authorities, who, with his mangled English and forlorn hopes of being elected to the British Royal Society, is mocked for aspiring to be that which he never can be—a member of the colonizing class rather than merely one of its subjects.

Even E. M. Forster, the English novelist whose *A Passage to India* received the most uncritical reception from Indian nationalists in his time (the India League chief, Krishna Menon, even arranged its publication by Allen Lane) echoed the idea of Empire, most notably in his depiction of the impossibility of

friendship between an Englishman and an Indian in the famous closing lines to his novel:

‘Why can’t we be friends now?’ said the other, holding him affectionately. ‘It’s what I want. It’s what you want.’ But the horses didn’t want it—they swerved apart: the earth didn’t want it, sending up rocks through which riders must pass single file; the temple, the tank, the jail, the palace, the birds, the carrion, the Guest House, that came into view as they emerged from the gap and saw Mau beneath: they didn’t want it, they said in their hundred voices, ‘No, not yet,’ and the sky said ‘No, not there.’

Forster’s Indian protagonist, a middle-class doctor with a traditional Muslim family, was not the social or intellectual equal of his Englishman, Fielding, and perhaps true friendship between them would have been impossible even in a non-imperial India. But Forster, whose book omits all mention of the Indian nationalist movement, and who caricatures his only major Hindu character, seemingly cannot conceive of either the kind of Indian (like Surendra Nath Banerjea) who had won entry into the ICS or the kind (like Jawaharlal Nehru) whose critiques of Empire were challenging the foundations of the Raj. It is a stultifying limited vision, which never arises above the mystery and the muddle that this well-intentioned Englishman saw India as. ‘Only connect’, says the memorable epigraph in Forster’s *Howards End*: as an Indian reader, one can only wish that he, and the British in India, had.

### *British Governance, the Swadeshi Movement and the Advent of Mahatma Gandhi*

Britain’s motives may have been entirely selfish, as I demonstrate in Chapter 1, but on the positive side, its imperialism brought in law and order amid what looked perilously like anarchy, settled the perennial conflicts amongst warring groups and principalities, and permitted a less violent form of political competition than might otherwise have occurred in India. ‘Imperialism,’ Robert Kaplan suggests, ‘confers a loose and accepted form of sovereignty, occupying a middle ground between anarchy and full state control’. ‘Accepted’ is a contestable term, of course, but acquiescence is also a form of acceptance, and many Indians, in the end, accepted British sovereignty, if only because they had no choice.

The Government of India Act, 1858, transformed the post of governor-general (soon re-designated as the viceroy), who would be directly responsible for the administration of India, along with provincial governors. The governors-general or viceroys were provided with councils, in which members were nominated. In 1861, new legislation allowed Indians to be added by nomination to the legislative councils of the governor-general and the provincial governors. Indians had to wait till the Indian Councils Act of 1892 (which amended the Act of 1861) and the subsequent Minto–Morley Reforms of 1909, both well after the 1885 founding of the Indian National Congress by Allan Octavian Hume and William Wedderburn, together with a number of prominent Anglophone Indians to benefit from the increased participation of Indians in the councils both at the centre and the provinces.

However, the Acts of 1892 and 1909 were at best cosmetic alterations to the established system and marginally affected how these Indian councils were constituted and functioned. They increased the council membership through indirect election (in other words, selection by the British) but in reality, these councils had no powers worth the name. They had the right to raise issues in the councils but not to make any decisions; they could express the voice of the Indian public (or at least its élite, English-educated sections) but had no authority to pass laws or budgets. That power still lay with the governor-general, who could reject any resolutions passed by the council or impose upon the council the need to discuss and pass a resolution if he deemed it necessary for India.

The secretary of state for India who gave his name to the 1909 reforms, John Morley, had even opposed increasing membership of Indians to the Indian councils and argued that in his view the British government of India was run with all the consent and representation of the Indian people it needed. ‘[If] this chapter of reform led directly or necessarily to the establishment of a parliamentary system in India, I for one will have nothing at all to do with it’, he declared. Indeed, such a thought could not have been farther from the minds of the reformers; every ‘reform’ that the British government brought into India’s governance, up to the Government of India Act of 1935, protected the absolute authority of the governor-general and the Parliament of Britain. The Indian councils at the centre and provincial levels were always bodies with no real

authority on any significant matter, and budgets, defence and law and order remained firmly in British hands. The objective was a gradual increase in representative government, not the establishment of full-fledged democracy.

In the book *Recovering Liberties*, the late Professor C. A. Bayly made an impressive case for the argument that Britain helped liberalism take root in India by institutionalizing it through schools and colleges, newspapers, and colonial law courts, and thereby converted an entire generation of Indians to a way of thinking about their own future that led to today's Indian democracy. The problem is that this liberalism was practised within severe limits. The Indian National Congress was established in 1885 as a voice of moderate, constitutionalist Indian opinion by a Scotsman, Allan Octavian Hume, and a group of well-educated, establishmentarian Indians. Far from welcoming such a development, as a truly liberal regime seeking to instil democracy in its charges ought to have done, the British reacted to it with varying degrees of hostility and contempt.

The English journalist Henry Nevinson wrote in 1908:

For twenty-two years, 'it [the Congress] was a model of order and constitutional propriety. It passed excellent resolutions, it demanded the redress of acknowledged grievances, in trustful loyalty it arranged deputations to the representatives of the Crown. By the Anglo-Indians [the British in India]<sup>8</sup> its constitutional propriety was called cowardice, its resolutions remained unnoticed, its grievances un-redressed, and the representative of the Crown refused to receive its deputation... [Indians realized] that it was useless addressing pious resolutions to the official wastepaper basket.

[8 The British used the term 'Anglo-Indian' to refer to British people living and working in India, and 'Eurasian' to refer to those of mixed parentage, usually the children of lower-ranking Europeans and 'other ranks' who could not afford to snare one of the women from the 'fishing fleet' and ended up cohabiting with, and in a few cases marrying, Indian women. Today, the descendants of these Eurasians are known as 'Anglo-Indians', a term that causes confusion to readers of colonial documents, where the term only refers to the English in India.]

It was this attitude, more than anything else, that was to transform the Indian nationalist movement into becoming more militant. British attempts to suppress political activities that merely involved the exercise of free speech showed up

the insincerity, or at least the poverty, of any claims of liberalism. For instance, Nevinson, who attended an Indian political meeting on the beach in Madras at the dawn of the century, recorded his impressions:

The chairman...summarized the history of the last year of suspicion, repression, deportation, imprisonment, flogging of boys and students for political causes, and the Seditious Meetings Act. It was all done without passion or exaggeration, and he ended with a simple resolution calling on the Government to repeal the deportation statute as contrary to the rights which England had secured for herself under the Habeas Corpus. Four speakers supported the resolution, and all spoke with the same quiet reasonableness, so different from our conception of the Oriental mind... Only Anglo-Indians [i.e. the English in India] could have called the speeches seditious. To a common type of Anglo-Indian mind, any criticism of the Government, any claim to further freedom, is sedition. But though this was avowedly a meeting of Extremists, the claim in the speeches was for the simple human rights that other peoples enjoy the right to a voice in their own affairs, and in the spending of their own money.

Since such approaches never worked, the national movement soon began to take a different approach, that of mass political agitation against Curzon's 1905 Partition of Bengal, in order to make an effective impact upon the British. Outraged Bengali youths campaigned in towns and villages for the people to show their opposition to the colonial division of their homeland, preaching *swadeshi* (reliance on Indian-made goods) and urging a boycott of British goods. Shops that continued the sale of foreign goods were surrounded by youths who implored customers, often by prostrating themselves in supplication before prospective purchasers, never by intimidation, for the sake of their country, to depart without purchasing. This form of picketing was never violent, but it was not what the British were used to. As British merchants in Bengal complained of a dramatic downturn in their sales and the conversion of regular profits into unaccustomed losses, the agitation triumphed: the British reversed the Partition.

It was with complete awareness of the success of this short-lived burst of mass politics that a thin, bespectacled lawyer wearing coarse homespun,



Mohandas Karamchand Gandhi, returned to India in 1915 from a long sojourn in South Africa. There, his 'experiments with truth' and his morally-charged leadership of the Indian diaspora had earned him the sobriquet of Mahatma ('Great Soul'). Starting off as a not particularly gifted lawyer engaged by an Indian in South Africa to plead a routine case, Gandhi had developed into a formidable figure. Appalled by the racial discrimination to which his countrymen were subject in South Africa, Gandhi had embarked upon a series of legal and political actions designed to protest and overturn the iniquities the British and the Boers imposed upon Indians. After his attempts to petition the authorities for justice (and to curry favour with them by organizing a volunteer ambulance brigade of Indians) had proved ineffective, Gandhi developed a unique method of resistance through civil disobedience.

Gandhi's talent for organization (he founded the Natal Indian Congress) was matched by an equally rigorous penchant for self-examination and philosophical enquiry. Instead of embracing the bourgeois comforts that his status in the Indian community of South Africa might have entitled him to, Gandhi retreated to a communal farm he established outside Durban, read Henry David Thoreau, and corresponded with the likes of John Ruskin and Leo Tolstoy, all the while seeking to arrive at an understanding of 'truth' in both personal life and public affairs. The journey from petition politics to *satyagraha* (holding on to truth or, more commonly, if not entirely accurately, non-violent resistance) was neither short nor easy, but having made it and then returned to his native land, the Mahatma brought to the incipient nationalist movement of India an extraordinary reputation as both saint and strategist.

His singular insight was that self-government would never be achieved by the resolutions passed by a self-regarding and unelected elite pursuing the politics of the drawing room. To him, self-government had to involve the empowerment of the masses, the toiling multitudes of India in whose name the upper classes were clamouring for Home Rule. This position did not go over well with India's political class, which consisted in those days largely of aristocrats and lawyers, men of means who discoursed in English and demanded the rights of Englishmen. Nor did Gandhi's insistence that the masses be mobilized not by the methods of 'princes and potentates' (his phrase) but by moral values derived from ancient tradition and embodied in *swadeshi* and *satyagraha*.

To put his principles into practice, the Mahatma lived a simple life of near-absolute poverty in an ashram and travelled across the land in third-class railway compartments, campaigning against untouchability, poor sanitation and child marriage, and preaching an eclectic set of virtues from sexual abstinence to the weaving of khadi (homespun cloth) and the beneficial effects of frequent enemas. That he was an eccentric seemed beyond doubt; that he had touched a chord amongst the masses was equally apparent; that he was a potent political force soon became clear.

Gandhi's ascent, enabled by the Raj's failure to live up to the principles and values it professed, proved a repudiation of British liberalism, and not, as Bayly suggests, its vindication.

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Even in the twentieth century, when the British moved grudgingly and fitfully towards what Secretary of State for India Lord Montagu had termed 'responsible self-government', there was no serious intent to develop credible political institutions in India. There had been widespread expectations that, in response to India's, and specifically Mahatma Gandhi's, support for Britain in World War I, not to mention the sacrifices of Indian troops, India would, at the end of the conflict, be granted Dominion status (connoting autonomous self-government within the Empire, as enjoyed by Australia, Canada and the rest of the 'White Commonwealth'). In 1917, Lord Montagu had placed before the British Cabinet a proposed declaration pledging 'the gradual development of free institutions in India with a view to ultimate self-government'. The former viceroy and later foreign secretary, Lord Curzon, thought this went too far, and suggested an alternative phrasing straight out of Sir Humphrey Appleby in *Yes, Minister*—that the government would work towards 'increasing association of Indians in every branch of the administration and the gradual development of self-governing institutions with a view to the progressive realization of responsible government in India as an integral part of the British empire'. The Cabinet approved this convoluted and insincere formula in place of Montagu's original wording and promptly reneged on the intent it had signalled.

Self-government under the 'Montagu–Chelmsford Reforms' ushered in to fulfil this declaration turned out to involve a system where Indians would serve

as window-dressing for British imperial power. Representatives—elected by a franchise so restricted and selective that only one in 250 Indians had the right to vote—would exercise control over ‘harmless’ subjects the British did not care about, like education and health, while real power, including taxation, law and order and the authority to nullify any vote by the Indian legislators, would rest with the British governor of the provinces. The governor, and at the centre the viceroy, retained the right to reject any vote of the elected legislators and enact any laws the elected representatives refused to pass. Far from leading to ‘the progressive realization of responsible government in India’, this was regressive indeed, and it was unanimously rejected by Indian public opinion and by the Mahatma, who felt a deep sense of personal betrayal.

The Non-Cooperation movement ensued, and though it was suspended by Gandhi after a shocking incident of violence by Indian nationalists, the turn away from compromise with British colonialism had become irreversible. By 1930, the Indian National Congress had decided to go beyond its modest goals of 1918. It issued a Declaration of Independence on 26 January 1930:

The British government in India has not only deprived the Indian people of their freedom but has based itself on the exploitation of the masses, and has ruined India economically, politically, culturally and spiritually...

Therefore...India must sever the British connection and attain *Purna Swaraj* or complete independence.

### *The Great War and the Great Betrayal*

The background to this sense of betrayal is important to understand. Eight years before Gandhi’s return to India, and well before the War, Henry Nevinson had already spelled out in 1908 the reasons why Indians were dissatisfied with the Raj:

Unrest in India was occasioned by...the contemptuous disregard of Indian feeling in the Partition of Bengal and Lord Curzon’s University speech upon Indian mendacity; the exclusion of fully qualified Indians from public positions, in contradiction to Queen Victoria’s Proclamation of 1858; several notorious cases of injustice in the law courts, where English criminals were involved; numerous instances of petty persecution for

political opinions; the well-known measures for the suppression of personal liberty and freedom of speech; the espionage of police and postal officials; and the increasing insolence of the vulgar among Anglo-Indians, as shown in ordinary behaviour and in the newspapers which represent their views.

To this was added the extraordinary Indian support for the war effort and its humiliating British recompense.

As many as 74,187 Indian soldiers died during World War I and a far higher number were wounded. Their stories, and their heroism, were largely omitted from British popular histories of the war, or relegated to the footnotes.

India contributed a number of divisions and brigades to the European, Mediterranean, Mesopotamian, North African and East African theatres of war. In men, animals, rations, supplies and money given to Britain its assistance exceeded that of any other nation. In historical texts, it often appears formally that the Government of India 'offered' such help to the British and that His Majesty's Government 'graciously accepted' the offer to pay unfairly large amounts of money, including a lump sum of £100 million as a special contribution to HMG's expenses towards a European war. This elides the fact, of course, that the 'Government of India' consisted of Englishmen accountable to His Majesty's Government in Britain.

The number of soldiers and support staff sent on overseas service from India during World War I was huge: among them 588,717 went to Mesopotamia, 116,159 to Egypt, 131,496 to France, 46,936 to East Africa, 4,428 to Gallipoli, 4,938 to Salonica, 20,243 to Aden and 29,457 to the Persian Gulf. Of these Indians, 29,762 were killed, 59,296 were wounded, 3,289 went missing, presumed dead, and 3,289 were taken prisoner. Of the total of 1,215,318 soldiers sent abroad there were 101,439 casualties.

The British raised men and money from India, as well as large supplies of food, cash and ammunition, collected both by British taxation of Indians and from the nominally autonomous princely states. In addition, £3.5 million was paid by India as the 'war gratuities' of British officers and men of the normal garrisons of India. A further sum of £13.1 million was paid from Indian revenues towards the war effort. It was estimated at the time that the value of India's contribution in cash and kind amounted to £146.2 million, worth some £50

billion in today's money. (Some estimates place the value of India's contribution much higher.)

In Europe, Indian soldiers were among the first victims who suffered the horrors of the trenches. They were killed in droves before the war was into its second year and bore the brunt of many a German offensive. Indian infantrymen stopped the German advance at Ypres in the autumn of 1914, soon after the war broke out, while the British were still recruiting and training their own forces. Hundreds were killed in a gallant but futile engagement at Neuve Chapelle. More than a thousand of them died at Gallipoli, thanks to Churchill's folly in ordering an ill-conceived and badly-planned assault reminiscent of the Charge of the Light Brigade in the Crimean War. Nearly 700,000 Indian sepoys fought in Mesopotamia against the Ottoman empire, Germany's ally, many of them Indian Muslims taking up arms against their co-religionists in defence of the British empire.

Letters sent by Indian soldiers in France and Belgium to their family members in their home villages speak an evocative language of cultural dislocation and tragedy. 'The shells are pouring like rain in the monsoon', declared one. 'The corpses cover the country like sheaves of harvested corn', wrote another.

These men were undoubtedly heroes: pitchforked into battle in unfamiliar lands, in harsh and cold climatic conditions they were neither used to nor prepared for, fighting an enemy of whom they had no knowledge, risking their lives every day for little more than pride. Yet they were destined to remain largely unknown once the war was over: neglected by the British, for whom they fought, and ignored by their own country, from which they came. Part of the reason is that they were not fighting for India. None of the soldiers was a conscript: soldiering was their profession. They served the very British empire that was oppressing their own people back home.

In return for India's extraordinary support, the British had insincerely promised to deliver progressive self-rule to India at the end of the war. Perhaps, had they kept that pledge, the sacrifices of India's World War I soldiers might have been seen in their homeland as a contribution to India's freedom.

But the British broke their word. As we have seen, Mahatma Gandhi, who had returned to his homeland for good from South Africa in January 1915, supported the war, as he had supported the British in the Boer War. He hoped, he wrote,

‘that India, by this very act, would become the most favourite partner [of the British], and racial distinctions would become a thing of the past’. Sir Rabindranath Tagore was somewhat more sardonic about nationalism: ‘We, the famished, ragged ragamuffins of the East are to win freedom for all humanity!’ he wrote, during the War. ‘We have no word for “Nation” in our language.’ India was wracked by high taxation to support the war and the high inflation accompanying it, while the disruption of trade caused by the conflict led to widespread economic losses—all this while the country was also reeling from a raging influenza epidemic that took millions of lives. But nationalists widely understood from Montagu’s 1917 declaration that at the end of the war India would receive the Dominion status hitherto reserved for the ‘White Commonwealth’.

It was not to be. When the war ended in triumph for Britain, India was denied its promised reward. Instead of self-government, the British offered the fraudulent Montagu–Chelmsford Reforms in 1918 that left all power in British hands and attempted to fob off the Indians with minimal authority over inconsequential issues. If Indians were disappointed, so were Britons with a sense of fair play. British MP Dr Vickerman Rutherford declared:

Never in the history of the world was such a hoax perpetrated upon a great people as England perpetrated upon India, when in return for India’s invaluable service during the War, we gave to the Indian nation such a discreditable, disgraceful, undemocratic, tyrannical constitution.

Instead of offering more democracy, Britain went farther in the opposite direction. It passed the repressive Rowlatt Act in 1919, reimposing upon India all the wartime restrictions on freedom of speech and assembly that had been lifted with the Armistice. The Act vested the viceroy’s government with extraordinary powers to quell ‘sedition’ against the Empire by silencing and censoring the press, detaining political activists without trial, and arresting without a warrant any individuals suspected of treason against the Empire. The Act granted the authorities the power to arrest Indians on mere suspicion, and to try them in secrecy without a right to counsel or a right of appeal. It was a return to the practices of the Spanish Inquisition animated by the presumption of guilt

and with no rights for the accused against a people who thought they had just earned the right to control their own political destiny.

Public protests against this draconian legislation were quelled ruthlessly. The worst incident was the Jallianwala Bagh massacre of hundreds of unarmed innocents in April 1919, which is discussed more fully in chapters 3 and 4. The fact that Brigadier General Reginald Dyer, who showed exceptional brutality and racism in Amritsar, was hailed as a hero by the British, who raised a handsome purse to reward him for his deed, marked the final rupture between British imperialism and its Indian subjects. Sir Rabindranath Tagore returned his knighthood to the British in protest against 'the helplessness of our position as British subjects in India'. Tagore's early ambivalence about the costs and benefits of British rule was replaced after Amritsar by what he termed a 'graceless disillusionment' at the 'misfortune of being governed by a foreign race'. He did not want a 'badge of honour' in 'the incongruous context of humiliation'.

With British betrayal providing such a sour ending to the narrative of a war in which India had given its all and been spurned in return, Indian nationalists felt that self-governance could never be obtained by legal means from perfidious Albion, but would have to be wrested from the unwilling grasp of the British through a struggle for freedom.

## DEMOCRACY, THE PRESS, THE PARLIAMENTARY SYSTEM AND THE RULE OF LAW

A good part of the British case for having created India's political unity and democracy lies in the evolution of three of democracy's building-blocks during the colonial era: a free press, an incipient parliamentary system and the rule of law. This trifecta, which India retains and has continued to develop in its own ways, existed in the colonial era, but with significant distortions, and is therefore worth examining.

At the high noon of early twenty-first-century imperial hubris, with America poised to invade Iraq, Russia in retreat, the Taliban in disarray and Bin Laden in hiding, and the currents of globalization flowing strongly (and seemingly irresistibly) around the world, the controversial Scottish historian Niall Ferguson published *Empire: How Britain Made the World*, which saw in the past all the virtues he wished to celebrate in the present. The British, Ferguson wrote, combined commerce, conquest, and some 'evangelical imperialism' in an early form of globalization—or, in a particularly infelicitous word, 'Anglobalization'—and in so doing Britain bequeathed to a large part of the world nine of its most distinctive and admirable features, the very ones that had made Britain great: the English language, English forms of land tenure, Scottish and English banking, the common law, Protestantism, team sports, the 'night watchman' state, representative assemblies, and the idea of liberty. The last of these, he tells us, is 'the most distinctive feature of the Empire' since 'whenever the British were behaving despotically, there was always a liberal critique of that behaviour from within British society'.

We shall return to the broader elements of Ferguson's analysis (and that of other apologists for Empire like Lawrence James) in Chapter 7, but it is the claims to liberal democracy that detain us now. Ferguson is uncompromising: 'India, the world's largest democracy, owes more than it is fashionable to acknowledge to British rule. Its elite schools, its universities, its civil service, its



army, its press and its parliamentary system all still have discernibly British models... Without the influence of British imperial rule,' he adds, 'it is hard to believe that the institutions of parliamentary democracy would have been adopted by the majority of states in the world, as they are today'.

As befits an economic historian, Ferguson contends, in a later thesis that ventures beyond India, that Empire 'not only underwrites the free international exchange of commodities, labour and capital but also creates and upholds the conditions without which markets cannot function—peace and order, the rule of law, non-corrupt administration, stable fiscal and monetary policies as well as provides public goods, such as transport infrastructure, hospitals and schools, which would not otherwise exist'. The liberalism of Empire means that those who become its subjects gain greatly from their subjection and this, to Ferguson, proves that Empire benefits the colonized as well as the imperial centre. British rule in India is one of Ferguson's exhibits for this thesis, and in this (as in the previous and the next) chapter we shall examine the actual record of Britain in advancing the much-vaunted elements of liberal democracy so often cited by Raj apologists.

### *The (Partly) Free Press*

Apologists for Britain, and many critics, tend to give the Empire credit for introducing the concept of the free press to India, starting the first newspapers and promoting a consciousness of the rights a free citizen was entitled to enjoy. It is certainly true that Indian nationalism and the independence movement could not have spread across the country without the active involvement of the free press.

Although the first printing press was introduced to the subcontinent by the Portuguese in 1550, it only printed books, as indeed did the first British printing press, established in Bombay in 1664. It took more than a century for the first newspaper to be printed in India when, in 1780, James Augustus Hicky published his *Bengal Gazette*, or *Calcutta General Advertiser*. But the East India Company soon looked askance at his inconvenient views and, after two years of mounting exasperation, seized his press in 1782.

This did not, however, dissuade others less contentious in manner than Hicky, and soon a raft of British newspapers began printing in India: the first four in the

Company capital of Calcutta—*The Calcutta Gazette* in 1784, *The Bengal Journal* and *The Oriental Magazine of Calcutta* in 1785, and *The Calcutta Chronicle* in 1786—and then two in the other principal British trading centres, *The Madras Courier* in 1788 and *The Bombay Herald* in 1789. These newspapers all reflected the interests of the small European community, particularly commercial interests, and provided useful, if not always accurate, information about the arrivals and departures of ships and developments in the governance of the colony. They did establish a newspaper culture in British India, however, and though none of the initial newspapers survived, it was soon apparent that the press was here to stay.

Alarmed by their proliferation, and concerned that the Company's critics and enemies (including conceivably the French) could use the press to the Company's disadvantage, Lord Wellesley introduced the Censorship of the Press Act, 1799, which brought all newspapers in India under the scrutiny of the Government of India prior to publication. This Act was later extended in 1807 to cover all kinds of publications—newspapers, magazines, books and pamphlets. Some of the more obstreperous publications were closed down; the editors of *Indian World*, *Bengal Gazette* and *Calcutta Journal* were even arrested and deported to England for their intemperate criticism of Company officials and policies. It was not a propitious beginning for the idea of a free press in India.

The draconian restrictions were eased soon enough, as the Company established its stranglehold over India and the threats to it from European rivals disappeared. The growing independence of the press in the mother country also began to be reflected in India. While many of the early newspapers faded away—sometimes with the death or departure of their publishers, sometimes because they were not commercially viable given their small readership base, and sometimes because the editors and staff simply ran out of enthusiasm for their task and adequate replacements could not be found—others not only survived but established a considerable following. The *Times of India*, established in Bombay in 1838, and the *Calcutta Statesman* (which began life in 1875, but incorporated the *Friend of India* which was founded in 1818) soon established themselves as reliable pillars of the establishment, solidly committed to British imperial interests but able to criticize the policies and actions of the government in a responsible manner. As the British expanded across northern India, *The*

*Pioneer* established itself in Lucknow as the third in a colonial triumvirate of newspapers whose views could be taken as broadly representative of the British community in India.

It must, therefore, be acknowledged that it was the British who first established newspapers in India, which had been unknown before colonial rule, and it is to their credit that they allowed Indians to emulate them in doing so both in English, catering to the tiny English-educated elite (and its aspirational imitators) and in Indian vernacular languages. The *Bombay Samachar*, in Gujarati, was founded in 1822 (it is still running, and proudly calls itself the oldest newspaper in Asia still in print) and a few decades later, two Bengali-owned newspapers followed suit in Calcutta, *The Bengalee* in 1879 (later purchased, and edited for thirty-seven years, by Surendra Nath Banerjea after he left the ICS) and the formidable *Amrita Bazar Patrika* in 1868 (which, after being founded as a Bengali-language publication, then became a bilingual weekly for a time, before turning into an English-language newspaper in 1878 to advocate nationalist interests. The *Amrita Bazar Patrika* became a formidable pro-Congress voice and survived till the late twentieth century, before closing in 1986).

Other English-language, Indian-owned newspapers addressed themselves to Indian readers but in the awareness that their views would be paid attention to by the colonial authorities; this made them increasingly influential in the freedom movement. Arguably the most notable of these was *The Hindu* in Madras, established as a weekly in 1878 and converted into a daily from 1889, which the British came to regard for a long time as the voice of responsible Indian opinion. (*The Hindu's* first issue counted a grand total of eighty copies, printed with 'one rupee and eight annas' of borrowed money by a group of four law students and two teachers).

In the early twentieth century, Indian nationalists began to establish newspapers explicitly to advocate their cause: the best of these were the *Bombay Chronicle*, founded by former Congress president Sir Pherozeshah Mehta in 1910, *Hindustan Times*, which was started by the Congress-supporting Birla business family in 1924, and Jawaharlal Nehru's own *National Herald*, which started publication in 1938. The Muslim League followed suit, when its political fortunes picked up during the war years, Muhammad Ali Jinnah establishing

*Dawn* in Karachi and Delhi in 1941.

By 1875, it was estimated that there were 475 newspapers in India, the vast majority owned and edited by Indians. They catered to the literate minority—less than 10 per cent of the population at that time—but their influence extended well beyond this segment, since the news and views they published were repeated and spread by word of mouth. The nascent library movement in India also helped, as did public reading-rooms, and each copy sold enjoyed at least a dozen readers. Though the newspapers were printed and published in the big cities, editions made their way, sometimes three days later, to the rural areas and ‘mofussil [district, non-metropolitan] towns’, where they were eagerly awaited and avidly read. There is no doubt that the press contributed significantly to the development and growth of nationalist feelings in India, inculcated the idea of a broader public consciousness, exposed many of the failings of the colonial administration and played an influential part in fomenting opposition to many aspects of British rule.

Inevitably, the British authorities began to be alarmed: Lord Lytton brought in a Vernacular Press Act in 1878 to regulate the Indian-language papers, and his government kept a jaundiced eye on the English-language ones. (It was the introduction of this Act that prompted the *Amrita Bazar Patrika* to convert itself into an English-language newspaper overnight, to avoid coming under the new law’s purview.) Still, outright censorship and repression would not have gone down well with the British public at home, and the authorities had to tread warily. While on certain occasions of grave danger to Britain, especially at times of war, and during periods of elevated nationalist resistance, the press was directly curtailed to protect imperial interests—the Rowlatt Acts come to mind—a wide range of criticism of British administration was permitted most of the time. Indeed, the Indian vernacular press was allowed to get away with crude invective: for instance, in 1889, a Bengali newspaper, *Halishaher Patrika*, colourfully described the British Lieutenant Governor Sir George Campbell as ‘the baboon Campbell with a hairy body... His eyes flash forth in anger and his tail is all in flames’. But had its anti-colonialism taken on a more explicitly political tone, for instance in questioning the very premises of British rule at all or calling for its overthrow, the authorities would not have been quite as tolerant.

One of the most notable accomplishments of the Indian nationalist media,

during a period of relative freedom, ironically has implications that haunt the subcontinent even today. In 1891, a journalist from the *Amrita Bazar Patrika* managed to rummage through the wastepaper basket at the office of Viceroy Lord Lansdowne. There he found the fragments of a torn-up letter, which with great enterprise he managed to piece together. The letter contained explosive news, revealing as it did in considerable detail the viceroy's plans to annex the Hindu maharaja-ruled Muslim-majority state of Jammu & Kashmir. To the consternation of the British authorities, *Amrita Bazar Patrika* published the letter on its front page. The cat was out of the bag: the newspaper reached the maharaja of Kashmir, who promptly protested, set sail for London and vehemently lobbied the authorities there to honour their predecessors' guarantees of his state's 'independent' status. The maharaja was successful, and Indian nationalists congratulated the *Patrika* on having thwarted the colonialists' imperial designs. Had this exposé not taken place, Kashmir would not have remained a 'princely state', free to choose the country, and the terms, of its accession upon Independence in 1947; it would have been a province of British India, subject to being carved up by a careless British pen during Partition. The contours of the 'Kashmir problem' would have looked very different today.

Nonetheless, the Lansdowne-*Patrika* episode was an exception: for much of the time, the Indian media operated under severe constraints. The revised Press Act of 1910 was designed to limit the influence of editors on public opinion; it became a key instrument of British control of the Indian press. Under its provisions an established press or newspaper had to provide a security deposit of up to five thousand rupees (a considerable sum in those days); a new publication would have to pay up to two thousand. If the newspaper printed something of which the government disapproved, the money could be forfeit, the press closed down, and its proprietors and editors prosecuted. The Congress leader Annie Besant, for instance, had refused to pay a security on a paper she published advocating Home Rule, and was arrested for failing to do so and thereby violating the Act.

It is noteworthy that only Indian publications were vulnerable to forfeiting the substantial bond they had posted with the authorities if they failed their undertaking not to publish inflammatory or abusive articles; the racism of the British-owned press was never subject to similar strictures. The British colonial

governments in the provinces enjoyed the right to search any newspaper's premises and confiscate any material they found 'seditious'. The Indian press, in other words, was fettered rather than free, but that it existed, and could serve as a rallying point for public opinion, is to the credit of both the British authorities and the Indians who worked in the media.

Indian papers—especially the vernacular ones which tended to be less restrained in their abuse of the colonial masters—were fined, suppressed, and shut down; their editors were frequently imprisoned, and several times given twenty-three months of hard labour for a piece of invective; and under the Press Act, their stock of type, without which they could not print, was liable to confiscation. But such threats were never focused on the pro-imperialist British papers in India. In no Indian newspaper, wrote the fair-minded British observer, Henry Nevinson, in 1908, 'have I seen more deliberate attempts to stir up race hatred and incite to violence than in Anglo-Indian [i.e. British settlers'] papers, which suffer nothing'. Nevinson offers as an example 'this obvious instigation to indiscriminate manslaughter by *The Asian*, an Anglo-Indian weekly in Calcutta (9 May 1908)':

Mr Kingsford [a British magistrate in Calcutta whose court was the target of a bomb] has a great opportunity, and we hope he is a fairly decent shot at short range. We recommend to his notice a Mauser pistol, with the nickel filed off the nose of the bullets, or a Colt's automatic, which carries a heavy soft bullet and is a hard-hitting and punishing weapon. We hope Mr. Kingsford will manage to secure a big 'bag', and we envy him his opportunity. He will be more than justified in letting daylight into every strange native approaching his house or his person, and for his own sake we trust he will learn to shoot fairly straight without taking his weapon out of his coat pocket. It saves time and gives the elevation fairly correctly at any distance up to about ten or fifteen yards. We wish the one man who has shown that he has a correct view of the necessities of the situation the very best of luck.

Nevinson adds that 'the tone of the Anglo-Indian press is almost invariably insolent and provocative. If "seditious" only means "likely to lead to violence",

it is seditious too.'

The press, in other words, was free, but some newspapers (the British-owned ones) were freer than others.

## *The Parliamentary System in India*

By the time of Independence, British India, and many other British colonies, had elections, parties, a more or less free press, and the rule of law, unlike their Spanish, Portuguese, French, Dutch, and Belgian counterparts. Democratization may have been slow, grudging and gradual, but it was also more successful in the ex-British colonies than elsewhere. The Indian nationalist struggle and its evolution through various stages—decorous liberals seeking legislative rights, ‘extremists’ clamouring for swaraj, Gandhi and his followers advocating non-violent struggle, the Congress, the Muslim League and other parties contending for votes even with limited franchise: all these pre-Independence experiences served as a kind of socialization process into democracy and helped to ease the country’s transition to independence.

It is remarkable that when the Indian nationalists, victorious in their freedom struggle, sat down to write a Constitution for independent India, they created a political system based entirely on British parliamentary democracy. Was this simply because they had seen it from afar and been denied access to it themselves, and so wanted a replica of Westminster in India, or might it be that the British, through the power of example, actually convinced Indians that theirs was a system worth emulating?

A digression here: Personally, I am far from convinced that the British system is suited to India. The parliamentary democracy we have adopted involves the British perversity of electing a legislature to form an executive: this has created a unique breed of legislator, largely unqualified to legislate, who has sought election only in order to wield (or influence) executive power. It has produced governments obliged to focus more on politics than on policy or performance. It has distorted the voting preferences of an electorate that knows which individuals it wants but not necessarily which policies. It has spawned parties that are shifting alliances of individual interests rather than the vehicles of coherent sets of ideas. It has forced governments to concentrate less on governing than on staying in office, and obliged them to cater to the lowest common denominator of their coalitions. It is time for a change.

Pluralist democracy is India’s greatest strength, but its current manner of operation is the source of its major weaknesses. India’s many challenges require



political arrangements that permit decisive action, whereas they increasingly promote drift and indecision. India must have a system of government whose leaders can focus on governance rather than on staying in power. The parliamentary system has not merely outlived any good it could do; it was from the start unsuited to Indian conditions and is primarily responsible for many of the nation's principal political ills. This is why I have repeatedly advocated a presidential system for India not just for the federal government in New Delhi, but a system of directly elected chief executives at the levels of villages, towns, states and the centre, elected for fixed terms and accountable to the voters every five years, rather than to the caprices of legislatures and the shifting majorities of municipal councils or village panchayats (councils).

The parliamentary system devised in Britain—a small island nation with electorates initially of a few thousand voters per MP, and even today fewer than 100,000 voters per constituency—assumes a number of conditions which simply do not exist in India. It requires the existence of clearly defined political parties, each with a coherent set of policies and preferences that distinguish it from the next, whereas in India a party is all too often a label of convenience which a politician adopts and discards as frequently as a Bollywood film star changes costume. The principal parties, whether 'national' or otherwise, are fuzzily vague about their beliefs: every party's 'ideology' is one variant or another of centrist populism, derived to a greater or lesser degree from the Nehruvian socialism of the Congress. But we cannot blame the British for saddling us with this system, though it is their 'Mother of Parliaments' our forefathers sought to emulate. First of all, the British had no intention of imparting democracy to Indians; second, Indians freely chose the parliamentary system themselves in a Constituent Assembly.

Like the American revolutionaries of two centuries ago, Indian nationalists had fought for 'the rights of Englishmen', which they thought the replication of the Houses of Parliament would both epitomize and guarantee. When former British Prime Minister Clement Attlee, as a member of a British constitutional commission, suggested the US presidential system as a model to Indian leaders, he recalled, 'they rejected it with great emphasis. I had the feeling that they thought I was offering them margarine instead of butter.' Many of our veteran parliamentarians—several of whom had been educated in England and watched

British parliamentary traditions with admiration—revelled in their adherence to British parliamentary convention and complimented themselves on the authenticity of their ways. Indian MPs still thump their desks in approbation, rather than applauding by clapping their hands. When bills are put to a vote, an affirmative call is still ‘aye’, rather than ‘yes’. Even our Communists have embraced the system with great delight: an Anglophile Marxist MP, Professor Hiren Mukherjee, used to assert proudly that British Prime Minister Anthony Eden had felt more at home during Question Hour in the Indian Parliament than in the Australian.

But six decades of Independence have wrought significant change, as exposure to British practices has faded and India’s natural boisterousness has reasserted itself. Some of the state assemblies in our federal system have already witnessed scenes of furniture overthrown, microphones ripped out and slippers flung by unruly legislators, not to mention fisticuffs and garments torn in scuffles among politicians. Pepper spray has been unleashed by a protesting Member of Parliament in the well of the national legislature. We can scarcely blame the British for that either.

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And yet the argument that Britain left us with self-governing institutions and the trappings of democracy fails to hold water in the face of the reality of colonial repression. Let me cite one individual who actually lived through the colonial experience, Jawaharlal Nehru, who wrote in a 1936 letter to an Englishman, Lord Lothian, that British rule is ‘based on an extreme form of widespread violence and the only sanction is fear. It suppresses the usual liberties which are supposed to be essential to the growth of a people; it crushes the adventurous, the brave, the sensitive, and encourages the timid, the opportunist and time-serving, the sneak and the bully. It surrounds itself with a vast army of spies and informers and agents provocateurs. Is this the atmosphere in which the more desirable virtues grow or democratic institutions flourish?’ Nehru went on to speak of ‘the crushing of human dignity and decency, the injuries to the soul as well as the body’ which ‘degrades those who use it as well as those who suffer from it’. These were hardly ways of instilling or promoting respect for democracy and its principles in India. This injury to India’s soul—the very basis

of a nation's self-respect—is what is always overlooked by apologists for colonialism.

### *'Rule of Law': The Boot and the Spleen*

A corollary of the argument that Britain gave India political unity and democracy is that it established the 'rule of law' in the country. This was, in many ways, central to the British self-conception of imperial purpose. We have noted earlier other aspects of what the British saw as their 'mission' in India. Bringing British law to the natives was arguably one of the most important constituent elements of this mission; Kipling would wax eloquent on the noble duty to bring law to those without it. The British both laid down the law and derived legitimacy, in their own eyes and in those of the world, from doing so. It was, of course, through 'the law' that British authority was exercised; but where a system of laws pre-existed the British legal system, as was the case in India, British law had to be imposed upon an older and more complex civilization with its own legal culture, and here the Kiplingesque arguments began to fray at the edges. In India the British were forced to use coercion and cruelty to get their way; often they had to resort to the dissolution of prior practices and traditional systems, as well as, in the process, to reshape civil society. In the circumstances, as a British scholar has noted, 'the law that was erected can hardly be said to have served the interests of colonial subjects.'

Pride of place to the legacy of British imperialism in India is often given to the Empire giving India its penal code, drafted by Macaulay with the avowed purpose of 'legislating for a conquered race, to whom the blessings of our constitution cannot as yet be safely extended'. Macaulay sat for three years behind high walls, completely disconnected from the people he was ostensibly working for, and created a code of criminal law that was 'a body of jurisprudence written for everyone and no one, which had no relationship to previous Indian laws or any other form of government at all'. Even the British were uncertain about his effort, and Macaulay's penal code sat un-enacted for twenty-four years after he finished it in 1837. Finally enacted in 1861, it is still largely in force in India today, in all its Victorian glory. In addition, the British introduced their ideas of trial by jury, freedom of expression and due process of law. These are incontestable legal values, except in their actual manner of

working, for in its application during the colonial era, the rule of law was not exactly impartial.

Justice, in British India, was far from blind: it was highly attentive to the skin colour of the defendant. Crimes committed by whites against Indians attracted minimal punishment; an Englishman who shot dead his Indian servant got six months' jail time and a modest fine (then about 100 rupees), while an Indian convicted of attempted rape against an Englishwoman was sentenced to twenty years rigorous imprisonment. Only a handful of Englishmen were convicted of murder in India in the first 150 years of British rule. The death of an Indian at British hands was always an accident, and that of a Briton because of an Indian's actions always a capital crime. Indian judges also suffered racial discrimination, as we have seen with the case of Justice Syed Mahmud. When Lord Ripon—the only humane, non-racist viceroy sent to India in the nineteenth century—attempted to allow Indian judges to try British defendants and to play a stronger role in municipal matters (through the 'Ilbert Bill'), the backlash was severe. His aides protested that it would hardly 'subvert the British Empire to allow the Bengali Baboo to discuss his own schools and drains', but neither courts nor municipalities were acceptable terrain for Indian participation as far as the British were concerned. Ripon was boycotted by British expatriates and the racist outcry resulted in the collapse of the Ilbert Bill and Ripon's premature removal from office.

A certain type of case popped up frequently in the British colonial courts. Many Indians suffered from enlarged spleens as a result of malaria (or other diseases); when a British master kicked a native servant in the stomach—a not uncommon form of conduct in those days—the Indian's enlarged spleen would rupture, causing his death. The jurisprudential question was: did the fatal kick amount to murder or criminal misconduct? When Robert Augustus Fuller fatally assaulted his servant in these circumstances in 1875—Fuller claimed he struck him on the face, but three witnesses testified that he had kicked him in the stomach—he was found guilty only of 'voluntarily causing hurt', and was sentenced to fifteen days' imprisonment or a fine of thirty rupees to be paid to the widow. (According to the coroner, the servant's spleen was so enlarged that even 'moderate' violence would have ruptured it.)

'In the middle of the hot night,' wrote Captain Stanley de Vere Julius in his

1903 *Notes on Striking Natives*, ‘the fan stops, and a man in the barrack-room, roused to desperation by heat and sleeplessness, rushes forth, careless of the consequences, and kicks the fan-puller in the wrong spot, his spleen. Do you blame him? Yes and No. It depends partly on whether he stopped to put his boots on.’ *Punch* wrote an entire ode to ‘The Stout British Boot’ as the favoured instrument of keeping the natives in order. It ended: ‘Let us sing, let us shout for the leather-shod foot,/ And inscribe on our Banners, “The Stout British Boot”.’

The disinclination of British judges in India to find any Englishman guilty of murdering any Indian was curiously mirrored in a recorded decline in murder charges in Victorian London. Martin Wiener proposed an ‘export’ model: the murder rate had dropped in Britain, he suggested, because ‘the most aggressive citizens were busily wreaking havoc overseas’. It helped, of course, that fatal kicking in London was handled as ‘wilful murder,’ whereas in India it would only be charged as ‘causing hurt’ or ‘committing a rash and negligent act’—provided the victim was an Indian.

There was, it is true, a threat of terrorism from Indian nationalists in the early years of the twentieth century that may have influenced judges in deciding cases of white violence against natives. But most of the Indian deaths at European hands involved servants or other menials rather than swadeshi bomb-throwers, and their cases were unrelated to political terrorism. Still, circumstances could always be stretched to extenuate the murderous conduct of an Englishman. When an Indian boy was shot dead by Lieutenants Thompson and Neave in Bangalore and Indian villagers forcibly confiscated Neave’s gun, it was two of the villagers who were sentenced to six months’ imprisonment for the crime of misappropriating the white man’s weapon, whereas the murderers went unpunished. Indeed the case was filed as an incident of ‘Natives Against Europeans’.

Sentences handed down by British judges were never equal for Indians and Europeans: in Calcutta, it was estimated that Indian prisoners’ sentences exceeded those for Europeans by a factor of ten for the same crimes. Indian defendants were more than twice as likely as European ones to face murder or attempted murder charges for violent crimes. Statistically, European assaults on Indians were far more frequent than those by Indians on Europeans, yet almost all of the latter were charged as murder whereas most European misdeeds were

deemed to be either accidental or in self-defence, and were in any case downgraded from murder to assault. In one case in which a British judge found evidence that a crime was 'clearly' murder, the British killer was found insane and hence not responsible for his actions.

Not all the British were equally comfortable with this form of justice. In 1902, when three troopers of the 9th Lancers beat to death an Indian man in Sialkot for refusing to bring them a woman for the night, regimental authorities made no effort to investigate and they tried to get away by painting the victim as a drunkard. But the incident outraged a sizeable number of Britons living in India. Even the viceroy, Lord Curzon, who was no lover of Indians, was horrified enough to declare: 'I will not be a party to any scandalous hushings up of bad cases of which there is too much in this country, or to the theory that a white man may kick or batter a black man to death with impunity because he is only a d[amne]d nigger.' Curzon could not increase the punishment, but he had the entire British regiment involved transferred to Aden. Still, he was forced to watch stonily at a parade in Delhi a few weeks later, as the English sections of the crowd cheered the same regiment wildly as it marched past. If Curzon, of all people, was moved to make a statement sympathetic to Indians, one can imagine the scale of the problem.

One scholar, Jordanna Bailkin, points out that there were a few (though very few) exceptions to this norm of race-conscious justice. In three rare cases, Britons were executed for killing Indians: John Rudd in Bengal (1861), four sailors named Wilson, Apostle, Nicholas, and Peters in Bombay (1867), and George Nairns in Bengal (1880). But in two hundred years of British rule, and thousands of cases in which Indians died at the hands of their colonial masters, these three cases were the only exceptions. Generally speaking, British civilian judges and up-country magistrates were reluctant to punish Europeans, whereas military courts and urban High Courts were willing to impose relatively more serious punishments for attacks on Indians. In the view of an ICS officer, who served thirty years in the late nineteenth century, 'there is a great and dangerous gap between the people and the Courts, and there is no way of bridging it.'

The moderate nationalist *Prabhat* magazine, in its issue of December 1925, writing after the exoneration and acquittal of an Englishman for kicking an Indian to death, lamented:

The answer to why Indians are dissatisfied with the [sic] British rule is to be found in such incidents. Such painful disregard of Indian life cannot but produce a deep impression upon the heart of every Indian, and no wonder that, despite Mahatma Gandhi's insistent advice regarding non-violence, revolutionary conspiracies are heard of in the misguided India. So long as this relation exists between the boot and the spleen, India will be the most untouchable and degraded country in the world.

The imperial system of law was created by a foreign race and imposed upon a conquered people who had never been consulted in its creation. It was, pure and simple, an instrument of colonial control. As Henry Nevinson also pointed out, the rule of law, such as it was, functioned in a system in which Indians were 'compelled to live permanently under a system of official surveillance which reads their private letters, detains their telegrams, and hires men to watch their actions'.

This, then, was the rule of law the British taught us. We have much to unlearn.

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There were other problems. The colonial 'rule of law' generally worked in favour of white settlers, elites and men. Racial discrimination was legal: as we have seen, in addition to private clubs that were open only to whites, many British hotels and other establishments sported signs saying 'Indians and dogs not allowed'. (It was the experience of being expelled from one of them, Watson's Hotel in Bombay, that led Jamsetji Tata to build one of the world's finest and most opulent hotels of its time, the Taj Mahal, which was open to Indians.)

Women were treated with Victorian paternalism and not a little misogyny. Institutionally, for instance, women on the Malabar coast who benefited from matrilineal law and enjoyed vast property and social rights, not to speak of bodily autonomy, were pushed to accept patriarchal shackles as the 'correct' and 'moral' way of living and subject themselves to husbands and sons, physically, socially, and economically. (Southern Indian women, whose breasts were traditionally uncovered, found themselves obliged to undergo the indignity of

conforming to Victorian standards of morality; soon the right to cover one's breasts became a marker of upper-caste respectability and efforts were made to deny this privilege to lower-caste women, leading to such missionary-inspired colonial curiosities as the Breast Cloth Agitation from 1813 to 1859 in Travancore and the Madras Presidency.) India's rape law, enshrined in the colonial-era Indian Penal Code, placed the burden of the victim to establish her 'good character' and prove that a rape had occurred, which left her open to discredit by opposing counsel. Many rapes were never reported as a result of the humiliation to which this system subjected the victims.

Since the rule of law was intended to perpetuate the British hold over India, it was designed as an instrument of imperial rule. Political dissidence was legally repressed through various acts. The penal code contained forty-nine articles on crimes relating to dissent against the state (and only eleven on crimes involving death).

The racism of the colonial state was also reflected in its penal code. The Criminal Tribes Legislation, 1911, gave authority to the British to restrict movement, search and even detain people from specific groups, because their members were deemed to be chronically engaging in 'criminal' activity. This was bad sociology and worse law, but it stayed on the books till after Independence. Worse, its effects were inhumane. The scholar Sanjay Nigam's work has shown how the British invention of the notion of 'criminal tribes', and their passing legislation to confirm this categorization, led to the collection of intrusive records of personal details, restrictions on the movement of members of these tribes, forcible relocation of people belonging to 'criminal tribes' to rural settlements or reformatory camps, and the deliberate separation of children from their parents.

Of course, the court system, the penal code, the respect for jurisprudence and the value system of justice—even if they were not applied fairly to Indians in the colonial era—are all worthy legacies, and Indians are glad to have them. But in the process Britain has saddled us with an adversarial legal system, excessively bogged down in procedural formalities, which is far removed from India's traditional systems of justice. There is no doubt that traditional systems like the *khap panchayats* (caste or village councils) of the north had severe limitations of their own and were often used to uphold an iniquitous social order, but as



Rwanda has shown with its *gacaca* courts, traditional systems can be adapted to meet modern norms of justice without the excessive procedural delays, formalism and expense of the Western system. The colonial legacy has meant a system of interminable trials and long-pending cases, leaving India with an unenviable world record for judicial backlog that exceeds by far every other country in the world. (There are still cases pending, in some of India's lower courts, which were filed in the days of the British Raj.)

### *Non-Interference or Manipulation?*

Part of the argument for the benevolence of British colonialism is that the British were, beyond a point, largely non-intrusive rulers with no desire to interfere in the local affairs of the Indian population, who believed that India's traditions and customs, 'however "abhorrent" and "primitive" they might be', must be respected. As the Queen's Proclamation of 1858 plainly put it:

We declare it Our royal will and pleasure that...none be molested or disquieted, by reason of their religious faith or observances, but that all shall alike enjoy the equal and impartial protection of the law; and We do strictly charge and enjoin all those who may be in authority under Us that they abstain from all interference with the religious belief or worship of any of Our subjects on pain of Our highest displeasure.

Since the British were not motivated by either the crusading Christianity of the Spanish or the cultural zeal of the French, but merely by pecuniary greed, they were not unduly anxious to transform Indian society or shape it in their image. It is true enough that British racism was accentuated by convictions of Christian superiority: as William Wilberforce, Britain's most famous evangelical Christian, put it: 'Our religion is sublime, pure, and beneficent. Theirs is mean, licentious, and cruel.'

For many Britons, imperialism was principally justified as a moral crusade to liberate Indians from 'ignorance, idolatry and vice'. But they were curiously reluctant to act on it. Whereas the Portuguese rapidly Christianized Goa, for instance, the British did not import their first Bishop till 1813. 'The first, and often the only, purpose of British power in India,' writes Jon Wilson, 'was to defend the fact of Britain's presence on Indian ground.' For most of the

imperialists, India was a career, not a crusade. Changing India was not the object; making money out of India was. As Angus Maddison observes, ‘there were no major changes in village society, in the caste system, the position of untouchables, the joint family system, or in production techniques in agriculture’. He was not entirely right: in fact, as we shall see, the caste system became more rigid under the British than it had been in precolonial India. Yet the British also claim credit for ending the barbarous practices of *sati* (or *suttee*, the self-immolation of widows on their husbands’ funeral pyres, made even more grotesque by the fact that many of the victims were young girls married off to much older men) and *thuggee* (ritual robbery and murder carried out in the name of Goddess Kali by a bunch of criminals who gave the English language their collective epithet, the Thugs). The fact is that the British interfered with social customs only when it suited them to do so. The gap between liberal principles of universalism and the actual colonial practice of justice and governance was vast. I address some of the more misguided claims of British social reforms later in the book; what I would like to say here is that the British would interfere with local practices when they were minded to, and desist otherwise, claiming great virtue in either course of conduct.

In the process, while codifying the legal system and instituting an Indian Penal Code, the British have saddled India with colonial-era prejudices which they have long abandoned at home but which remain entrenched in India, causing untold misery to millions. A number of raging controversies in India in 2016, though seemingly unrelated, have brought into sharp focus the one element they have in common—they all relate to criminal offences codified in colonial-era British legislation that India has proved unable or unwilling to outgrow.

Among other things (and these are just a few examples), the Indian Penal Code, drafted by British imperial rulers in the mid-nineteenth century, criminalizes homosexuality under Section 377; creates a crime of ‘sedition’ under which students shouting slogans have been arrested; and applies a double standard to the commission of adultery.

The draconian concept of ‘sedition’ was enacted as an offence in 1870 to suppress any criticism of British policies. Under Section 124A of the Indian Penal Code, any person who uses ‘words, signs or visible representation to

excite disaffection against the Government' can be charged with sedition and potentially sentenced to life. This was explicitly justified by its proponents at the time on the grounds of restricting free speech in a subject state: one Briton spoke candidly in 1870 of needing a law to curb 'seditious offences not involving an absolute breach of the peace'. In other words, no free speech for Indians.

When the law was tightened further in 1898, to make it harsher than it was in England, the British lieutenant governor of Bengal admitted: 'It is clear that a sedition law which is adequate for a people ruled by a government of its own nationality and faith may be inadequate, or in some respects unsuited, for a country under foreign rule.'

Sedition was therefore explicitly intended as an instrument to terrorize Indian nationalists: Mahatma Gandhi was amongst its prominent victims. Seeing it applied in democratic India shocked many Indians. The arrest in February 2016 of students at New Delhi's Jawaharlal Nehru University (JNU) on charges of sedition, for raising 'anti-Indian' slogans in the course of protests against the execution of the accomplice of a convicted terrorist, and the filing of a legal case against Amnesty International in August 2016 on the same charges, would not have been possible without the loose, colonially-motivated wording of the law.

Agreeing with the outrage against colonial era provisions in the law, as a Member of Parliament, I introduced bills in the Lower House, seeking to amend these laws. I argued that the existence of these provisions on the statute books had made our penal code liable to misuse by the authorities in ways that infringed upon the constitutional right of Indians. My bill would allow an individual to be charged with sedition only when his words or actions directly result in the use of violence or incitement to violence or constitutes an offence which is punishable with imprisonment for life under the Indian Penal Code—like culpable homicide, murder, or rape. Mere words or signs criticizing the measures or administrative actions of the government will not constitute sedition. My objective is to promote the freedom of speech and the right to express dissent against the government, while ensuring safeguards against the use of words to incite violence—options that were not available to Indians under British rule.

Similarly, Section 377 of the Indian Penal Code, enacted in 1860, criminalizes 'carnal intercourse against the order of nature'—a term so archaic that it would

invite derision in most modern societies. There had never been a taboo against homosexuality in Indian culture and social practice—until the British Victorians introduced one. Section 377, in so far as it criminalizes consensual sexual acts of adults in private, violates the fundamental rights guaranteed under Article 21 (life and liberty, including privacy and dignity), Article 14 (equality before law) and Article 15 (prohibition of discrimination) of the Constitution of free India.

My amendment to Section 377 would have decriminalized sex between consenting adults of any gender or orientation. Conservative MPs from the ruling BJP party, however, voted against its introduction in Parliament, prompting LGBT activists to move the Supreme Court, which has agreed to hear a ‘curative review’ petition against its earlier judgement upholding the law. The judicial route may, indeed, offer a more effective way to overturn this iniquitous section of the penal code. Fifty-eight Indians have been arrested under Section 377 in just two years (2014 and 2015) for actions performed in the privacy of their homes. That’s fifty-eight Indians too many.

The irony is that in India there has always been place for people of different gender identities and sexual orientations. Indian history and mythology reveal no example of prejudice against sexual difference. On the contrary, in the great epic the *Mahabharata*, the gender-changing Shikhandi killed Bhishma. The concept of the *Ardhanareeshwara* imagined God as half man and half woman, prompting the movie-star chief minister of Andhra Pradesh in the 1980s, N. T. Rama Rao, to dress up as *Ardhanareeshwara* and surprise his followers—an unusual, even eccentric, act that was still seen as very much in keeping with Indian traditions. Transgender people were recognized as a *napunsakh* gender in Vedic and Puranic literature and were given due importance in India throughout history (and even in the Islamic courts during the Mughal era). The Jain texts recognized a broader concept of gender identity by speaking about the idea of a psychological sex being different from that of a physical one. Unfortunately, the British-drafted Indian Penal Code criminalized aspects of human behaviour and human reality that in India had not previously been regarded as criminal or requiring legal sanction. Section 377 of the Indian Penal Code and the Criminal Tribes Act of 1871 target the transgender community as well as the homosexual community. They violate the Indian ethos and the traditions of perhaps at least 2,000 years of Indian cultural practice, mythology, history, the Puranas, and

Indian ways of living. Instead of India's traditional tolerance and 'live and let live', the British saddled the country with a colonial-era interpretation of what was good and right for Indians. It is ironic to see the self-appointed defenders of Bharatiya Sanskriti (traditional 'Vedic' India) on the Treasury Benches now defending the worst prejudices of British Victorian morality.

The Indian Penal Code is no easier on straight women than on gays. Section 497, criminalizing adultery, punishes extramarital relationships involving married women but not married men. A husband can prosecute his wife for adultery, and a man having sexual relations with his wife, but a woman cannot sue her husband for having an extramarital relationship, provided his partner is not underage or married. This double standard, exposed in a series of recent cases, again reflects Victorian values rather than twenty-first century ideas of morality. Ironically, in all three cases, the British have revised their own laws, so none of the offences they criminalized in India are illegal in Britain. One of the worst legacies of colonialism is that its ill effects outlasted the Empire.

I do not mean to blame the British alone for the persistence of these injustices. But the British enshrined these laws that have proved so difficult to amend. Strikingly, no less an eminence than India's head of state, President Pranab Mukherjee, chose the 155th anniversary of the Indian Penal Code to underscore the need for its thorough revision. Our criminal law, he declared, was largely 'enacted by the British to meet their colonial needs'. It needed to be revised to reflect our 'contemporary social consciousness' so that it could be a 'faithful mirror of a civilization underlining the fundamental values on which it rests'. That Indians have not done this so far is, of course, hardly Britain's fault, but by placing iniquitous laws on the books, Britain has left behind an oppressive legacy. It is time for twenty-first-century India to get the government out of the bedroom, where the British were unembarrassed to intrude. It is also past time to realize that the range of political opinion permissible in a lively and contentious democracy cannot be reconciled with the existence of a pernicious colonial sedition law.

## DIVIDE ET IMPERA

If British claims to creating viable political institutions in India, a democratic spirit, an efficient bureaucracy and the rule of law all seem hollow after the analysis in the previous chapter, it is their overarching assertion of having bequeathed India its political unity that underpins these claims. But while the events outlined above were occurring, another anti-democratic British project was coming to fruition that would discredit any credible view that the political unity of India was an objective of British colonialism.

The sight of Hindu and Muslim soldiers rebelling together in 1857 and fighting side by side, willing to rally under the command of each other and pledge joint allegiance to the enfeebled Mughal monarch, had alarmed the British, who did not take long to conclude that dividing the two groups and pitting them against one another was the most effective way to ensure the unchallenged continuance of Empire. As early as 1859, the then British governor of Bombay, Lord Elphinstone, advised London that '*Divide et impera* was the old Roman maxim, and it should be ours'. (He was not quite right: the term was coined not by the Romans, but by Philip II of Macedonia, though some Roman conquerors followed its precepts.) A few decades later, Sir John Strachey opined that 'the existence of hostile creeds among the Indian people' was essential for 'our political position in India'.

### *Caste, Race and Classification*

The British had a particular talent for creating and exaggerating particularist identities and drawing ethnically-based administrative lines in all their colonies. Scholars have theorized that this practice may have stemmed from the British horror of diluting their own, idealized English identity, to which their colonial subjects were not allowed to aspire. In this respect they were quite unlike the French, whose policy of cultural assimilation went so far that little African and Asian children could be found dutifully reciting '*nos ancêtres les Gaulois* (Our ancestors the Gauls)' in their schoolrooms in Senegal or Vietnam. Indians were always subjects, never citizens; throughout the days of Empire, no Indian could have presumed to say 'I am British' the way a French African was encouraged to

say '*Je suis français*'.

This tendency to separate was apparent in British attitudes from the start. Indeed, it had been evidenced in the only already-white country the British colonized, Ireland; instead of assimilating the Irish into the British race, they were subjugated by their new overlords, intermarriage was forbidden (as was even learning the Irish language or adopting Irish modes of dress) and most Irish people were segregated 'beyond the Pale'. If the British could do that to a people who looked like them, they were inclined to do much worse to the darker-skinned peoples they conquered in India. While we have examined some aspects of this phenomenon in previous chapters, I would like to examine how they classified Indians into various immutable categories, especially those of caste and religion.

Let us start by giving the British the benefit of the doubt and assuming that they might have been inclined to suspect that Indians, too, must be like them, and would like nothing more than to shield themselves behind their own identities. But the British effort to understand ethnic, religious, sectarian and caste differences among their subjects inevitably became an exercise in defining, dividing and perpetuating these differences. Thus colonial administrators regularly wrote reports and conducted censuses that classified their subjects in ever-more bewilderingly narrow terms, based on their language, religion, sect, caste, sub-caste, ethnicity and skin colour. In the process of such categorization and classification, not only were ideas of community reified, but also entire new communities were created by people who had not consciously thought of themselves as particularly different from others around them.

The American anthropologist Nicholas Dirks explains it lucidly:

'Colonialism was made possible, and then sustained and strengthened, as much by cultural technologies of rule as it was by the more obvious and brutal modes of conquest that first established power on foreign shores... Colonialism was itself a cultural project of control. Colonial knowledge both enabled conquest and was produced by it; in certain important ways, knowledge was what colonialism was all about. Cultural forms in societies newly classified as "traditional" were reconstructed and transformed by this knowledge, which created new categories and oppositions between colonizers and colonized, European and Asian, modern and traditional, West and East... As India was

anthropologized in the colonial interest, a narrative about its social formation, its political capacity, and its civilizational inheritance began increasingly to tell the story of colonial inevitability and of the permanence of British imperial rule.’

Bernard Cohn, a scholar of British colonialism in India, has argued that the British simultaneously misinterpreted and oversimplified the features they saw in Indian society, placing Indians into stereotypical boxes they defined and into which they were assigned in the name of ancient tradition: ‘In the conceptual scheme which the British created to understand and to act in India, they constantly followed the same logic; they reduced vastly complex codes and their associated meanings to a few metonyms.’ Laws had to be translated into terms the British could understand and apply. A complicated, often chaotic and always fluid society like India was ‘redefined by the British to be a place of rules and orders; once the British had defined to their own satisfaction what they construed as Indian rules and customs, then the Indians had to conform to these constructions.’

Such an exercise might not have been possible in a pre-modern era, where identities were looser and more ‘fuzzy’, and the difficulties of breaching distance, and extending communications, made it difficult to create a consciousness of identity beyond the merely local. The path-breaking writer and thinker on nationalism, Benedict Anderson, has convincingly pointed out that identities uniting large numbers of people could arise only after a certain technological level had been attained. It is not seriously disputed that the sharper articulation of identities encompassing broad communities is a relatively recent phenomenon, nor that such identities have been ‘imagined’ and ‘invented’ to a great extent, as Anderson famously postulated. The British ruled India just as this kind of identity-creation was becoming possible, thanks to modern developments in transport and communication. Whereas the Great Mughal Akbar might have used such technologies to fuse his diverse people together, the British used them to separate, classify and divide.

Some critics point out that the British can scarcely be blamed for the pre-existing divisions in Indian society, notably caste, which divided (and still divides) the majority Hindu population into mutually exclusive and often incompatible social stratifications. Fair enough, but it is also true that the British, knowingly or unknowingly, helped solidify and perpetuate the iniquities of the



caste system. Since the British came from a hierarchical society with an entrenched class system, they instinctively tended to look for a similar one in India. They began by anatomizing Indian society into 'classes' that they referenced as being 'primarily religious' in nature. They then seized upon caste. But caste had not been a particularly stable social structure in the pre-British days; though there were, of course, variants across time and place, caste had broadly been a mobile form of social organization constantly shaped and reinvented by the beliefs, the politics and quite often the economic interests of the dominant men of the times. The British, however, promulgated the theory that caste hierarchy and discrimination influenced the workings of Indian society. This is arguably a very narrow definition of how Indian society actually functioned in the pre-British era, and it is thanks to colonial rule that it has now become conventional wisdom.

In his seminal book *Castes of Mind*, Dirks has explained in detail how it was, under the British, that 'caste' became a single term 'capable of expressing, organizing, and above all "systematizing" India's diverse forms of social identity, community, and organization. [A]s the result of a concrete encounter with colonial modernity during two hundred years of British domination... colonialism made caste what it is today.' Dirks is critical of the British imperial role in the reification of caste, using their colonial power to affirm caste as the measure of all social things.

In fact, caste, he says, 'was just one category among many others, one way of organizing and representing identity. Moreover, caste was not a single category or even a single logic of categorization, even for Brahmins, who were the primary beneficiaries of the caste idea. Regional, village, or residential communities, kinship groups, factional parties, chiefly contingents, political affiliations, and so on could both supersede caste as a rubric for identity and reconstitute the ways caste was organized... Under colonialism, caste was thus made out to be far more pervasive, far more totalizing, and far more uniform than it had ever been before.' This Dirks sees as a core feature of the colonial power to shape knowledge of Indian society. Quite deliberately, he suggests, caste 'became the colonial form of civil society', or, in Partha Chatterjee's terms, the colonial argument for why civil society could not grow in India; it justified the denial of political rights to Indians (who were, after all, subjects, not

citizens) and explained the unavoidable necessity of colonial rule.

Scholars who have studied precolonial caste relations dismiss the idea that *varna*—the classification of all castes into four hierarchical groups, with the Brahmins on top and even kings and warriors a notch beneath them—could conceivably represent a complete picture of reality (Kshatriya kings, for example, were never in practical terms subordinate to Brahmins, whom they employed, paid, patronized, heeded or dismissed as they found appropriate at different times). Nor could such a simplistic categorization reasonably organize the social identities and relations of all Indians across the vast subcontinent; alternative identities, sub-castes, clans and other formulations also existed and flourished in different ways at different places. The idea of the four-fold caste order stretching across all of India and embracing its complex civilizational expanse was only developed, modern scholars assert with considerable evidence, under the peculiar circumstances of British colonial rule. The British either did not understand, or preferred to ignore, the basic fact that the system need not have worked as described in theory.

## *The British Punditocracy*

In the late eighteenth century, when the East India Company was establishing its stranglehold on India and its senior officials included some with a genuine interest in understanding the country, the British began to study the *shastras*, or Sanskrit treatises covering law and much else besides, in order to develop a set of legal principles to help them adjudicate disputes in Indian civil society. Governor-General Warren Hastings hired eleven pandits (Brahmin scholars) to create what became known as the Code of Gentoo Laws or the Ordinations of the Pandits. As the British could not read or interpret the ancient Sanskrit texts, they asked their Brahmin advisers to create the code based on religious Indian texts and their knowledge of Indian customs. The resulting output was an 'Anglo-Brahminical' text that arguably violated in both letter and spirit the actual practice: in letter, because it was imprecise in regard to the originals, and in spirit, because the pandits took advantage of the assignment to favour their own caste, by interpreting and even creating sacrosanct 'customs' that in fact had no shastric authority. This served to magnify the problem of caste hierarchy in the country.

Prior to this, scholars argue, disputes in Indian civil society were settled by *jati* or *biradri* (caste or clan), *i.e.* a person's fate was decided within a community or clan by his own peers in accordance with their local traditions and values and without needing approval from any higher caste authority. The pandits, instead of reflecting this widespread practice, cited doctrinal justifications from long-neglected texts to enshrine their status as the only authority figures, and most of the British took them at their word. (Some had their doubts. The most learned of British Orientalists, William Jones, who in 1797 founded the Asiatic Society in Calcutta and served in the Supreme Court of Judicature, remarked, 'I can no longer bear to be at the Mercy of our pandits who deal out Hindu Law as they please, and make it at reasonable rates, when they cannot find it ready made'. But Jones died tragically young and his wisdom was not replicated in his successors.)

It was evident from a cursory look at Indian society that actual social practices did not necessarily follow the official or 'shastric' code, but the ancient texts were now cited, and given an inflexibility they did not in fact possess, essentially

to restrict the autonomy of society and so control it more easily in the name of religious authority. This served the interests of British policy, which explicitly sought to ‘enumerate, categorize and assess their [colonial] populations and resources’ for administrative purposes. Ethnic, social, caste and racial classifications were conducted as part of an imperial strategy more effectively to impose and maintain British control over the colonized Indian population. The process also reaffirmed their initial conviction that the Brahmins, with their knowledge of the Vedas, were the most qualified and best suited as their intermediaries to rule India. The Brahmins enjoyed British patronage over other groups and began considering themselves above all other castes, whom the British, internalizing Brahmin prejudice, thought of as lower castes.

The result was a remarkable preponderance of Brahmins in positions of importance in the British Raj. Brahmins, who were no more than a tenth of the population, occupied over 90 per cent of the positions available to Indians in government service, except the most menial ones; they dominated the professions open to Indians, especially lawyering and medicine; and they entered journalism and academia, so it was their voices that were heard loudest as the voices of Indian opinion. India had arguably been a far more meritocratic society before the British Raj settled down to enshrine the Brahmins in such a position of dominance.

Nineteenth-century ideas of race also got into the mix. The American scholar Thomas Metcalfe has shown how race ideology in that era defined European civilization as being at the peak of human attainment, while the darker-skinned races were portrayed as being primitive, weak and dependent on European tutelage in order to develop. Indians internalized many of these prejudices, instilled in them by two centuries of the white man’s dominance and the drumming into them of the cult of British superiority. I recall reading, as a child, the account of an early Indian visitor to England, astonished that even the shoeshine boys there were British, so completely had the mystique of English lordliness been internalized in India. The young prince, and later cricket star, Ranji, arriving in England as a student, was taken aback by ‘the sight of Britishers engaging in low-caste work’ (he was assured the stevedores were ‘only Irishmen’).

## *How the Census Undermined Consensus*

British cartography defined spaces the better to rule them; the map became an instrument of colonial control. Even the valuable British legacy, the museum, was devised in furtherance of the imperial project because here objects, artefacts and symbols could be appropriated, named, labelled, arranged, ordered, classified and thus controlled, exactly as the people could be.

The census joined the map and the museum as tools of British imperial dominance in the nineteenth century. The British fondness for taxonomy and social classification continued to be in evidence throughout their rule, and was formalized by means of the census they undertook first in 1872 and then every ten years from 1881, converting it into an 'ethnographic census' in 1901.

The census reconfirmed the process of defining castes, allocating them certain attributes and inventing extraordinary labels for entire communities, such as 'martial races' and 'criminal tribes'. Just as 'Brahmin' became a sought-after designation enshrining social standing, the census definition of an individual's caste tended to seal the fate of any 'Shudra', by fixing his identity across the entire country. Whereas prior to British rule the Shudra had only to leave his village and try his fortunes in a different princely state in India where his caste would not have followed him, colonialism made him a Shudra for life, wherever he was. The British belief in the fighting qualities of the 'martial races' also restricted the career possibilities of those not so classified, since British army recruitment policies were usually based on caste classifications. In the old days, any individual with the height and musculature required could make a livelihood as a warrior, whatever his caste background. In British India, this was far more difficult, if not impossible, since entire regiments were constructed on the basis of caste identities.

Census-taking in British India differed significantly from the conduct of the census in Britain, since unlike in the home country, the census in India was led by British anthropologists seeking to anatomize Indian society, the better to control and govern it. As I have mentioned earlier, Indians in precolonial times lived in communities with overlapping cultural practices, minimal self-awareness and non-existent consciousness of the details of their differences from other communities, except in the most general terms. This is underscored by the

scholar Sudipta Kaviraj, who observes that precolonial communities had imprecise ('fuzzy') boundaries because some collective identities are not territorially based, and because 'part of this fuzziness of social mapping would arise because traditional communities, unlike modern ones, are not enumerated'.

The census, of course, changed that, as did the more stable territorial lines drawn by the colonists on their new, and very precise, maps. In the precolonial era, community boundaries were far more blurred, and as a result these communities were not self-conscious in the way they became under colonial rule. In the absence of the 'focused and intense allegiances' of the modern era, precolonial groups were less likely to be antagonistic to each other over perceived community or communal differences. They have become so only as a consequence of their 'definition' by the British in mutually exclusive terms.

The British could find no one to tell them authoritatively where or in what number any particular community was; the census commissioners discovered that boundary lines among Hindus, Sikhs and Jains barely existed, and that several Hindu and Muslim groups in different parts of the country shared similar social and cultural practices with regard to marriage, festivals, food, and worship. This went against the colonial assumption that communities must be mutually exclusive and that a person had to belong to one community or another. The British then simply superimposed their assumptions on the Indian reality, classifying people by religion, caste or tribe on the basis of imprecise answers to the census commissioners' questions.

The British approach inevitably suffered from the prejudices and limitations of the age: thus, the ICS's Herbert Risley, census commissioner for the 1901 census and author of the compendious *The People of India*, took an anthropological and eugenicist approach, making physical measurements of Indian skulls and noses on the then-fashionable assumption that such physical qualities reflected racial stereotypes. (It was he who announced that 1901's would be an ethnographic census, and led it personally.) Backed up by extensive photographs of facial features and social practices, Risley's work helped the British use such classification both to affirm their own convictions about European biological superiority over Indians, and to construct racial, social and 'tribal' differences between different segments of India's people which served to reshape and substantiate 'the dominant paradigms of social knowledge'.

Indians questioned by Risley's team predictably asserted both their caste identities and their entitlement to special privileges over other castes, accentuating the very differences the British wanted to see and had brought to the fore. By so doing they sought benefits for their group—admission to certain military regiments, for instance, or scholarships to some educational institutions—at the expense of, or equal to, others. Such caste competition had been largely unknown in pre-British days; caste consciousness had never been made so explicit as in the late nineteenth century.

All these classifications in turn served the interests of the colonizers by providing them with a tool to create perceptions of difference between groups to prevent unity amongst them, and justifying British overlordship—which alone could be seen as transcending these differences and guiding the Indians to a higher, more civilized, plane of being, under the benign tutelage of the well-meaning Empire. The British made these divisions such an article of faith that even a writer seen as broadly sympathetic to Indians, E. M. Forster, has his Indian protagonist, Aziz, say in *A Passage to India*, 'Nothing embraces the whole of India, nothing, nothing'.

This colonial process of identity-creation in British India occurred even in the formation of linguistic identities. Both David Washbrook and David Lelyveld believe that territorially-defined linguistic populations came into being out of the British colonial project to categorize, count and classify—in order to control—Indian society. The very notion of linguistic identities, they suggest, emerged from the nineteenth-century belief in language as the cementing bond of social relations, and the implicit conviction that 'races' or 'nations' spoke a common language and lived within defined territorial locations. Incidentally, in their zeal for classification, the British even subsumed ancient, and not dishonourable, professions like *devadasis* (temple dancers) or *baijis* (court musicians), who in some respects served functions akin to the geishas of Japan, into a rough-and-ready category of 'prostitutes', thus casting them out for the first time from respectable society.

A troubling side effect of this changed pattern of social dominance was political: ideas of democracy were not extended to all strata of Indian society under British rule. An instructive indication of this has lain in the rise of the more numerous 'backward classes' to positions of political prominence in

independent India, which only became possible as democracy permitted free Indians to undo some of the more pernicious rigidities of the British-buttressed Indian social order.

The result of these British policies, whether by accident or design, or both, was a process of social separation that soon manifested itself as psychological separation and conscious of difference, leading in turn, where possible, to physical separation and—when demands for self-governance arose in time—political fragmentation, as each community was encouraged to fear that its self-interest could be jeopardized by the success of others.

### *The Hindu-Muslim Divide*

The most important of these identity differences was the religious cleavage, real or imagined, but immediately focused upon, between Hindus and Muslims.

Religion became a useful means of divide and rule: the Hindu–Muslim divide was, as the American scholar of religion Peter Gottschalk documents, defined, highlighted and fomented by the British as a deliberate strategy. Three arguments, as the eminent historian Romila Thapar has explained, were foundational to the colonial interpretation of Indian history. The first was the British division of Indian history into ‘periods’ labelled in accordance with the religion of the rulers: thus the ‘Hindu’, ‘Muslim’ and ‘British’ periods formulated by James Mill in *The History of British India* (published between 1817 and 1826). Implicit in such periodization was the assumption that India was always composed of monolithic and mutually hostile religious communities, primarily Hindu and Muslim. Another foundational argument was that India’s precolonial political economy was a form of ‘Oriental Despotism’, which essentially held that Indian society was a static society ruled by ‘despotic and oppressive rulers’ who impoverished the people. This is a notion I touch upon and have dismissed earlier in this book. The third foundational argument—that Hindu society had always been divided into four main castes or varnas—is addressed separately in this chapter.

By the mid-nineteenth century, the trio of Mill, Macaulay and [Friedrich Max] Müller, the German Indologist working in Britain, had effectively established a colonial construction of the Indian past which even Indians were taught to internalize. In their reading, Indian civilization was seen as essentially Hindu, as



defined by the upper castes, and descended from the Aryan race, which it was claimed invaded around 1500 BCE from the Central Asian steppes in the north, displaced and merged with indigenous populations, evolved a settled agrarian civilization, spoke Sanskrit and composed the Vedas. The Muslims came as a first wave of invaders and conquerors, in turn supplanted by the British. This history in turn became the received wisdom for late-nineteenth century Indian nationalists, Hindu and Muslim revivalists, and even cosmopolitan movements rooted in ancient Indian spiritualism like the Theosophical Society, whose co-founder, Colonel H. S. Olcott, became a major propagator of the 'Aryan origins' theory in the nineteenth century. Olcott was the first, though, to argue that the Aryans were indigenous to India and took civilization from India to the West, an idea that is today promoted by Hindutva ideologues.

By excluding Muslims from the essential national narrative, the nineteenth-century colonial interpretation of Indian history helped give birth in the twentieth to the two-nation theory that eventually divided the country. It also legitimized, with a veneer of scholarship, the British strategic policy of 'divide and rule' in which every effort was made by the imperialists to highlight differences between Hindus and Muslims to persuade the latter that their interests were incompatible with the advancement of the former.

Once again, as with caste and linguistic differences, this had no basis in precolonial history. The scholar Gyanendra Pandey suggests that religious communalism was in large part a colonial construction. His work demonstrates how the colonialists' efforts to catalogue, classify and categorize the Indians they ruled directly led to a heightened 'horizontal caste consciousness', and also contributed to the consciousness of religious difference between Hindus and Muslims. The colonial authorities often asked representatives of the two communities to self-consciously construct an 'established' custom, such as by asking them what the prevailing beliefs and practices were around cow-slaughter, which prompted both groups to give an exaggeratedly rigid version of what they believed the beliefs and practices should be! Though Pandey confirms that such identities existed in the precolonial period, he believes colonial policies led to the hardening of these communal identities.

This is entirely plausible. Stories abound of the two communities habitually working together in precolonial times on issues that benefited principally one:

for instance, Hindus helping Muslims to rebuild a shrine, or Muslims doing the same when a Hindu temple had to be reconstructed. Devout Hindus were sometimes given Muslim names and were often fluent scholars in Persian; Muslims served in the army of the Maratha (Hindu) warrior king Shivaji, as did Hindu Rajputs in the forces of the fiercely proud Muslim Emperor Aurangzeb. The Vijayanagara army included Muslim horseback contingents. At the village level, many historians argue that Hindus and Muslims shared a wide spectrum of customs and beliefs, at times even jointly worshipping the same saint or holy spot. In Kerala's famous pilgrimage site of Sabarimala, after an arduous climb to the hilltop shrine of Lord Ayyappa, the devotee first encounters a shrine to his Muslim disciple, Vavar Swami. In keeping with Muslim practice, there is no idol therein, merely a symbolic stone slab, a sword (Vavar was a warrior) and a green cloth, the colour of Islam. Muslim divines manage the shrine. (In another astonishing example, astonishing since it is both anachronistic and syncretistic, a temple in South Arcot, Tamil Nadu, hosts a deity of Muttaal Raavuttan, a Muslim chieftain—complete with beard, *kum-kum* and toddy pot—who protects Draupadi in the *Mahabharata*. Note, of course, that Islam did not exist when the *Mahabharata* was composed, but in post-Islamic retellings, a Muslim chieftain has entered the plot!)

Indians of all religious communities had long lived intertwined lives, and even religious practices were rarely exclusionary: thus Muslim musicians played and sang Hindu devotional songs, Hindus thronged Sufi shrines and worshipped Muslim saints there, and Muslim artisans in Benares made the traditional masks for the Hindu Ram-Leela performances. Northern India celebrated what was called a 'Ganga-Jamuni *tehzeeb*', a syncretic culture that melded the cultural practices of both faiths. Romila Thapar has recounted how deeply devotional poetry was written by some poets who were born Muslim but worshipped Hindu deities, notably Sayyad Ibrahim, popularly known as Raskhan, whose *dohas* and *bhajans* dedicated to Lord Krishna were widely recited in the sixteenth century. The Mughal court, she points out, became the most impressive patron of the translation of many Sanskrit religious texts into Persian, including the epic *Mahabharata* (translated as the *Razmnamah*) and the *Bhagavad Gita*, with Brahmin priests collaborating on the translations with Persian scholars.

To Gyanendra Pandey, such tales, as well as parables of Hindu generals in

Mughal courts, or of Hindu and Muslim ministers in the Sikh ruler Ranjit Singh's entourage, suggests there was 'fuzziness' about self-conscious identities and a lack of self-definition on the basis of religion (or even of caste), within both the Hindu and Muslim populations. These stories do not suggest mutually incompatible or hostile ideologies. Acceptance of difference, as Swami Vivekananda famously declared at the World Parliament of Religions in Chicago, was central to the Indian experience throughout its long civilizational history.

Nor was religion in the past necessarily the overall basis for collective action, let alone political mobilization: caste, community, *jati* and *biradari* played their parts. But by encroaching on the terrain of the various communities, thereby invalidating indigenous social relations, the colonial state loosened the bonds that had held them together for generations across these divides.

The facts are clear: large-scale conflicts between Hindus and Muslims (religiously defined), only began under colonial rule; many other kinds of social strife were labelled as religious due to the colonists' Orientalist assumption that religion was the fundamental division in Indian society. There is a general consensus that it is questionable whether a totalizing Hindu or Muslim identity existed in any meaningful sense in India prior to the nineteenth century.

I realize this assertion will rouse the sceptics, who will argue that Muslims and Hindus were slaughtering each other since at least 712 CE, when the teenaged Arab warrior Muhammad bin Qasim conquered the Hindu kingdom of Sindh. Indeed, the argument that tensions existed for 1,200 years, since the advent of Islam in north India, is often made both by Pakistanis (to justify separation) and by acolytes of the Hindutva (Hindu nationalist) cause, who routinely assert that as many as 60,000 Hindu temples were razed to the ground by Muslim rulers over the centuries, and mosques built on 3,000 of those temples' foundations.

That some of this happened is indisputable: one only has to visit Sultan Iltutmish's celebrated mosque and its surrounding architecture at the Qutb Complex in Delhi to see the elaborate Hindu religious carvings that still adorn the pillars. But the research carried out separately by historians Cynthia Talbot and Richard M. Eaton in two different parts of India suggest that temple desecration was largely 'a phenomenon of the advancing frontier', occasioned

by warfare and occurring mainly in the intense frenzy of armed conflict across changing territorial lines. Eaton believes that temple destruction by Turkic and other Muslim rulers throughout India occurred mainly in kingdoms in the process of being conquered; a royal temple symbolized the king's power in Hindu political thought, and so destroying it signified that king's utter humiliation. Talbot's research in Andhra Pradesh at the time of Muslim expansion into the region confirms similar findings. In other words, invaders' attacks on temples were politically, rather than religiously, motivated. The portrayal of Muslims as Islamist idol-breakers, driven to destroy temples because of religious fanaticism, argue both Eaton and Talbot, is far from the truth. Obviously raiders who came and went like Mahmud of Ghazni, Muhammad Ghorī and Nadir Shah were bent on destruction and pillage, but the Muslims who stayed in India attacked temples not to destroy them, but because they valued them and understood their importance.

Such an argument is bound to prove contentious, especially given numerous examples of iconoclasm on the part of Muslim warriors. But there are far more numerous examples of harmony and coexistence. The best example of Indian religious coexistence in the precolonial era, of identities being so creatively held that they could accommodate easily to each other, comes from today's state of Kerala, dubbed by the British the Malabar Coast. The openness to the external influences—Arab, Roman, Chinese, British, Islamic, Christian, Brahminical—that went into the making of the Malayali (Keralite) people reflected their trading heritage. More than two millennia ago, Keralites had trade relations not just with other parts of India but with the Arab world, the Phoenicians and the Roman empire, so Malayalis have had, for a long time now, an open and welcoming attitude to the rest of humanity. Jews fleeing Roman persecution found refuge here; there is evidence of their settlement in Cranganore as far back as 68 CE. And 1,500 years later, the Jews settled in Kochi, where they built a magnificent synagogue that still stands. Kerala's Christians belong to the oldest Christian community in the world outside Palestine. And when St Thomas, one of Jesus's twelve apostles, brought Christianity to Kerala, it is said he was welcomed on shore by a flute-playing Jewish girl.

St Thomas made converts among the high-born elite, the Namboodiri Brahmins, which meant there were Indians whose families had practised

Christianity for far longer than the ancestors of any Briton could lay claim to.

Islam came to Kerala not by the sword, as it did in northern India, but through traders, travellers and missionaries, who brought its message of equality and brotherhood to the coastal people. The new faith was peacefully embraced and encouraged, rather than rejected: indeed, as I have mentioned earlier, the Zamorin of Calicut was so impressed by the seafaring skills of this community that he issued a decree in the sixteenth century obliging each fisherman's family in his kingdom to bring up one son as a Muslim to man his all-Muslim navy, commanded by sailors of Arab descent, the Kunjali Maraicars. The first recorded instance in Kerala of violence involving the Muslim community, religiously defined as opposed to the clashing armies of contending warriors or kings, was in British India, when the 'Moplah Rebellion' occurred in 1920.

Looking at peninsular south India at the time of the Muslim invasions (from the fourteenth to the seventeenth centuries), Cynthia Talbot observed that since a majority of medieval South India's population continued to be non-Muslim, even within the regions where Muslims were politically dominant, the two societies always overlapped. A certain degree of cooperation and collaboration was inevitable in these circumstances. The Muslim polities of the peninsula were dependent on Hindu officials and warriors for tax collection and maintenance of order in the countryside. As to the rhetorical portrayal of each other, 'both denigrating and tolerant representations of the Other coexisted at any given phase', but they tended to highlight foreignness rather than religion. And foreignness, of course, was an attribute that tended to fade, if not entirely disappear, with time.

The political consequences of this British denial of the precolonial past and the deliberate imperial construction of a 'Hindu-Muslim divide' after 1857 became vividly apparent in the late nineteenth century. When Allan Octavian Hume founded the Indian National Congress he actively welcomed Indians of all faiths to the organization; its first few presidents included Hindus, Christians, Parsis and Muslims. The British did not approve of Hume's liberal attitude. (Had they been sincere about empowering a cooperative class of English-educated Indians, they could easily have done so, co-opting these liberal lawyers, as they mostly were, into the British governance of India.) Instead, the British watched the rise to prominence of Congress, a secular body transcending religion, with

growing disapproval, and pronounced it a Hindu-dominated organization. They instigated a Muslim nobleman, Nawab Khwaja Salimullah of Dacca, to start a rival organization in 1906 for his co-religionists alone, the Muslim League.

Meanwhile Lord Curzon's decision in 1905 to partition Bengal, ostensibly for administrative reasons but in reality to create a Muslim-majority province in the east, aroused fierce opposition from all segments of Bengali society and from Indian nationalists everywhere, who saw it as a transparent attempt to divide the country. The British deliberately 'sold' the partition of Bengal to the Muslims as promoting their interests, so that the Nawab of Dacca, who had initially condemned the division of his province as 'beastly', was persuaded to change his mind under the influence of Lord Curzon's visit to him. This followed speeches in which the Viceroy promised that the partition 'would invest the Mohammedans of Eastern Bengal with a unity which they had not enjoyed since the days of the old Mussulman viceroys and kings'. To sweeten the pill further the British government advanced the nawab a private loan of £100,000 at a concessional rate of interest, and soon the nawab and his followers did a U-turn to become staunch supporters of the Partition of Bengal.

The British made no effort to hide their partiality. Herbert Risley, the architect of the scheme, admitted frankly that 'one of our main objects is to split up and thereby weaken a solid body of opponents to our rule.' The Lieutenant Governor of Bengal, Sir Bampfylde Fuller, said publicly—he later claimed that he had done so in jest—'that of his two wives (meaning the Muslim and Hindu sections of his province) the Mohammedan was the favourite'. His 'jest' was taken rather too seriously by some Muslim elements, who concluded that by these words the British authorities were ready to grant them impunity for anti-Hindu violence, which then proceeded to spread in East Bengal. Assaults, rape and abductions against the Hindu minority followed: 'thus', reported Henry Nevinson, 'a new religious feud was established in Eastern Bengal'. Administrative division, as the protestors saw clearly, served as an assault upon the social unity of Bengali communities.

Nevinson goes on:

I have almost invariably found English officers and officials on the side of the Mohammedans where there is any rivalry of race or religion at all. And

in Eastern Bengal this national inclination is now encouraged by the Government's open resolve to retain the Mohammedan support of the Partition by any means in its power. It was against the Hindus only that all the petty persecution of officialdom was directed. It was they who were excluded from Government posts; it was Hindu schools from which Government patronage was withdrawn. When Mohammedans rioted, the punitive police ransacked Hindu houses, and companies of little Gurkhas were quartered on Hindu populations. It was the Hindus who in one place were forbidden to sit on the riverbank. Of course, the plea was that only the Hindus were opposed to the Government's policy of dividing them from the rest of their race, so that they alone needed suppression.

Yet the Congress initially chose to take this development in its stride: seeing the League as representing merely the landed aristocracy and upper-class merchants and landlords among the Muslim population, it deemed it not to be a threat. Indeed, the election of the moderate Aga Khan as its first president seemed to confirm this judgement. The Congress declared membership of the League not to be incompatible with membership of the Congress, continued to invite League members to Congress meetings, and on three remarkable occasions, elected Muslim League members to preside over the Congress. (Hakim Ajmal Khan, Maulana Mohammed Ali and Dr M. A. Ansari enjoy the remarkable distinction of having been presidents of both the Congress and the League without having to give up either.)

In 1916, Motilal Nehru was chosen by the Congress to draft, together with a brilliant young Muslim lawyer called Muhammad Ali Jinnah, the principles that would govern cooperation with the Muslim League. Their work, recognizing the principle that decisions would not be taken affecting the interests and beliefs of a minority community without the agreement of a majority of that community's representatives, formed the foundation of what was widely hailed as the Lucknow Pact. The Congress's leading literary light, the poetess Sarojini Naidu, hailed Jinnah as the 'ambassador of Hindu-Muslim unity' and set about editing a compilation of his speeches and writings.

Indeed, for all the British encouragement, the Muslims of India as a whole did not think of their futures as anything but entwined with their Hindu compatriots.

It is striking that, as late as 1918, in his most substantial book on ‘the Indian question’, the Aga Khan articulated a vision of India as a confluence of four civilizations—‘Western’, ‘Far Eastern’, ‘Brahmanical’ and ‘Mohamedan’—and expressed an ‘Indian patriotism’ that assumed close understanding between Hindus and Muslims (including a common desire for India, rather than Britain, to colonize East Africa!). Similarly, he is dismissive of ‘political Pan-Islamism’, speaking of Islam as a social, cultural and spiritual force that unites believers morally around the world, but stressing that ‘religion has more and more become a spiritual force in the modern world, and less and less a temporal one. In this [era] national and material interests have predominated over religious ties’. These were views widely held by other educated Indian Muslims, and had been expressed in almost identical terms by Justice Syed Mahmud four decades previously.

Mahatma Gandhi, upon assuming the leadership of the Congress, also sought to make common cause with Muslim opinion by spearheading a Khilafat agitation in support of Indian Muslim demands to restore the Caliphate in Turkey after the collapse during World War I of the Ottoman Empire. That movement fizzled out when it was overtaken by domestic developments (including some assaults by Caliphate enthusiasts on Hindus deemed insufficiently supportive of the cause) and was, in any case, made irrelevant by events in Turkey, but it was an earnest display of the Congress’s determined effort to represent all Indians, irrespective of faith, and not to surrender to the British project of religious division.

The British-conducted censuses had overt political significance, since the census numbers were crucial to the political debates at the beginning of the twentieth century. They were ignored in constituting the British Indian Army, in which Muslims accounted for 50 per cent of the Indians serving in uniform despite being only 20 per cent of the population. (The Dalit leader Dr B. R. Ambedkar suggested this disproportionate representation in the army was deliberately designed ‘to counteract the forces of Hindu agitation’ against the British Raj.) But when it came to politics, the census figures proved most useful to the British in heightening a sense among some Muslims of being an endangered minority. Communal identity and representation became major issues, by design, when separate electorates were being defined based on



religious identity for the first time by the Minto–Morley Reforms. Similarly, as we have seen, census numbers engendered a huge upheaval in colonial governance when the British sought to partition the province of Bengal.

In exactly the same way, when a limited franchise was finally extended to ordinary Indians by the Montagu–Chelmsford Reforms to vote for positions of limited authority in British-approved bodies, imperial officials provided political franchise to several of the communal identities the British government had created within Indian society, each one competing against the other to gain favour with the colonialists. Thus there were seats reserved for Hindus, Muslims, Sikhs and so on. This resulted in the aggravation of communal identities, since what little politics was permitted could quickly devolve into a communal competition for limited resources. Public sentiments could be aroused to exaggerate differences amongst Indians, which redounded to the benefit of the British, who, of course, were above it all. So Englishmen who would have shuddered at the idea of allowing the Jews of Golders Green to vote separately in London elections enthusiastically arranged separate electorates for the Muslims of India, where Muslim voters could only vote for Muslim candidates, Sikhs for Sikhs and Christians for Christians. The practice prompted Will Durant to observe that the British approach ‘intensifies and encourages the racial and religious divisions which statesmanship would seek to heal’.

But healing was not the object of government policy, as we have seen from the outset of this chapter: a divided people were easier to subjugate. Lord Olivier, Secretary of State for India in the 1920s, openly admitted to a ‘predominant bias in British officialdom in favour of the Moslem community... Largely as a make-weight against Hindu nationalism’. This was compounded by the British tendency to give the Muslims even more than they had asked for. Thus, when the Muslim League demanded one of two possible privileges in the five Muslim-majority provinces, either statutory majorities, enshrined in law, with joint electorates, or separate electorates for Muslims the British gave them statutory majorities with separate electorates in their Communal Award, letting the Muslim Leaguers have it both ways.

Ironically, had Indian politics been encouraged to develop as British politics had, along ideological lines, one could have seen the emergence of a conservative party and a socialist one, with some liberals in between; these

tendencies were all present among Indian public men. This kind of conventional political contention could have kept India united, with Jinnah and Nehru becoming the Disraeli and Gladstone of their era in an emerging Indian Dominion. But colonial policies drove conservatives and socialists alike to define themselves primarily in relation to the communal question, leading ultimately to the tragic sundering of the country.

The alterations this brought about to Indian sensibilities were profound. Most scholars of Indian history blame the British for the gradual whittling away of the shared syncretic traditions described earlier. As Alex von Tunzelmann noted in her history, *Indian Summer: The Secret History of the End of an Empire*, when ‘the British started to define “communities” based on religious identity and attach political representation to them, many Indians stopped accepting the diversity of their own thoughts and began to ask themselves in which of the boxes they belonged’.

Such divisions were heightened not just between religious communities, but also within them. Thus the British can be largely blamed for the creation of previously non-existent Shia-Sunni tensions within the Muslim population of Lucknow. Prior to the British annexation of Oude (Avadh), the two sects had lived in harmony under a Shia nawab, whose celebrations of the Shia festival of Muharram had included Sunnis and Hindus as well in a public affirmation of his people’s fraternity. Once the British had deposed the nawab in 1856, the unifying symbol of the throne was lost, and the relationship between the ruling Shia nobility and the non-Shia subjects of the kingdom (Sunnis and Hindus) irrevocably transformed. The exaggeration by the British of communal identities now embraced sectarian differences between the two Muslim sects.

As the scholar Keith Hjortshoj recounts: ‘By 1905, religious rhetoric between Shias and Sunnis had reached such heights that Sunnis in Lucknow did not join in the Marsiyah elegies during Muharram, but instead recited a praise of the first three Caliphs called the Madhe-Sahaba. Shias responded with Tabarra curses upon the Sahaba.’ Shia leaders also managed to persuade the British government that Sunni practices during Muharram were largely irrelevant, so the British enacted strict laws against practices by Sunnis that could be offensive to Shias. Before long the British had decided to authorize separate Shia and Sunni processions to commemorate Muharram.

The British-sponsored Shia-Sunni divide in Lucknow is one of the clearest examples of how the British encouraged differences, and how Indians sought to create communities that the Raj would recognize and to which it would give political weight. This occurred, as it happened, at the very time when various political groups were competing for space in the expanded Indian representation announced for the viceroy's and governors' councils under the Minto-Morley Reforms. 'When the British authorities assumed responsibility for banning or approving commemorations, arbitrating disputes, and regulating procession routes,' Hjortshoj has explained, 'they transformed religious differences into public, political, and legal issues. And so they have remained.'

Far from promoting Indian political unity, British policies identified, accentuated and legitimized such divisions. One can lay not only a Hindu–Muslim divide at their door, but also credit them for giving legal definition to a new political division between the Sunni and Shia communities.

The British-promoted cleavage also divided the Muslim community. A prominent Deobandi cleric who opposed the communal polarization promoted by the British and fought against the League's Pakistan project, Maulana Husain Ahmad Madani, wrote passionately to a co-religionist as late as 1945:

Muslims have been together with the Hindus since they moved to Hindustan. And I have been with them since I was born. I was born and raised here. If two people live together in the same country, same city, they will share [a] lot of things with each other. Till the time there are Muslims in India, they will be together with the Hindus. In the bazaars, in homes, in railways, in trams, buses, lorries, in stations, colleges, post offices, jails, police stations, courts, councils, assemblies, hotels, *etc.* You tell me where and when we don't meet them or are not together with them? You are a zamindar. Are not your tenants Hindus? You are a trader; you don't buy and sell from Hindus? You are a lawyer: don't you have Hindu clients? You are in a district or municipal board; won't you be dealing with Hindus? Who is not with the Hindus?

The creation and perpetuation of Hindu–Muslim antagonism was the most significant accomplishment of British imperial policy: the project of *divide et*

*impera* would reach its culmination in the horrors of Partition that eventually accompanied the collapse of British authority in 1947.

## *A Saint Among Sinners*

The great Indian opponent of the British Raj, Mahatma Gandhi, opposed colonial rule in an unusual way: not by violence but by the strength of moral force. Gandhi's life was, of course, his lesson. He was unique among the statesmen of the twentieth century in his determination not only to live his beliefs but also to reject any separation between beliefs and action. Gandhi was a philosopher who was constantly seeking to live out his own ideas, whether they applied to individual self-improvement or social change: his autobiography was typically subtitled *The Story of My Experiments with Truth*. Truth could not be obtained by 'untruthful' or unjust means, which included inflicting violence upon one's opponent. The means had to be worthy of the ends; if they were not, the ends would fail too.

To describe his method, Gandhi coined the expression *satyagraha*, literally, 'holding on to truth' or, as he variously described it, truth-force, love-force or soul-force. He disliked the English term 'passive resistance' because *satyagraha* required activism, not passivity. If you believed in Truth and cared enough to obtain it, Gandhi felt, you could not afford to be passive: you had to be prepared actively to suffer for Truth. So non-violence, like many later concepts labelled with a negation, from non-cooperation to non-alignment, meant much more than the denial of an opposite; it did not merely imply the absence of violence. Non-violence was the way to vindicate the truth not by the infliction of suffering on the opponent, but on one's self. It was essential to willingly accept punishment in order to demonstrate the strength of one's convictions.

This was the approach Gandhi brought to the movement for India's independence and it worked. Where sporadic terrorism and moderate constitutionalism had both proved ineffective, Gandhi took the issue of freedom to the masses as one of simple right and wrong and gave them a technique to which the British had no response. By going beyond the councils and the meeting rooms he seized the public imagination. By abstaining from violence the Mahatma wrested the moral advantage. By breaking the law non-violently he showed up the injustice of the law. By accepting the punishments imposed on him he confronted his captors with their own brutalization. By voluntarily imposing suffering upon himself in his hunger strikes he demonstrated the

lengths to which he was prepared to go in defence of what he considered to be right. In the end he made the perpetuation of British rule an impossibility.

In this, Gandhi was embodying what the doughty nationalist Lala Lajpat Rai had propounded in 1905:

‘The British are not a spiritual people,’ the Lala had said. ‘They are either a fighting race or a commercial nation. It would be throwing pearls before swine to appeal to them in the name of the higher morality or justice or on ethical grounds. They are a self-reliant, haughty people, who can appreciate self-respect and self-reliance even in their opponents.’ (Despite this insight, Lajpat Rai was himself killed, aged sixty-three, by repeated blows to the head by the stave of a superintendent of police, James A. Scott, while leading a peaceful, non-violent protest against the British in 1928.)

As the non-violent Indian nationalist movement gained traction, public sympathy and international attention in the 1920s and 1930s, with Gandhi seizing the world’s imagination through his *satyagraha*, his fasts and the Empire-defying Salt March, the British felt obliged to grant improved measures of self-governance through the Government of India Act, 1935. Even then, however, the franchise was extended to less than 10 per cent of the population and, as before, Indians voted not as citizens of a single country but as members of different religious groups, with Muslim voters choosing Muslim members from a reserved list—a further confirmation of *divide et impera*. Separate electorates were part of the British attempt to thwart Mahatma Gandhi’s mass politics, which for the first time had created a common national consciousness not just among the educated elite who had formerly dominated the Congress but amongst the general public he had successfully mobilized.

The British decision to declare the community then known as ‘Untouchables’ (today as Dalits, or more bureaucratically as ‘Scheduled Castes’) to be a minority community entitled to separate representation, distinct from other Hindus, in a new category called the ‘Depressed Classes’, was seen by Indian nationalists as a ploy to divide the majority community in furtherance of imperial interests. Dalits, in turn, saw the nationalist movement as dominated by the same ‘upper’ castes that had long discriminated against them, and Dalit leaders like Ambedkar, a brilliant constitutional scholar who had risen from hard-scrabble poverty by sheer dint of merit, embraced separate electorates as a

means of asserting their right to choose their own representatives.

The Indian National Congress, led by Mahatma Gandhi, was already opposed to separate electorates for Muslims, Sikhs and Christians, since it saw the practice as designed to promote a sense that they were separate communities whose interests were somehow different from the general mass of Indians. Still, the Congress could not formally oppose separate electorates for fear of antagonizing minority groups while the British were busy stoking minority fears of Hindu domination if and whenever self-government came to India. The Congress, therefore, confined its opposition to the principle that separate electorates were wrong and unnecessary but could only be abandoned with the consent of the minorities.

However, the British attempt to separate the Depressed Classes was of a different order, since it was the first time that separate electorates were being proposed within a religious community, and the strategy of fragmenting Indian nationalism and breaking the incipient unity of the Indian masses was clearly apparent to Congress leaders. Gandhi demanded that the representatives of the Depressed Classes should be elected by the general electorate under a wide, and if possible universal, common franchise, and undertook a fast unto death in 1932 that riveted the nation and compelled the British and the Dalit leadership to give in. Under a political compromise, known as the Poona Pact, that year separate electorates for the Depressed Classes were abandoned but additional seats were reserved for them in the provincial and central legislatures—an increase from 71 to 147 in the former and to 18 per cent of the Central Legislature.

(Interestingly enough, the leader of the Dalits who clashed with Gandhi over the issue, Dr B. R. Ambedkar, went on to serve after Independence as chairman of the Drafting Committee for India's Constitution, and ensured that his country would have the world's first and farthest-reaching affirmative action programme for his community. Though separate electorates were dropped for good, 85 seats in independent India's 543-seat lower house were reserved for Scheduled Castes and Tribes, as were a quota of places in government service and universities—guaranteeing not just opportunities but assured outcomes.)

If the Dalits did not end up with separate electorates, the Muslim League found it difficult initially to profit from them. 'The ambassador of Hindu-Muslim unity' was not an appellation destined to endure for Jinnah. Disdaining

the populism and the mass appeal of Gandhi, Jinnah had retreated to his law practice in England, only to return, after a long political sulk, as the leader determined to take the Muslim League towards separatism. Jinnah began to claim that India's Muslims represented a nation unto themselves: 'We are different beings,' he declared in barefaced denial of his entire upbringing, career, social relations and personal life. 'There is nothing in life which links us together. Our names, our clothes, our foods—they are all different; our economic life, our educational idea, our treatment of women, our attitude to animals... We challenge each other at every point of the compass.' For the Savile Row-suit-wearing, sausage-eating, whisky-swilling Jinnah to go on about clothes and food was a bit rich, as was the reference to women's habits coming from the lips of a man who had been famously indulgent of his young wife's scandalously 'bold' attire.

But the political choice had been made to accentuate difference, and that is what the Muslim League leader set out to do. He sought to establish the League as the 'sole representatives' of India's Muslims, but Muslim voters, inconveniently enough, demurred, voting for Muslims of other political allegiances, including, most gallingly, for Muslim members of the Indian National Congress, as well as for the League.

The 1937 elections saw the Indian National Congress being elected to rule eight provinces; the party won an astonishing 617 of the 739 'general' seats it contested, and even 25 of the 59 seats, reserved exclusively for Muslims, that the Congress contested. Several other parties, and 385 Independents, also won seats. Trailing a distant second to the Congress was the Muslim League, which failed to win even a plurality of the seats reserved for Muslims, winning just 106 of the 1,585 seats at stake and failing to take control of any province. The domestic political contest, it seemed, had been decisively settled in favour of the inclusive, pluralist, multi-ethnic party, the Congress.

But those who saw it that way had spoken too soon. The Congress's victory was far from determinant. Though the elections involved some 15.5 million voters and marked a significant step forward in the creation of representative governance, most key powers were still retained by the Viceroy, and no elections were held to the central government, which continued to be run by him. This was deliberate: alarmed by the growing popularity of the Congress, the British



counted upon what the viceroy, Lord Linlithgow, called 'the potency of provincial autonomy to destroy the effectiveness of the Congress as an all-India instrument of revolution'. The hope was to give the party's provincial leaders enough of a taste of the loaves and fishes of office to wean them away from their national leadership and give them a personal stake in collaboration with the Raj. The electoral system was also stacked in favour of rural representation in order to get more landlords elected whose interests would diverge from the socialist programmes of the Congress's national leaders.

So much of the talk of self-government was hollow, and its hollowness was confirmed when it was the Viceroy, and not the elected representatives of the Indian people, who declared war on Germany on behalf of India in 1939. This promptly precipitated the resignation of the elected Congress ministries, in protest at not being consulted on such a vital matter. The pretence of developing responsible political institutions in India was laid to rest. And soon a rough beast, in Yeats' immortal words, arose amid the Muslims of India, slouching towards a new Bethlehem to be born.

## *Stumbling Towards Armageddon*

To the surprise of both their supporters and their critics, the Congress ministries in the nine provinces had conducted themselves as able stewards of the governmental system of the British Raj. For the most part they did little to dismantle oppressive British laws, and in some cases proved as zealous in arresting radicals as the British themselves had been.

Meanwhile, both during his party's electoral setback and then when the Congress opened the window of opportunity by resigning its ministries, Muhammad Ali Jinnah, the increasingly hard-line leader of the Muslim League, had proven to be a skilled tactician, making up for the League's defeat in the Muslim-majority provinces of Punjab and Bengal by in effect co-opting the victorious leaders there (Sir Sikandar Hayat Khan of the Unionist Party and Fazlul Huq of the Krishak Praja Party, respectively) onto the League platform. The Congress itself was riven by infighting. Its acceptance of office had both alienated its left wing and made it vulnerable to largely specious charges of imposing 'Hindu majority rule' on the Muslim minority.

Ironically, when war came, the Viceroy would have found ready support from the Congress, whose leader, Jawaharlal Nehru, had declared that in any conflict between democracy and fascism, 'our sympathies must inevitably be on the side of democracy... I should like India to play its full part and throw all her resources into the struggle for a new order'. Nehru's abhorrence of fascism was so great that he would gladly have led a free India into war on the side of the democracies, provided that choice was made by Indians and not imposed upon them by the British. But when Germany's invasion of Poland on 1 September 1939 led Britain to declare war upon it, Indians noted the irony of the English fighting to defend the sovereignty of a weak country resisting the brute force of foreign conquest—precisely what Indian nationalists were doing against British imperialism. So Britain would fight Germany for doing to Poland what Britain had been doing to India for nearly two hundred years.

Nehru blamed British appeasement for the fall of Spain to the fascists, the betrayal of Ethiopia to the Italians, and the selling out of Czechoslovakia to the Nazis: he wanted India to have no part of the responsibility for British policy, which he saw as designed to protect the narrow class-interests of a few

imperialists. Despite his stated antipathy for fascism and the Nazis, Nehru saw no reason why Indians should be expected to make sacrifices to preserve British rule over them. How could a subject India be ordered to fight for a free Poland? A free and democratic India, on the other hand, would gladly fight for freedom and democracy.

Under his direction, the Congress Working Committee adopted a resolution making this case (while rejecting former President Subhas Chandra Bose's demand that civil disobedience be launched immediately). Nehru made no secret of his own anti-Nazi views; all he wanted was some indication from the British government of respect for his position so that India and Britain could then gladly 'join in a struggle for freedom'. The Congress leaders made it clear to the viceroy that all they needed was a declaration that India would be given the chance to determine its own future after the war. The Congress position was greeted with understanding and even some approval in left-wing circles in Britain, but though he would have found allies in the anti-fascist Congress governments in the provinces and amongst Congress legislators in the Central Assembly, Lord Linlithgow did not so much as make a pretence of consulting India's elected leaders before declaring war on Germany on behalf of India. Instead, he turned to the Muslim League for support.

The Congress had, in fact, hoped for a joint approach on the war issue with the League. The Viceroy's statement in October 1939 emphatically rejecting the Congress position, however, prompted the working committee, with Nehru in the lead, to order all its provincial ministries to resign rather than continue to serve a war effort in which they had been denied an honourable role. The decision was taken on a point of principle, but politically it proved a monumental blunder. It deprived the Congress of their only leverage with the British government, cast aside the fruits of their electoral success, and presented Jinnah with a golden opportunity. He broke off talks with the Congress—declaring the day of the Congress resignations a 'day of deliverance'—and turned to the viceroy instead.

Two years in the political wilderness after the electoral setbacks of 1937 had already transformed the League. Congress rule in many provinces had unwittingly increased Muslim concern, even alarm, about the implications of democratic majoritarian rule in a country so overwhelmingly Hindu. Many

Muslims began to see themselves as a political and economic minority, and the League spoke to their insecurities. Jinnah had begun to come to the conclusion that the only effective answer to the Congress's political strength would be separation—the partition of the country to create an independent state in the Muslim-majority areas of the northwest and east. This demand would be enshrined in the League's Lahore Resolution of 23 March 1940 calling for the creation of Pakistan. Nehru and his fellow Congress leaders were largely oblivious of the change of thinking amongst many League members, manifest in an increasingly populist political strategy (it was only in 1939, for instance, that Jinnah began to learn Urdu and to don the 'Muslim' *achkan* (a knee-length coat buttoned down the front) for official photographs, actions reminiscent of that old saw from the French tumult of 1848: 'I am their leader—I must follow them').

In October 1939, Jinnah persuaded Lord Linlithgow, the Viceroy, to enlist the League as an interlocutor equal to the Congress and as the sole representative of India's Muslims, a position to which its electoral results did not yet entitle it. The Viceroy, anxious to prevent Congress–League unity on the war issue, consented. The League's policy, he observed, was now the most important obstacle to any talk of Indian independence, and therefore needed to be encouraged. That November Jinnah was invited, for the first time, to broadcast a special message to Muslims on the occasion of the Id festival; an explicit recognition of the League president as the spokesman of the Muslim community. Nehru and the Congress simply saw such claims as illegitimate and premised on bigotry; however, they did not do enough to address the real crisis of confidence brewing in the Muslim community at the prospect of majority rule.

Through much of 1940 the Congress played a waiting game, hoping for British concessions. Some Congressmen were prepared to go even farther and extend direct support to the war effort if there was a national government established in India to support it. But Linlithgow was a large, slow-moving and slow-witted man: his thinking was far removed from even the most basic of Indian aspirations. (He wrote to London in April 1940: 'I am not too keen to start talking about a period after which British rule will have ceased in India. I suspect that that day is very remote and I feel the [less] we say about it in all probability the better.' Indeed that was the year in which Churchill confidently expressed the belief that the British empire would last a thousand years.<sup>9</sup>) When

the official response of the government came in August 1940, it was a derisory offer to associate a few 'representative Indians' with the Viceroy's toothless advisory councils. Nehru rejected this utterly. Civil disobedience seemed the only answer.

[9 Brigadier Enoch Powell (the future Conservative politician) wrote as late as May 1946 that 'India would need British control of one kind or another for at least 50 years more.']

The government decided not to wait for what Nehru might do. They arrested him on 30 October 1940 and, after a trial distinguished by a magnificent statement by the accused ('it is the British empire itself that is on trial before the bar of the world'), sentenced him to four years in prison. The conditions of his detention were unusually harsh, with a number of petty indignities inflicted upon him, in particular relating to his ability to send or receive mail, which deprived him of the solace that letters had provided over the years. In December 1941, however, despite the opposition of Winston Churchill, the War Cabinet in London authorized the release of all the imprisoned Congressmen. Nehru hoped in vain for some policy declaration by the British that would enable him to commit India to the Allied cause, but the reactionary Churchill and his blinkered representatives in New Delhi went the other way, with Churchill (whose subsequent beatification as an apostle of freedom seems all the more preposterous) explicitly declaring that the principles of the Atlantic Charter would not apply to India. This was all the more inexplicable in the face of the rout of British forces in Asia: Singapore fell in February, Burma in March; the Japanese were at India's gates in the east, and Netaji Subhas Chandra Bose, who had fled British India, had fashioned an 'Indian National Army' in mid-1941 out of prisoners of war, to fight alongside the Japanese. Nehru had no desire to see one emperor's rule supplanted by another's: he started organizing the Congress to prepare for resistance to the Japanese. American sympathy was matched by that of the Labour Party in the War Cabinet. Clement Attlee persuaded his colleagues to send the socialist Sir Stafford Cripps to India in early 1942 with an offer of Dominion status after the war, with the possibility of partition.

Cripps was already a legend in British politics, a former Solicitor General who had been expelled from the Labour Party in 1939 for advocating a united front with the Conservatives (which, of course, came to pass during the war), and who combined an ascetic vegetarianism with a flamboyant ego ('there, but for the grace of God, goes God,' Churchill remarked of him). Cripps had visited

India after the outbreak of war in 1939 and knew many Indian leaders; he considered Nehru a friend. Yet the Cripps Mission was welcomed by Jinnah, but foundered on the opposition of the Congress. Mahatma Gandhi objected principally because the British proposal appeared to concede the idea of Partition; he memorably called the offer 'a post-dated cheque' (an imaginative journalist added, 'on a crashing bank') and urged its rejection. Congress President Maulana Azad insisted that the defence of India should be the responsibility of Indian representatives, not the unelected Government of India led by the British Viceroy, and it was on this issue that Nehru refused to compromise. Cripps was inclined to give in, and spoke of an Indian national government running the country's defence with the viceroy functioning as a figurehead (like the British king). But he had exceeded his instructions: Churchill ('I hate Indians. They are a beastly people with a beastly religion.'), abetted by the hidebound Viceroy, Linlithgow, and the often hapless commander-in-chief, Lord Archibald Wavell, scuttled the negotiations.

Churchill had strong views on Gandhi. Commenting on the Mahatma's meeting with the viceroy of India, 1931, he had notoriously declared: 'It is alarming and nauseating to see Mr Gandhi, a seditious Middle Temple lawyer, now posing as a fakir of a type well known in the east, striding half naked up the steps of the viceregal palace, while he is still organising and conducting a campaign of civil disobedience, to parlay on equal terms with the representative of the Emperor-King.' (Gandhi had nothing in common with fakirs, Muslim spiritual mendicants, but Churchill was rarely accurate about India.) 'Gandhi-ism and all it stands for,' declared Churchill, 'will, sooner or later, have to be grappled with and finally crushed.' In such matters Churchill was the most reactionary of Englishmen, with views so extreme they cannot be excused as being reflective of their times: in fact Churchill's statements appalled most of his contemporaries. Even the positive gloss placed on him today seems inexcusable: 'He put himself at the head of a movement of irreconcilable imperialist romantics,' wrote Boris Johnson in his recent admiring biography of Churchill. 'Die-hard defenders of the Raj and of the God-given right of every pink-jowled Englishman to sit on his veranda and...glory in the possession of India'.

Mahatma Gandhi, increasingly exasperated by the British, argued that Nehru's pro-Allied position had won India no concessions. His public message

to the government was to 'leave India to God or anarchy'. Nehru, ever the Harrovian Anglophile, quoted Cromwell (in a conscious echo of the Harrovian Amery, who had used the same words just two years earlier in Parliament in calling for Neville Chamberlain's resignation as prime minister): 'You have sat too long here for any good you have been doing. Depart, I say, and let us have done with you. In the name of God, go!' On 7 August 1942 in Bombay, the All-India Congress Committee, at Gandhi's urging, adopted a resolution moved by Nehru, and seconded by Patel, calling upon Britain to—in a journalistic paraphrase that became more famous than the actual words of the resolution—'Quit India'. (Gandhi's own preferred phrase was 'Do or Die'.) Within thirty-six hours the Congress leaders were arrested.

For all of Gandhi's devotion to non-violence, his jailing, together with the rest of the Congress leadership, left the Quit India movement in the hands of the young and the hot-headed. An underground movement was born, which actively resorted to acts of sabotage. Ordinary people took improbable risks to hoist the national flag on government buildings. Young newspaper-boys added sotto voce subversion to their sales cries: '*Times of India*. Quit India! *Times of India*. Quit India!' In the weeks after the arrests, no day passed without reports of clashes between demonstrators and police. The British responded with ruthless repression, firing upon unarmed protestors, killing dozens every week, flogging offenders, and censoring (and closing down) nationalist newspapers. 'Quit India' became the drumbeat of a national awakening, but all it did was prolong the nation's continued subjugation.

Wartime hardened British attitudes to the prisoners as well. Gandhi 'should not be released on the account of a mere threat of fasting', Churchill told the Cabinet. 'We should be rid of a bad man and an enemy of the Empire if he died.' He was quite prepared to facilitate the process, suggesting that the Mahatma should be 'bound hand and foot at the gates of Delhi, and let the viceroy sit on the back of a giant elephant and trample [the Mahatma] into the dirt.'

What became Nehru's longest spell in prison, a total of 1,040 days, or over thirty-four months, from 9 August 1942 to 15 June 1945, saw the British moving to strengthen the position of Jinnah and the Muslim League, pressuring Jinnah's critics within the party to remain in the League and under his leadership. Muslim opponents of the Pakistan idea were dissuaded or sidelined.

Others who could have made a difference (like Sir Sikandar Hayat Khan in Punjab and Allah Bux in Sindh) died before they were able to influence the outcome. The League formed governments (often with the votes of British members, and with Congress legislators in jail) in provinces where it had been routed in the elections, and enjoyed patronage appointments where formal office was not possible. In this effort the British were complicit: as Lord Linlithgow, Britain's viceroy during the fraught years of World War II, admitted of Jinnah, 'He represents a minority, and a minority that can only effectively hold its own with our assistance.' As the League grew with British patronage, its membership swelled from 112,000 in 1941 to over 2 million members in 1944.

The futility of the Quit India movement, which accomplished little but the Congress's own exclusion from national affairs, compounded the original blunder of the Congress in resigning its ministries. It had left the field free for the Muslim League, which emerged from the war immeasurably enhanced in power and prestige. Both the resignations of the Congress ministries in 1939 and the Quit India movement in 1942 turned out to be futile gestures of demonstrative rather than far-sighted politics. They paved the way for the triumph of the Muslim League.

On 15 June 1945, Nehru and his Congress colleagues emerged from prison, blinking in the sunlight. The war was over, and they had been freed. But they would be taking their first steps in, and towards, freedom in a world that had changed beyond recognition.

### *Endgame: Election, Revolt, Division*

The British had not covered themselves with glory during the war. They had run a military dictatorship in a country that they had claimed to be preparing for democracy. They had presided over one of the worst famines in human history, the Bengal Famine of 1943, while diverting food (on Churchill's personal orders) from starving civilians to well-supplied Tommies. (More on this in the next chapter.) Even Lord Wavell, who had been rewarded for military failure (in both the deserts of North Africa and the jungles of Burma) by succeeding Linlithgow as viceroy, considered the British government's attitude to India 'negligent, hostile and contemptuous to a degree I had not anticipated'.

The Labour victory in the British general election of 1945 meant that the



egregious Churchill was soon to be replaced as prime minister by Attlee, but this did not precipitate any change in the anti-Congressism of the British authorities in India. Wavell convened a conference in Simla from late June 1945, which the Viceroy allowed Jinnah to wreck. In this atmosphere of frustration and despair, the British called elections in India at the end of 1945, for seats in the central and provincial assemblies.

The Congress was woefully unequipped to contest them. Its blunder in surrendering the reins of power in 1939 and then losing its leadership and cadres to prison from 1942 meant that it went into the campaign tired, dispirited and ill-organized. The League, on the other hand, had flourished during the war; its political machinery was well oiled with patronage and pelf, while the Congress's was rusty from disuse. The electoral fortunes of 1937 were now significantly reversed. The Congress still carried a majority of the provinces. But except for the NorthWest Frontier Province, where the Congress won nineteen Muslim seats to the League's seventeen, the League swept the reserved seats for Muslims across the board, even in provinces like Bombay and Madras which had seemed immune to the communal contagion. Whatever the explanation—and Nehru could have offered a few—there was no longer any escaping the reality that Jinnah and the Muslim League could now legitimately claim a popular mandate to speak for the majority of India's Muslims.

Nehru did not believe that this meant that the partition of the country, which he thought totally impractical, was inevitable. In speeches, interviews and articles throughout late 1945 and early 1946, he expressed the belief that, free of foreign rule, the Muslims of India would relinquish any thought of secession. The Muslims of India, he wrote, 'are only technically a minority. They are vast in numbers and powerful in other ways, and it is patent that they cannot be coerced against their will... This communal question is essentially one of protection of vested interests, and religion has always been a useful stalking horse for this purpose'. He even argued that Congress should grant the right of secession just to allay any Muslim fears, not in the expectation that the Muslim League-ruled provinces would actually exercise it. But whether, as many Indian analysts have suggested, Jinnah had really meant to establish a separate state or was merely advocating Pakistan to obtain leverage over the Congress, his followers had taken him at his word. A state of their own was what they were

determined to have, and by the spring of 1946 Nehru's idealism appeared naïve, even dangerously so.

Tragically, *divide et impera* had worked too well. A device to maintain the integrity of British India had made it impossible for that integrity to be maintained without the British.

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Britain's time in India was almost up. Even Indian soldiers and policemen openly expressed their support for the nationalist leaders, heedless of the reaction of their British officers. Mutinies broke out in the air force and the British Indian Navy. The latter was serious, affecting seventy-eight ships and twenty shore establishments, involving 20,000 naval personnel. Violence erupted at political events. In one incident in Bombay, 233 demonstrators were killed by British soldiers putting down an anti-British riot. The demand for freedom was all but drowned out by the clamour for partition.

In a gesture so counterproductive that it could almost have been an act of expiation, the Raj clumsily gave the warring factions a last chance of unity. It decided to prosecute the defectors of Bose's Indian National Army. Bose himself had died in a fiery plane crash at war's end in Formosa (Taiwan), so the Raj sought to find scapegoats amongst his lieutenants. In a desire to appear even-handed, the British chose to place three INA soldiers on trial in Delhi's historic Red Fort: a Hindu, a Muslim and a Sikh. The result was a national outcry that spanned the communal divide. Whatever the errors and misjudgements of the INA men (and Nehru believed freedom could never have come through an alliance with foreigners, let alone foreign fascists), they had not been disloyal to their motherland. Each of the three defendants became a symbol of his community's proud commitment to independence from alien rule. Both the Congress and the League rose to the trio's defence; for the first time in their long careers, Nehru and Jinnah accepted the same brief, Nehru donning a barrister's gown after twenty-five years.

But the moment passed: the defence of three patriots was no longer enough to guarantee a common definition of patriotism. The ferment across the country made the result of the trials almost irrelevant. The trials were eventually abandoned, because by the time they had begun it was apparent that the ultimate

treason to the British Raj was being contemplated in its own capital.

London, under the Labour Party, exhausted by war, was determined to rid itself of the burdens of its Indian empire. In February 1946, Prime Minister Attlee announced the dispatch of a Cabinet Mission to India 'to discuss with leaders of Indian opinion the framing of an Indian Constitution'. The endgame had begun.

In April 1946, Nehru was elected unopposed as president of the Congress, with an interim Indian government being formed in advance of talks with the Cabinet Mission in Simla in May. The Mission, a triumvirate of Sir Stafford Cripps, Lord Pethick-Lawrence and A. V. Alexander, was besieged. The vultures, sensing that the Raj was close to its end, began gathering for the feast. The negotiations and confabulations, intrigue and manoeuvring amongst and within the various interested parties—the British, the Congress, the Muslim League, the Hindu Mahasabha, the loyalists, the communists, the civil servants—became more intense and more convoluted with each passing day. Wavell's astonishingly candid diaries reveal his distaste for, and distrust of, practically every Indian politician he had to deal with, each (in his eyes) proving more dishonest than the next. Though he was, like most of the British administration, hostile to the Congress and sympathetic to the League his government had helped nurture, he was scathing in his contempt for the mendacity of the League's leaders, and of their 'hymn of hate against Hindus'. (No Congress leader expressed any hatred of Muslims to the viceroy.)

Even the idea of Pakistan seemed to take many forms in the minds of its own advocates, with several seeing it as a Muslim state within a united India, and others advocating assorted forms of decentralized confederation rather than outright secession. (The American journalist Phillips Talbot told me of Sir Abdullah Haroon of the League showing him, in 1940, eight separate plans for Pakistan then being debated by the League's high command.) Jinnah was steadfast in his demand for a separate state in the northwest and east of the country, but avoided giving specific answers as to how the creation of such a state could serve its declared purpose of protecting Muslims in the Hindu-majority provinces. Nehru, meanwhile, sought nothing less than an act of abdication from the British: India's political arrangements should, he declared, be left to Indians to determine in their own constituent assembly, free of British

mediation.

Part of the problem at the time may well have lain in a profound miscalculation on Nehru's part about the true intentions of the British. Cut off by imprisonment from the political realities of world affairs, Nehru came to Simla believing (as he asserted to Phillips Talbot) that perfidious Albion was still trying to hold on to her prized imperial possession by encouraging division amongst the Indian parties. Talbot felt that Nehru had simply not realized that Britain was exhausted, near bankrupt, unwilling and unable to despatch the 60,000 British troops the government in London estimated would be required to reassert its control in India. London wanted to cut and run, and if the British could not leave behind a united India, they were prepared to 'cut' the country quite literally before running. Nehru, still imagining an all-powerful adversary seeking to perpetuate its hegemony, and unaware of the extent to which the League had become a popular party amongst Indian Muslims, dealt with both on erroneous premises. 'How differently would Nehru and his colleagues have negotiated,' Talbot wondered, 'had they understood Britain's weakness rather than continuing to be obsessed with its presumed strength?' The question haunts our hindsight.

When the Simla Conference began on 9 May 1946, Jinnah who was cool but civil to Nehru refused to shake hands with either of the two Muslim Congressmen, Maulana Azad or Khan Abdul Ghaffar Khan; he wished to be seen as the sole spokesman of Muslim India. Nonetheless, when the Cabinet Mission proposed a three-tier plan for India's governance, with a weak centre (limited to defence, external affairs and communications), autonomous provinces (with the right of secession after five years) and groups of provinces (at least one of which would be predominantly Muslim), the League accepted the proposal, even though it meant giving up the idea of a sovereign Pakistan.

The viceroy, without waiting for the Congress's formal acceptance of the scheme, invited fourteen Indians to serve as an interim government. While most of the leading Muslim Leaguers and Congressmen were on the list, there was a startling omission: not a single Muslim Congressman had been invited to serve. The Congress replied that it accepted the plan in principle, but could not agree to a government whose Muslim members were all from the League. Jinnah made it clear he could not accept anything else, and the resultant impasse proved

intractable. The Cabinet Mission left for London with its plan endorsed but this dispute unresolved, leaving a caretaker viceroy's council in charge of the country. Ironically, its only Indian member (along with seven Englishmen) was a Muslim civil servant, Sir Akbar Hydari, who had made clear his fundamental opposition in principle to the idea of Pakistan.

Meanwhile, the problem of the Cabinet Mission's proposed government remained to be addressed. Both Congress and the League had accepted the plan in principle; the details were yet to be agreed upon. Nehru, newly restored to the presidency of the Congress, chaired a meeting of the AICC in Bombay at which he rashly interpreted Congress's acceptance of the plan as meaning that 'we are not bound by a single thing except that we have decided to go into the Constituent Assembly'. The implications of his statement were still being parsed when he repeated it at a press conference immediately afterwards, adding that 'we are absolutely free to act'. Nehru stated specifically that he did not think the grouping of provinces, so important to the League, would necessarily survive a free vote. An incensed Jinnah reacted by withdrawing the League's acceptance of the Cabinet Mission Plan.

Nehru was widely blamed for his thoughtlessness in provoking the end of the brief hope of Congress–League cooperation in a united Indian government, even on the League's terms. But even had Nehru held his tongue in July 1946, it is by no means clear that a common Congress–League understanding would have survived. Azad had been willing to relinquish the claims of Muslim Congressmen to office in the interests of unity, but the party as a whole was not prepared to concede the point to Jinnah. In stating that the grouping of provinces was not immutable, Nehru was echoing the letter of the Plan if not its spirit. (The League could have been accused of doing the same thing when it declared that the Plan gave it the basis to work for Pakistan). To see him as wrecker-in-chief of the country's last chance at avoiding partition is, therefore, to overstate the case. As his biographer M. J. Akbar put it, 'Pakistan was created by Jinnah's will and Britain's willingness'—not by Nehru's wilfulness.

On 8 August 1946, the Congress Working Committee, bolstered by the admission of fresh faces appointed by the new president (including two relatively youthful women, Kamaladevi Chattopadhyay and Rajkumari Amrit Kaur), declared that it accepted the Cabinet Mission Plan with its own

interpretations on issues of detail. But this was not enough to bring Jinnah back into the game. Nehru met with him (at Jinnah's home in Bombay) to seek agreement on an interim government, but Jinnah proved obdurate: he was determined to obtain Pakistan. The Muslim League leader declared 16 August 1946 as 'Direct Action Day' to drive home this demand. Thousands of Muslim Leaguers took to the streets in an orgy of violence, looting and mayhem, and 16,000 innocents were killed in the resulting clashes, particularly in Calcutta. The police and army stood idly by: it seemed the British had decided to leave their former imperial capital to the mob. Three days of communal rioting in the city left death and destruction in their wake before the army finally stepped in. But the carnage and hatred had also ripped apart something indefinable in the national psyche. Reconciliation now seemed impossible.

Yet a week later Wavell and Nehru were discussing the composition of an interim government for India, to consist of five 'Caste Hindus', five Muslims, a Scheduled Caste member, and three minority representatives. They agreed that Jinnah could nominate his representatives but could have no say in the Congress's nominations including, in principle, of a nationalist Muslim. Though the League was still deliberating about whether to join, an interim government of India was named, and its Congress members sworn in, on 2 September 1946. Nehru, in a broadcast on 7 September, saw this as the culmination of a long struggle: 'Too long have we been passive spectators of events, the playthings of others. The initiative comes to our people now and we shall make the history of our choice.'

But the British remained supportive of the League and of its government in Bengal, which had allowed the horrors of Direct Action Day to occur. 'What is the good of our forming the Interim Government of India,' Nehru wrote indignantly to Wavell about conditions in Bengal in the wake of the Calcutta killings, 'if all that we can do is to watch helplessly and do nothing else when thousands of people are being butchered...?' But he went too far in insisting upon visiting the overwhelmingly Muslim, though Congress-ruled, North West Frontier Province. The British connived in League-organized demonstrations against him at which stones were flung and Nehru was bruised. More importantly, the fiasco suggested that Nehru, as a Hindu, could never be acceptable to the province's Muslims as a national leader.

Meanwhile, British pressure on Congress to make more concessions to Jinnah in order to secure the League's entry into the interim government prompted Gandhi and Nehru to relinquish voluntarily their right to nominate a Muslim member. This had been a deal-breaker for Jinnah, and he now seemed ready, in discussions with Nehru, to find a compromise. But after their talks had made headway, Jinnah once again insisted that Congress recognize the League as the sole representative of Indian Muslims. Nehru refused to do this, saying it would be tantamount to a betrayal of the many nationalist Muslims in Congress, and a stain on his own as well as the country's honour. The viceroy thereupon went behind the Congress's back and negotiated directly with Jinnah, accepting his nominations of Muslims as well as of a Scheduled Caste member. On 15 October, the Muslim League formally announced that it would join the interim government.

But the League had done so only to wreck it from within. Even before its nominees were sworn in on the 26th, they had made speeches declaring their real intention to be to work for the creation of Pakistan. The League's members met by themselves separately prior to each Cabinet meeting and functioned in Cabinet as an opposition group rather than as part of a governing coalition. On every issue, from the most trivial to the most important, the League members sought to obstruct the government's functioning, opposing every Congress initiative or proposal. Meanwhile, the party continued to instigate violence across the country; as riots broke out in Bihar in early November (with Gandhi walking through the strife-torn province single-handedly restoring calm), Jinnah declared on 14 November that the killing would not stop unless Pakistan was created. The British convened talks in London in December to press the Congress to make further concessions to the League in order to persuade it to attend the Constituent Assembly. Nehru, still burned by the reaction to his Bombay press conference, was at his most conciliatory, but Jinnah saw in the British position confirmation that his party's fortunes were in the ascendant, and escalated his demands. To Nehru it seemed the British had learned nothing from the failure of the policy of appeasement in Europe in the 1930s.

The Constituent Assembly met as scheduled on 9 December, without League participation, but was careful not to take any decisions that might alienate Jinnah. Nonetheless, on 29 January 1947, the Muslim League Working

Committee passed a resolution asking the British government to declare that the Cabinet Mission Plan had failed, and to dissolve the assembly. The Congress members of the interim government in turn demanded that the League members, having rejected the Plan, resign. Amid the shambles of their policy, the British government announced that they would withdraw from India, come what may, no later than June 1948, and that to execute the transfer of power, Wavell would be replaced.

Into the midst of this stalemate came His Excellency Rear Admiral the Right Honourable Lord Louis Francis Albert Victor Nicholas, Viscount Mountbatten of Burma, KCG, PC, GMSI, GMIE, GCFO, KCB, DSO, the outgoing Supreme Allied Commander in Southeast Asia. A blue-blooded patrician of royal lineage (Queen Victoria was his great-grandmother and he was therefore the reigning monarch's cousin), Mountbatten was also vain, charming, superficial and impulsive. 'I've never met anyone more in need of front-wheel brakes,' his own Chief of Staff, General Ismay, admitted.

Sadly, such brakes were what India needed, as it plunged headlong into disaster.

### *Two Surrenders: The British Give Up and the Congress Gives In*

It was now increasingly apparent even to Nehru that Pakistan, in some form, would have to be created; the League was simply not going to work with the Congress in a united government of India. He nonetheless tried to prod leaders of the League into discussions on the new arrangements, which he still hoped would fall short of an absolute partition. By early March, as communal rioting continued across northern India, even this hope had faded. Both Sardar Vallabhbhai Patel and Nehru agreed that, despite Gandhi's refusal to contemplate such a prospect, the Congress had no alternative but to agree to partitioning Punjab and Bengal; the option of a loose Indian union including a quasi-sovereign Pakistan would neither be acceptable to the League nor result in a viable government for the rest of India. By the time Mountbatten arrived on 24 March 1947 the die had been cast. It was he, however, who rapidly ended the game altogether.

Mountbatten later claimed he governed by personality, and indeed both his positive and negative attributes would prove decisive. On the one hand he was



focused, energetic, charming and free of racial bias, unlike almost every one of his predecessors; on the other, he was astonishingly vain, alarmingly impatient, and easily swayed by personal likes and dislikes. His vicereine, Edwina, was a vital partner, one who took a genuine interest in Indian affairs. Theirs was a curious marriage, marked by her frequent infidelities, which he condoned, and it has been suggested that her affection for Nehru played a part in some of his (and Mountbatten's) decisions relating to Indian independence. There is no question that Nehru and Edwina indeed became close, but it does not seem likely that this had any political impact.

Meanwhile, the breakdown of governance in India was gathering pace. Communal violence and killings were a daily feature; so was Jinnah's complete unwillingness to cooperate with the Congress on any basis other than that it represented the Hindus and he the Muslims of India. The British gave him much encouragement to pursue this position: the governor of the NorthWest Frontier Province, the pro-League Sir Olaf Caroe, was unconscionably pressing the Congress government of this Muslim-majority state to make way for the League, since its continuation would have made Pakistan impossible.

As the impasse in the interim government continued, Mountbatten and his advisers drew up a 'Plan Balkan' that would have transferred power to the provinces rather than to a central government, leaving them free to join a larger union (or not). The British kept Nehru in the dark while Plan Balkan was reviewed (and revised) in London—all the more ironic for an empire that liked to claim it had unified India. When he was finally shown the text by Mountbatten at Simla on the night of 10 May, Nehru erupted in indignation, storming into his friend Krishna Menon's room at 2 a.m. to sputter his outrage. Had the plan been implemented, the idea of India that Nehru had so brilliantly evoked in his writings would have been sundered even more comprehensively than Jinnah was proposing. Balkanization would have unleashed civil war and disorder on an unimaginable scale, as provinces, princely states and motley political forces contended for power upon the departure of the Raj.

A long, passionate and occasionally incoherent note of protest from Nehru to Mountbatten killed the plan. But the only alternative was partition. In May, Nehru saw the unrest in the country as 'volcanic': the time had come for making hard and unpleasant choices, and he was prepared to make them. Reluctantly, he

agreed to Mountbatten's proposal for a referendum in the NorthWest Frontier Province and in the Muslim-majority district of Sylhet, gave in on a Congress counter-proposal for a similar approach in regard to Hindu-majority districts of Sindh, and most surprisingly, agreed to Dominion status for India within the British Commonwealth, rather than the full independence the Congress had long stood for.

As long as the British gave Jinnah a veto over every proposal he found uncongenial, and as long as they were about to give up the ghost, there was little else Nehru could do but give in to Partition. Nor is there evidence in the writings and reflections of the other leading Indian nationalists of the time that any of them had any better ideas. The only exception was Mahatma Gandhi: Gandhi went to Mountbatten and suggested that India could be kept united if Jinnah were offered the leadership of the whole country. Nehru and Patel both gave that idea short shrift, and Mountbatten did not seem to take it seriously.

There is no doubt that Mountbatten seemed to proceed with unseemly haste, picking a much earlier date than planned—15 August, a date he chose on a whim because it was the date he had accepted the Japanese surrender as Supreme Allied Commander in Southeast Asia—and that in so doing he swept the Indian leaders along. Nehru was convinced that Jinnah was capable of setting the country ablaze and destroying all that the nationalist movement had worked for: a division of India was preferable to its destruction. 'It is with no joy in my heart that I commend these proposals,' Nehru told his party, 'though I have no doubt in my mind that it is the right course.' The distinction between heart and head was poignant, and telling.

On 3 June, Nehru, Jinnah, and the Sikh leader Baldev Singh broadcast news of their acceptance of partition to the country. The occasion again brought out the best in Nehru: 'We are little men serving a great cause,' he said. 'Mighty forces are at work in the world today and in India... [It is my hope] that in this way we shall reach that united India sooner than otherwise and that she will have a stronger and more secure foundation... The India of geography, of history and tradition, the India of our minds and hearts, cannot change.' But of course it could change: geography was to be hacked, history misread, tradition denied, minds and hearts torn apart.

Nehru imagined that the rioting and violence that had racked the country over

the League's demand for Pakistan would die down once that demand had been granted, but he was wrong. The killing and mass displacement worsened as people sought frantically to be on the 'right' side of the lines the British were to draw across their homeland. Over a million people died in the savagery that bookended the freedom of India and Pakistan; some 17 million were displaced, and countless properties destroyed and looted. Lines meant lives. What Nehru had thought of as a temporary secession of certain parts of India hardened into the creation of two separate and hostile states that would fight four wars with each other and be embroiled in a nuclear-armed, terrorism-torn standoff decades later.

Gandhi was not the only one to be assailed by a sense of betrayal. The Congress government in the NorthWest Frontier Province, let down by the national party, chose to boycott the referendum there, which passed with the votes of just 50.49 per cent of the electorate (but nearly 99 per cent of those who voted). Mountbatten, who had seen himself serving for a while as a bridge between the two new Dominions by holding the governor-generalship of both, was brusquely told by Jinnah that the League leader himself would hold that office in Pakistan. The outgoing viceroy would therefore have to content himself with the titular overlordship of India alone.

Amidst the rioting and carnage that consumed large sections of northern India, Jawaharlal Nehru found the time to ensure that no pettiness marred the moment: he dropped the formal lowering of the Union Jack from the independence ceremony in order not to hurt British sensibilities. The Indian tricolour was raised just before sunset, and as it fluttered up the flagpole a late-monsoon rainbow emerged behind it, a glittering tribute from the heavens. Just before midnight, Nehru rose in the Constituent Assembly to deliver the most famous speech ever made by an Indian:

Long years ago we made a tryst with destiny, and now the time comes when we shall redeem our pledge, not wholly or in full measure, but very substantially. At the stroke of the midnight hour, when the world sleeps, India will awake to life and freedom. A moment comes, which comes but rarely in history, when we step out from the old to the new, when an age ends, and when the soul of a nation long suppressed finds utterance.

There were no harsh words for the British, whose Raj was ending at midnight. ‘This is no time...for ill-will or blaming others,’ he added. ‘We have to build the noble mansion of free India where all her children may dwell.’

### *Quitting India, Creating Pakistan*

In that last mad headlong rush to freedom and partition, the British emerge with little credit. Before the war they had no intention of devolving power so rapidly, or at all. The experience of the elected governments in the last years of the Raj confirmed that the British had never been serious about their proclaimed project of promoting the responsible governance of India by Indians. When the Congress ministries quit, the British thought little of appointing unelected Muslim Leaguers in their place and in many cases assuming direct control of functions that had supposedly been devolved to Indians. The British, who had been dismayed by the League’s inability to win a majority of Muslim seats anywhere, thereby undermining the strength of divide and rule, welcomed the opportunity to assume the power they had partly ceded, and to shore up the League as the principal alternative to the Indian National Congress in the process. They openly helped the Muslim League take advantage of this unexpected opportunity to exercise influence and patronage that their electoral support had not earned them, and to build up support while their principal opponents languished in jail.

This was all part of official policy: no one in any responsible position in London or New Delhi as late as 1940 had any serious intention whatsoever of relinquishing the Empire or surrendering India to a rabble of nationalists clad in homespun. But the devastation of World War II meant that only one half of the phrase could survive: bled, bombed and battered for six years, Britain could divide but it could no longer rule.

The British—terrorized by German bombing, demoralized by various defeats and large numbers of their soldiers taken prisoner, shaken by the desertion of Indian soldiers and the mutiny of Indian sailors, shivering in the record cold of the winter of 1945–46, crippled by power cuts and factory closures resulting from a post-War coal shortage—were exhausted and in no mood to focus on a distant empire when their own needs at home were so pressing. They were also more or less broke: American loans had kept the economy afloat and needed to

be repaid, and even India was owed a sizable debt. Overseas commitments were no longer sustainable or particularly popular. Exit was the only viable option: the question was what they would leave behind—one India, two or several fragments?

Britain's own tactics before and during the war—compounded, as we have seen, by the Congress's folly in relinquishing all its leverage and going to jail—ensured that by the time departure came, the prospects of a united India surviving a British exit had essentially faded. *Divide et impera* had worked too well: two Indias is what it would be.

The task of dividing the two nations was assigned to Sir Cyril Radcliffe, a lawyer who had never been to India before and knew nothing of its history, society or traditions. Radcliffe drew up his maps in forty days, dividing provinces, districts, villages, homes and hearts—and promptly scuttled home to Britain, never to return to India. 'The British Empire did not decline, it simply fell', as Alex von Tunzelmann put it. The British were heedless of the lives that would be lost in their headlong rush to the exits.

So much has already been written about the tragic disruption of Partition that it seems otiose to add new words to describe what has already been so devastatingly depicted by so many. It may suffice for now to quote the British Muslim scholar Yasmin Khan, in her well-regarded history *The Great Partition: The Making of India and Pakistan*. Khan writes that Partition 'stands testament to the follies of empire, which ruptures community evolution, distorts historical trajectories and forces violent state formation from societies that would otherwise have taken different and unknowable paths'.

It is difficult, therefore, to buy the self-serving imperial argument that Britain bequeathed to India its political unity and democracy.

Yes, it allied a variety of states under a system of common law and administration, but with a number of distortions (outlined in the previous chapters) occasioned by the fitful and hypocritical nature of British conquest and rule, and by the British determination to deny Indians the opportunity to exercise genuine political authority in representative institutions.

Yes, it brought in a supposedly free press, but ensured it operated under severe constraints, and planted the seeds of representative parliamentary institutions while withholding the substance of power from Indians.

Far from introducing democracy to a country mired in despotism and tyranny, as many Britons liked to pretend, it denied political freedom to a land that had long enjoyed it even under various monarchs, thanks to a cultural tradition of debate and dissent even on vital issues of spirituality and governance.

Yes, India has emerged as a thriving pluralist democracy, though both Pakistan and Bangladesh have encountered difficulties in doing so, and Pakistan officially and undemocratically discriminates against its non-Muslim citizens even under civilian rule. But India's flourishing democracy of seven decades is no tribute to British rule. It is a bit rich, as I pointed out in Oxford, for the British to suppress, exploit, imprison, torture and maim a people for 200 years and then celebrate the fact that they are a democracy at the end of it.

Finally, the most painful question of all: what political unity can we celebrate when the horrors of Partition were the direct result of the deliberate British policy of communal division that fomented religious antagonisms to facilitate continued imperial rule? If Britain's greatest accomplishment was the creation of a single political unit called India, fulfilling the aspirations of visionary emperors from Ashoka to Akbar, then its greatest failure must be the shambles of that original Brexit—cutting and running from the land they had claimed to rule for its betterment, leaving behind a million dead, thirteen million displaced, billions of rupees of property destroyed, and the flames of communal hatred blazing hotly across the ravaged land. No greater indictment of the failures of British rule in India can be found than the tragic manner of its ending.

## THE REMAINING CASE FOR EMPIRE

What, then, remains of the case for the British empire in India?

Alex von Tunzelmann's clever start to her book *Indian Summer* made my point most tellingly:

In the beginning, there were two nations. One was a vast, mighty and magnificent empire, brilliantly organized and culturally unified, which dominated a massive swath of the earth. The other was an undeveloped, semi-feudal realm, riven by religious factionalism and barely able to feed its illiterate, diseased and stinking masses. The first nation was India. The second was England.

The historian Andrew Roberts rather breathtakingly claimed, given this background, that British rule 'led to the modernisation, development, protection, agrarian advance, linguistic unification and ultimately the democratisation of the subcontinent.' We have dealt with the suggestion that it is to Britain that India owes its political unity and democracy; we have shown the severe limitations in the British application of rule of law in the country; we have laid bare the economic exploitation of India and the despoliation of its lands which give the lie to Roberts's claims of 'modernisation, development [and] agrarian advance'; and we have dispensed with the notion that there was something benign and enlightened about British despotism in India.

But the idea that such modernization could not have taken place without British imperial rule is particularly galling. Why would India, which throughout its history had created some of the greatest (and most modern for their time) civilizations the world has ever known, not have acquired all the trappings of developed or advanced nations today, had it been left to itself to do so? As I have pointed out earlier in the book, the story of India, at different phases of its several-thousand-year-old civilizational history, is replete with great educational institutions, magnificent cities ahead of any conurbations of their time anywhere in the world, pioneering inventions, world-class manufacturing and industry, a high overall standard of living, economic policies that imparted prosperity, and

abundant prosperity—in short, all the markers of successful ‘modernity’ today—and there is no earthly reason why this could not again have been the case, if it had had the resources to do so which were instead drained away by the British. An Englishman writing for European social democratic readers in 1907 put it clearly: ‘Wherever they are allowed a free outlet they [the Indians] display the highest faculties; and it is absurd to contend that great States which managed their own business capably for thousands of years, which outlived and recovered from invasions and disasters that might have crushed less vigorous countries, would be unable to control their own affairs successfully if a handful of unsympathetic foreigners were withdrawn, or driven out, from their midst.’

The clinching proof of this argument, after all, lies in the fact that despite having had to climb out of the deep socio-economic trough that colonialism had plunged the country into, and despite having made its own mistakes in the years after Independence, India has become the world’s third-largest economy in less than seven decades since the British left, and is currently its fastest-growing one; it has also piled up an impressive list of ‘modern’ distinctions including that of being the first country in the world to have successfully sent a spacecraft into Mars orbit at the first attempt (a feat even the US could not accomplish and one which China and Japan have failed trying to do). How much better would India have done if it hadn’t had the succubus that was the British empire fastened to it for twenty decades?

Apologists for Empire point to a number of other benefits they say the British left India with: the railways, above all; the English language; the education system and even organized sport, especially cricket, the one sport at which, in recent years, Indians have twice been world champions. Let us examine these in turn.



## *The Great Indian Railway Bizarre*

The construction of the Indian railways is often pointed to by apologists for Empire as one of the ways in which British colonialism benefited the subcontinent, ignoring the obvious fact that many countries also built railways without having to go to the trouble and expense of being colonized to do so. But the facts are even more damning.

The railways were first conceived of by the East India Company, like everything else in that firm's calculations, for its own benefit. Governor-General Lord Hardinge argued in 1843 that the railways would be beneficial 'to the commerce, government and military control of the country'. Ten years later, his successor Lord Dalhousie underscored 'the important role that India could play as a market for British manufacturers and as a supplier of agricultural raw materials'. Indeed, the vast interior of India could be opened up as a market only by the railways, labourers could be transported to and from where they were needed by the new enterprises, and its fields and mines could be tapped to send material to feed the 'satanic mills' of England.

In its very conception and construction, the Indian railway system was a big colonial scam. British shareholders made absurd amounts of money by investing in the railways, where the government guaranteed returns on capital of 5 per cent net per year, unavailable in any other safe investment. That was an extravagantly high rate of return those days, possible only because the government made up the shortfall from its revenues, payments that of course came from Indian, and not British, taxes. These excessive guarantees removed any incentive for the private companies constructing the railways to economize—the higher their capital expenditure, the higher would be their guaranteed return at a high and secure rate of interest. As a result each mile of Indian railway construction in the 1850s and 1860s cost an average of £18,000, as against the dollar equivalent of £2,000 at the same time in the United States. In the event, it was twenty years or more before the first lines earned more than 5 per cent of their capital outlay, but even after the government had taken over railway construction in the 1880s, thanks to the rapacity of private British firms contracted for the task, a mile of Indian railway cost more than double the same distance in the equally difficult and less populated terrain of Canada and

Australia.

It was a splendid racket for everyone, apart from the Indian taxpayer. In terms of a secure return, Indian railway shares offered twice as much as the British government's own stock. Guaranteed Indian railway shares absorbed up to a fifth of British portfolio investment in the twenty years to 1870—the first line opened in 1853—but only 1 per cent of it originated in India. Britons made the money, controlled the technology and supplied all the equipment, which meant once again that the profits were repatriated. It was a scheme described at the time as 'private enterprise at public risk'. All the losses were borne by the Indian people, all the gains pocketed by the British trader—even as he penetrated by rail deep into the Indian economy. The steel industry in England found a much-needed outlet for its overpriced products in India, since almost everything required by the railways came from England: steel rails, engines, rail wagons, machinery and plants. Far from supporting the proposition that the British did good to India, the railways are actually evidence for the idea that Britain took much more out of its most magnificent colony than it put in.

Nor was there any significant residual benefit to the Indians. The railways were intended principally to transport extracted resources, coal, iron ore, cotton and so on, to ports for the British to ship home to use in their factories. The movement of people was incidental, except when it served colonial interests; and the third-class compartments, with their wooden benches and total absence of amenities, into which Indians were herded, attracted horrified comment even at the time. (And also questions in the toothless legislatures: there were fourteen questions on this issue in the legislative assembly every year between 1921 and 1941, and eighteen more annually in the Council of State. The concern kept mounting as conditions worsened: the yearly averages for 1937–1941 were sixteen and twenty-five respectively. Mahatma Gandhi's first crusade on his return to India was on behalf of the third-class traveller.) Yet the third-class passengers became a source of profit for the railways, since British merchants in India ensured that freight tariffs were kept low (the lowest in the world, in fact) while third-class passengers' fares were made the railway companies' principal source of profit. No effort was made, in building the railway lines, to ensure that supply matched the demand for popular transport.

And, of course, racism reigned; though whites-only compartments were soon

done away with on grounds of economic viability, Indians found the available affordable space grossly inadequate for their numbers. (A marvellous post-Independence cartoon captured the situation perfectly: it showed an overcrowded train, with people hanging off it, clinging to the windows, squatting perilously on the roof, and spilling out of their third-class compartments, while two Britons in *sola topis* sit in an empty first-class compartment saying to each other, 'My dear chap, there's *nobody* on this train!')

As Durant pointed out, the railways were built, after all, for 'the purposes of the British army and British trade... Their greatest revenue comes, not, as in America, from the transport of goods (for the British trader controls the rates), but from third-class passengers—the Hindus; but these passengers are herded into almost barren coaches like animals bound for the slaughter, twenty or more to one compartment...'

Nor were Indians employed in the railways. The discriminatory hiring practices of the Indian Railways meant that key industrial skills were not effectively transferred to Indian personnel, which might have proved a benefit. The prevailing view was that the railways would have to be staffed almost exclusively by Europeans to 'protect investments'. This was especially true of signalmen, and those who operated and repaired the steam trains, but the policy was extended to the absurd level that even in the early twentieth century all the key employees, from directors of the Railway Board to ticket-collectors, were white men—whose salaries and benefits were also paid at European, not Indian, levels and largely repatriated back to England. Moreover, when the policy was relaxed and expensive European labour reduced, there was a continuing search for the most 'British-like' workers. Thus came the long-lasting identification of the Anglo-Indian community with railway employment, since at first it was these Eurasians from military orphanages, the product of liaisons between British 'other ranks' and local Indian women, who were trained to do the jobs that only Europeans had been assumed to be capable of doing previously. (In keeping with British notions of eugenics, and since the Anglo-Indians were not a very large community, 'martial' Sikhs and pale-skinned Parsis were then employed as well, although they were only put in charge of driving engines within station yards and employed in stations with infrequent traffic.)

British racial theories were in full flow on railway matters: it was believed

that Indians did not have the ‘judgement and presence of mind’ to deal with emergencies and that they ‘seldom have character enough to enforce strict obedience’ to railway rules. When Indianization was attempted for economic reasons in the 1870s, railway officials argued that it would take three Indians to do the job of a single European. So great was the racist resistance to Indian employees that the project of training drivers was discontinued after a three-year trial, and the drivers who had been trained were once again restricted to yard work.

Here, too, the double standards of British colonial justice described previously were much in evidence, as with the 1861 collision of a mail train and a goods train between Connagar and Bally in Bengal. The European driver and guard of the goods train were both drunk and went to sleep, leaving the fireman in charge of the train while they slept. The poor man kept doing his job—stoking coal—and his train duly crashed into a mail train. When the accident was investigated, blame was placed on the absence of the Bengali stationmaster, rather than the behaviour of the comatose Europeans.

Double standards prevailed in other ways: whereas in Britain it was common practice to ensure the merit-based promotion of firemen to drivers, or of stationmasters of small rural stations to large stations, this did not happen in India because these junior positions were occupied by Indians, whose promotion would be to posts otherwise occupied by Europeans. By 1900, in the regulations for pay, promotion, and suitability for jobs, or what we would today describe as the human resource management rules, employees were subdivided into ‘European, Eurasian, West Indian of Negro descent pure or mixed, Non-Indian Asiatic, or Indian’. On employment the local medical officer would certify the race and caste identity of a candidate and write it on his history sheet—thus determining his future pay, leave, allowances, and possible promotions as well as place in the railway hierarchy for the rest of his career.

The Royal Indian Engineering College at Cooper’s Hill near London, established in 1872 to produce engineers for India, allowed as candidates only those capable of passing examinations in mathematics, sciences, Latin, Greek, German, English literature and history—stipulations designed to exclude the majority of Indian candidates. These rules had the desired effect: In 1886, out of 1,015 engineers in the Public Works Department (PWD), only 86 were Indians.

Racism combined with British economic interests to undermine efficiency. The railway workshops in Jamalpur in Bengal and Ajmer in Rajputana were established in 1862 to maintain the trains, but their Indian mechanics became so adept that in 1878 they started designing and building their own locomotives. Their success increasingly alarmed the British, since the Indian locomotives were just as good, and a great deal cheaper, than the British-made ones. In 1912, therefore, the British passed an Act of Parliament, explicitly making it impossible for Indian workshops to design and manufacture locomotives. The Act prohibited Indian factories from doing the work they had successfully done for three decades; instead, they were only allowed to maintain locomotives imported from Britain and the industrialized world. Between 1854 and 1947, India imported around 14,400 locomotives from England (some 10 per cent of all British locomotive production), and another 3,000 from Canada, the US and Germany, but made none in India after 1912. After Independence, thirty-five years later, the old technical knowledge was so completely lost to India that the Indian Railways had to go cap-in-hand to the British to guide them on setting up a locomotive factory in India again.

There was, however, a fitting postscript to this saga. The principal technology consultants for British Railways, the London-based Rendel Palmer & Tritton, today rely almost entirely on Indian technical expertise, provided to them by RITES, a subsidiary of the Indian Railways.

This is far from being a retrospective critique from the comfortable perspective of a twenty-first-century commentator. On the contrary, nineteenth-century Indians were quite conscious at the time of the abominable role of the railways in the crass exploitation of their country. The Bengali newspaper *Samachar* wrote on 30 April 1884 that ‘iron roads mean iron chains’ for India—foreign goods could flow more easily, it argued, killing native Indian industry and increasing Indian poverty. Nationalist voices like those of G. V. Joshi, G. S. Iyer, Gopal Krishna Gokhale and Dadabhai Naoroji were raised publicly in the 1890s, pointing out how limited were the benefits of the railways to India, how the profits all went to foreigners abroad, and how great was the burden on the Indian exchequer. The money that was being sent to England every year as interest, they pointed out unfailingly, could have been used for productive investments in Indian industry, in infrastructure work like irrigation (especially

irrigation, which would help the Indian farmer, and which received only one-ninth of the government funding the railways did), or simply just spent in India to stimulate the local economy. Gokhale declared that 'the Indian people feel that [railway] construction is undertaken principally in the interests of the English commercial and moneyed classes, and that it assists in the further exploitation of our resources'. Indians also pointed out at the time that the argument that the railways would be an instrument against famine, and improve the general economic condition of the people, was fraudulent: in fact, famines persisted despite the railways, which only facilitated the export of grain and other agricultural products, effectively removing the very food surpluses that might have served as a buffer against famine.

There were other critiques. Gandhi argued in *Swaraj* that the railways spread bubonic plague. The ecological impact of railway construction aroused concern even at the time. In building the Sara-Sirajganj line in the Bengal delta, massive earthworks were put in place to block waterways, in order to reduce the outlay on bridges and the effect of damp. In doing so, very large arable areas to the northwest were waterlogged, ruining their agricultural potential. During the 1918 floods, railway embankments blocked natural water channels resulting in catastrophic flooding.

Market distortions also occurred with railway development. The railways were responsible, for instance, for sharply raising the price of rice. Before the railways came, slow water-based transport spread surpluses around the districts, keeping prices in any given areas low. But railways allowed surpluses to be cleanly extracted, essentially making peasants in the rice growing areas (and participating in an informal economy) compete directly with urban Indians and exporters for rice. The same was true of the fish markets.

And there are other examples to show how the interests of Indians were never a factor in railway operations: during World War I, several Indian rail lines were dismantled and shipped out of the country to aid the Allied war effort in Mesopotamia!

On the whole, therefore, the verdict of the eminent historian Bipan Chandra stands. British motives in building railways in India, he wrote, were 'sordid and selfish...the promotion of the interests of British merchants, manufacturers and investors...at the risk and expense of Indian revenues'; their 'essential purpose'

being to 'assist British enterprise in the exploitation of the natural resources of India.' *Quod erat demonstrandum.*

## *Education and the English Language*

‘Britain provided India with the necessary tools for independence,’ wrote a British blogger on an Indian youth website in response to my Oxford speech. ‘The idea of a modern democracy, of a self-governed country with a constitution and the guarantee of civil rights, was brought to India by Indians educated abroad, with the most famous example being barrister Mohandas Karamchand Gandhi, whose contribution to independence is, well, not insignificant. Not to forget the English language, without which pan-Indian protest and, later, communication and culture, is simply unimaginable.’

This case is often made by well-meaning individuals, and perhaps it should not be necessary to point out that Mahatma Gandhi’s ideas of democracy and civil rights were developed in resistance to British rule, not in support of it. Still, the gift of the English language cannot be denied—I am, after all, using it as I write—and nor can the education system, of which again I am a beneficiary. So let us look at both closely.

The British left India with a literacy rate of 16 per cent, and a female literacy rate of 8 per cent—only one of every twelve Indian women could read and write in 1947. This is not exactly a stellar record, but educating the masses was not a British priority. As Will Durant points out, ‘When the British came, there was, throughout India, a system of communal schools, managed by the village communities. The agents of the East India Company destroyed these village communities, and took no steps to replace the schools; even today [1930]... they stand at only 66 per cent of their number a hundred years ago. There are now in India 730,000 villages, and only 162,015 primary schools. Only 7 per cent of the boys and 1 per cent of the girls receive schooling, *i.e.* 4 per cent of the whole. Such schools as the Government has established are not free, but exact a tuition fee which...looms large to a family always hovering on the edge of starvation.’

Britain’s education policy, in other words, had very little to commend itself. It supplanted and undermined an extensive Indian tradition: traditional methods of *guru-shishya parampara* (in which students lived with their teachers and imbibed an entire way of thinking) had thrived in India, as did the many monasteries which went on to become important centres of education, receiving



students from distant lands, notably as far from our shores as China and Turkey. The Pala period [between the eighth and the twelfth century CE], in particular, saw several monasteries emerge in what is now modern Bengal and Bihar, five of which—Vikramashila, Nalanda, Somapura Mahavihara, Odantapuri, and Jaggadala—were premier educational institutions which created a coordinated network amongst themselves under Indian rulers.

Nalanda University, which enjoyed international renown when Oxford and Cambridge were not even gleams in their founders' eyes, employed 2,000 teachers and housed 10,000 students in a remarkable campus that featured a library nine storeys tall. It is said that monks would hand-copy documents and books which would then become part of private collections of individual scholars. The university opened its doors to students from countries ranging from Korea, Japan, China, Tibet, and Indonesia in the east to Persia and Turkey in the west, studying subjects which included the fine arts, medicine, mathematics, astronomy, politics and the art of war. Amongst them were several famous Chinese scholars who studied and taught at Nalanda University in the seventh century. Hsuan Tsang (Xuanzang from the Tang dynasty) studied in the university and then taught there for five years, while leaving detailed accounts of his time in Nalanda.

In the period of Muslim rule, in addition to madrasas, schools of religious instruction essentially open to Muslims, there were also *maktabs* (schools), which imparted Persian-Islamic education to Indian students, usually in Urdu (though Arabic and/or Persian were also taught). Before the British took over, the court language of the Mughals was Persian and the Muslim section of the population used Urdu—a mixture of Persian, Arabic and Hindi. Many Hindus in northern India also studied in Urdu or Persian. (In the south, various regional languages prevailed.) A *maktab* was an elementary (and secondary for some) educational institution before the 1850s that was used for secular education: the subjects taught included public administration, trade and intellectual and cultural pursuits, such as poetry. *Maktabs* were open to members of the elite class and included both Hindus and Muslims (in some places, many more of the former than the latter). Many *maktabs* closed in the mid-nineteenth century as their elite students gravitated to colonial schools in the hope of greater opportunities for advancement after their schooling.

As late as the late eighteenth/early nineteenth century, Raja Rammohan Roy, who would be hailed by the British as a progressive and modern-minded reformer, started his formal education in a village school or *pathshala*, where he learned Bengali, some Sanskrit and Persian; later, at age nine, he studied Persian and Arabic in a madrasa in Patna, and two years later went to Benares (Kashi) to learn Sanskrit and Hindu especially the scriptures, *Vedas* and *Upanishads*. Only then did he learn English and adapt to the British system of education in India, at which he excelled. But this kind of extensive grounding in traditional Indian learning, followed by English education, was already becoming quite rare.

In addition to monasteries and formal establishments of learning, informal institutions and methods of education also flourished in India. Oral education has always enjoyed an honoured place in Indian culture. Gandhi memorably advocated oral education in place of the prevailing emphasis on textbooks: ‘Of textbooks...’ he said, ‘I never felt the want. The true textbook for the pupil is his teacher.’ And so, in the little ashram that he created in South Africa, named Tolstoy Farm, he adopted oral forms of communicating his ideas, disregarding the need for formal written work. Gandhi found inspiration in the ways that knowledge of the *Vedas* and other foundational Hindu texts like the *Ramayana* and *Mahabharata* were passed orally from one generation to another. The oral tradition, sustained through the generations, had allowed this ancient knowledge to live.

But while such traditions give Indian education its moorings in our culture, there is no escaping the stark fact that modern India lost much of it under British rule, achieved independence with only 16 per cent literacy, and is still struggling to educate the broad mass of its population to seize the opportunities afforded by the globalized world of the twenty-first century. At least some of the blame for this surely lies in the system of education implemented by the British. The eminent Major General Sir Thomas Munro, hero of the Mysore and Maratha wars, no less, pointed out that ‘in pursuing a system, the tendency of which is to lower the character of the whole people, we profess to be extremely anxious to improve that character by education’. The use of the word ‘profess’ pointed to the eminent soldier’s own doubts about the sincerity of the Company’s intentions.

Of course the British did give India the English language, the benefits of

which persist to this day. Or did they? The English language was not a deliberate gift to India, but again an instrument of colonialism, imparted to Indians only to facilitate the tasks of the English. In his notorious 1835 *Minute on Education*, Lord Macaulay articulated the classic reason for teaching English, but only to a small minority of Indians: ‘We must do our best to form a class who may be interpreters between us and the millions whom we govern; a class of persons, Indians in blood and colour, but English in taste, in opinions, in morals and in intellect.’ The language was taught to a few to serve as intermediaries between the rulers and the ruled. That Indians seized the English language and turned it into an instrument for our own liberation—using it to express nationalist sentiments against the British, as R. C. Dutt, Dinshaw Wacha and Dadabhai Naoroji did in the late nineteenth century and Jawaharlal Nehru in the twentieth—was to their credit, not by British design.

The East India Company’s interest in Indian education began after the publication of a report by the company evangelist, Charles Grant, in 1792, which ‘believed that the introduction of Western education and Christianity would transform a morally decadent society’. After the setting up of missionary schools was legitimized in the revised Charter Act of 1813, the Company’s Court of Directors, in a dispatch to the Bengal government offering guidance on the implementation of the act, also noted that English would ‘improve the communication between Europeans and natives’ and ‘produce those reciprocal feelings of regard and respect which are essential to the permanent interests of the British empire in India’. In other words, this was not only about Christian missionary zeal; it was also to be seen from the point of view of the Company’s interests. The preferences of the natives were to be taken into account only ‘whenever it can be done with safety to our dominions’.

While the evangelicals saw English education as a means of supplanting the pernicious influences of both ‘Hindoo and Mohemedan learning’, the philosopher James Mill and his followers urged the promotion of Western science and learning in India from a utilitarian point of view. However, Mill was not of the opinion that English was the language to do it in; rather, he preferred that texts be translated to the vernacular. In this he could also find support in the Charter of 1813, which also provided for the ‘revival and improvement of literature, and the encouragement of the learned natives of India’.

These seemingly contradictory objectives could not be reconciled, however, and it was rapidly apparent to those entrusted with Indian affairs that it had to be one or the other. A debate ensued between the two schools of thought, but there seemed to be little doubt where the Company's bias lay. Teaching Sanskrit or Arabic to Indians was not going to be of much practical use to the business of the Company, but Indians who could read and write English, however badly they spoke it, could indeed be of value to the British.

In this debate between 'Orientalists' and 'Anglicists', the Anglicists prevailed—thanks, it is commonly believed, to the championing of their cause by Lord Macaulay, who had been appointed chair of the Committee on Public Instruction. Some argue that Macaulay's contribution to the system of education in India is overstated, and that the forces he represented would probably have been successful anyway. Governor-General William Bentinck was an open supporter of the Anglicist cause and had begun to implement a policy of English education through Company-ruled India, and Macaulay's task, they suggest, was merely to justify the prevalent policy rather than concoct a new one. But there is no doubt that his articulation of the Anglicist cause remains the clearest and most far-reaching statement of colonial purpose in the field of education, the most notorious in India for its flagrantly contemptuous dismissal of Oriental learning, and the most liable to quotation and misquotation by critics of the entire enterprise. (To this day English-speaking Indians are denounced as 'Macaulayputras', or 'sons of Macaulay', by their non-Anglophile critics—usually, of course, in English.)

In his *Minute on Education*<sup>12</sup> Macaulay took an uncompromisingly, and many would say arrogantly, ethnocentric stand on the issue. His view, which prevailed with the reformist governor-general, was that 'the intellectual improvement of those classes of the people who have the means of pursuing higher studies can at present be affected only by means of some language not vernacular amongst them'. He did not allow his ignorance of the East to undermine his self-confidence. 'A single shelf of a good European library was worth the whole native literature of India and Arabia', he notoriously declared, while admitting he had not read a single work from the literatures he was dismissing. 'We have to educate a people who cannot at present be educated by means of their mother-tongue. We must teach them some foreign language. The

claims of our own language it is hardly necessary to recapitulate. It stands pre-eminent even among the languages of the West. In India, English is the language spoken by the ruling class. It is spoken by the higher class of natives at the seats of Government...of all foreign tongues, the English tongue is that which would be the most useful to our native subjects... What the Greek and Latin were to the contemporaries of More and Ascham, our tongue is to the people of India... The languages of western Europe civilised Russia. I cannot doubt that they will do for the Hindoo what they have done for the Tartar...'

[12 Dubbed by an Indian wag, with a penchant for alliteration, as 'Macaulay's Moronic Minute'.]

What about the practical legal aspects of governing a foreign population, many following their own customs and laws?

'The fact that the Hindoo law is to be learned chiefly from Sanscrit books, and the Mahometan law from Arabic books, has been much insisted on, but seems not to bear at all on the question. We are commanded by Parliament to ascertain and digest the laws of India. The assistance of a Law Commission has been given to us for that purpose. As soon as the [new, British-drafted legal] Code is promulgated, the Shasters and the Hedaya will be useless to a moonsiff or a Sudder Ameen. I hope and trust that, before the boys who are now entering at the Mudrassa and the Sanscrit College have completed their studies, this great work will be finished. It would be manifestly absurd to educate the rising generation with a view to a state of things which we mean to alter before they reach manhood.'

(There is irony in this justification of the dismantling of traditional education: the penal code Macaulay drafted in the 1830s would only be enacted by the British a generation later, in 1861.)

To their credit, the Anglicists did not altogether dismiss the vernacular languages. They sought that European scientific and literary knowledge should percolate down to the masses through an intermediary élite class of English-speaking Indians. Macaulay had pointed out that 'it is impossible for us, with our limited means, to attempt to educate the body of the people'. To this élite, interpretative class, therefore, 'we may leave it to refine the vernacular dialects of the country, to enrich those dialects with terms of science borrowed from the Western nomenclature, and to render them by degrees fit vehicles for conveying knowledge to the great mass of the population.' Another Anglicist 'most fully

admitted that the great body of the people must be enlightened through the medium of their own languages, and that to enrich and improve these, so as to render them the efficient depositories of all thoughts and knowledge, is an object of the first importance'. Mass English education was never British policy, therefore, nor was it necessary to dispense 'European' scientific knowledge to Indians; the educated Indians would do so in their own languages.

This did happen, to some extent. The Delhi College was founded in 1825 partly with such an object in view: a Vernacular Translation Society was formed there in the 1840s, which attempted to translate English textbooks on history, law, science and medicine into Urdu, with the help of Western-educated Indians and other college officials. These were some of the earliest textbooks on 'modern' subjects that were written to propagate an updated Western curriculum, and served as vernacular education textbooks in the northwestern provinces and Punjab in the 1840s and 1850s. It is difficult to argue, however, that such education acquired as much reach or influence as English education in India, which to this day is considered the passport to success and influence in Indian society. Most Indians educated in English used that language for their own career self-advancement, not to serve as academic translators or instructors for the masses; and vernacular teaching remained an orphaned profession, reserved for those unfortunates whose own English was not good enough for professions that required the language of the colonials. The Anglicists' purpose was not served, but one wonders whether, in these circumstances, it ever could have been.

Under the British, the universities remained largely examination-conducting bodies, while actual higher education was carried out in affiliated colleges, which offered a two-year BA course (following a year of intermediate studies after high school). The colleges, like the British schools in India, heavily emphasized rote learning, the regurgitation of which was what the examinations tested. Failing the exams was so common that many Indians proudly sported 'BA (F)' after the names as a credential, to indicate that they had got that far (the 'F' stood for 'failed'). Dropout rates were always very high, and successfully completing a bachelor's degree was widely hailed as a rare and considerable achievement.

Still, the British higher education system did little to promote analytic

capacity or creative thinking and certainly no independence of mind. It produced a group of graduates with a better-than-basic knowledge of English, inadequate in ninety per cent of the cases to hold one's own with an Englishman, but adequate to get a clerical position in the lower rungs of government service or a teaching position in a government school. (The other ten per cent shone despite the limitations of the system and either excelled in various private capacities or went abroad to England for higher education.) Worse, though, it left the individual graduate—every one of them—Westernized enough to be alienated from his own Indian cultural roots. Indians educated under this system, observed a senior civil servant in 1913, 'become a sort of hybrid. This is due to their English masters, who are obsessed with the idea that the only way to "educate" anyone is to turn him into a plaster Englishman.'

The problem persisted throughout British rule. An Indian nationalist group declared, in a book published in London in 1915:

All Indian aspirations and development of strong character have been suppressed. The Indian mind has been made barren of any originality, and deliberately kept in ignorance... The people are kept under an illusion in order to make them more amenable to British control. The people's character is deliberately debased, their mind is denationalized and perpetually kept in ignorance and fed with stories of England's greatness and 'mission' in the world...

As Pankaj Mishra has observed:

European subordination of Asia was not merely economic and political and military. It was also intellectual and moral and spiritual: a completely different kind of conquest than had been witnessed before, which left its victims resentful but also envious of their conquerors and, ultimately, eager to be initiated into the mysteries of their seemingly near-magical power.

An intriguing example of the successful colonization of the Indian mind is that of the notorious Anglophile Nirad C. Chaudhuri, the Bengali intellectual and author of the bestselling *Autobiography of an Unknown Indian* (1951), with its cringe-worthy dedication to the British Empire in India:

To the memory of the British Empire in India,  
Which conferred subjecthood on us,  
but withheld citizenship.  
To which yet every one of us threw out the challenge:  
'Civis Britannicus sum'  
Because all that was good and living within us  
was made, shaped and quickened  
by the same British rule.

This unedifying spectacle of a brown man with his nose up the colonial fundament made Chaudhuri a poster child for scholarly studies of how Empire creates 'native informants', alienated from and even abhorring their own cultures and societies. Chaudhuri's admiration for the British empire extended to his appreciation of it for restraining Indians from defecating in public—an activity which assuredly the British did not, in fact, succeed in controlling, let alone stopping, except in the public areas of major towns. This suggests a curious correlation between dislike for one's own body and a yearning for foreign rule: 'these two processes of self-othering', the scholar Ian Almond observes, 'work in tandem to replicate a crucial distance between colonized and colonizer, Babu and native, mind and body'. One of the consequences of a colonial education was Chaudhuri's xenolatry, rooted in the conviction that he was 'a displaced European/Aryan suffering the present-day and (millennia-old) consequences of an ancestor's unwise decision to wander in the wrong direction and settle in an unsuitable climate'. Chaudhuri, at the age of seventy-three, upped sticks and moved to Oxford, there to live out his centenarian life. In his mind, of course, he had always lived there.

Chaudhuri wore his erudition anything but lightly, quoting Greek and Latin and dropping classical allusions in a style that went out with the *sola topi*. (No doubt woggishness loses something in translation.) It was typical that his take-no-prisoners assault on all the citadels of Indian culture and civilization was titled *The Continent of Circe*: he had to turn to Western mythology even for his principal metaphor. Though Chaudhuri dismissed most British histories of India as little more than 'imperialistic bragging', he remained seduced by the Raj, seeing even in Clive's rapacity and theft the 'counterbalancing grandeur' of the



grand imperialist project. The scholar David Lelyveld wrote in an indulgent review that ‘Nirad Chaudhuri is a fiction created by the Indian writer of the same name—a bizarre, outrageous and magical transformation of that stock character of imperialist literature, the Bengali babu’. But while the British in India laughed at the typical babu for his half-successful attempts to emulate his colonial masters, Nirad babu sought to demonstrate to post-imperial Britain that he was impossible to laugh at. That there might be something faintly comical about the sight of this wizened figure, in his immaculate Bengali dhoti, strutting about Oxford lamenting the decline of British civilization, does not appear to have occurred to him.

But there was still one fatal fly in the Anglophile’s ointment. Even Nirad Chaudhuri had to admit that British racism, snobbery and exclusiveness (‘all the squalid history of Indo-British personal relations’) had a great deal to do with the downfall of the Empire. He wrote bitterly of ‘intolerable humiliation’ and ‘national and personal degradation’ from British behaviour towards Indians. In repeated personal instances of racism, Ian Almond points out, ‘the comprador intellectual discovers the precise limits of his contract’—the supposed benevolence of the Empire which he celebrates in his writings encountering the more prosaic reality of the British baton and the white man’s sneer.

## *Textual Harassment*

In 1859–60, education in Bengal received 1,032,021 rupees from the British government, which was about the same amount spent on rebuilding army barracks that year. The funding of education continued to be a low priority for the British throughout their rule. Durant noted in 1930 that the British government in India preferred to devote the limited resources it allocated to education to ‘universities where the language used was English, the history, literature, customs and morals taught were English, and young [Indians]... found that they had merely let themselves in for a ruthless process that aimed to denationalize and de-Indianize them, and turn them into imitative Englishmen’. This was done with minimal resources: Durant observed that the total expenditure for education in India (in 1930) was less than half that in New York state alone. Between 1882 and 1897, a fifteen-year period marked by a significant expansion of public education worldwide, the appropriation for the army in India increased by twenty-one-and-a-half times the increase for education. ‘The responsibility of the British for India’s illiteracy,’ Durant concluded, ‘seems to be beyond question.’

Still, there was one unintended benefit of the British approach to Indian education. Since educating Indians was not a major British priority, it did not attract eminent Britons, and from early in the twentieth century, academia became the one available avenue for Indian advancement. With very few exceptions, the vice-chancellors of the main public universities after the 1890s were Indians, though inevitably most were staunch defenders of British imperial rule.

While English instruction acquired a position of dominance in British India, albeit for a small if well-placed elite, a British perspective also infused the study of other subjects taught to Indians through English—notably history. The British saw precolonial Mughal history as consisting of a linear narration of events devoid of context or analysis; as for pre-Mughal texts, John Stuart Mill dismissed them as ‘mythological histories...where fable stands in the face of facts’. To replace these versions, the British reconstructed ‘factual’ accounts of Indian historiography, adding more contextual analysis in a structured ‘European’ style—but with the teleological purpose of serving to legitimize

British rule in India. As we have seen, English histories and theoretical constructs of India not only promoted *divide et impera* by inventing the religious ‘periodization’ of the Indian past, but portrayed a nation waiting for the civilizing advent of British rule. By arguing that history texts should ‘rely upon facts and serve a secular curriculum’, they also moved away from the teaching of religious and mythological texts, including India’s timeless epics, the *Mahabharata* and *Ramayana*, which at the very least could have occupied the place in Indian schoolrooms that the *Iliad* and *Odyssey* did in British ones. Independent India carried on this tradition of secular neglect of the classics, for which it is now reproached by a new, Hindu-chauvinist government that accuses the British and their Indian Macaulayputras of promoting the intellectual and cultural deracination of Indian children.

If the teaching of history served an evident purpose, literature served the same ends in a more tangential way. Professor Gauri Vishwanathan has done pioneering work on the role of the study of English literature in colonial India as a means of socializing and co-opting Indian elites during the early nineteenth century. Indeed, she argues that the very idea of English literature as a subject of study was first devised by the British in India to advance their colonial interests. It was not only that the English felt their literature would be a way of striking awe and respect for British civilization into the minds and hearts of the colonized Indians; it was also that the British colonists considered many of the great works of Indian literature to be ‘marked with the greatest immorality and impurity’—and that included Kalidas’s *Shakuntala*, described by Horace Wilson, the major nineteenth-century Sanskrit scholar, as the jewel of Indian literature, but disapproved of as a suitable text for study in Indian schools and colleges in British India.

In this, the British educationists were only echoing the biases of Macaulay and his ilk, who made no bones about their convictions regarding the superiority of English literature. Macaulay had, after all, argued in his *Minute* that ‘the literature now extant in [English] is of greater value than all the literature which three hundred years ago was extant in all the languages of the world together... The literature of England is now more valuable than that of classical antiquity.’ Charles Trevelyan in his 1838 book *On the Education of the People of India* admitted that the arguments made for propagating English literature through the

English language were not based on any scientific notion but on the simple Macaulayan prejudice that European knowledge was axiomatically 'superior' to oriental knowledge. Nonetheless, it worked, since Indians socialized through the study of English literature were bound to be more admiringly Anglophone and therefore more willing to be complicit in British dominance.

The study of history was not only Anglo-centric, it was deliberately designed to impress upon the student the superiority of all things British, and the privilege of being the subject of a vast Empire, whose red stain spread across a map of the world on which the sun never set. (The sun never set on the British empire, an Indian nationalist later sardonically commented, because even God couldn't trust the Englishman in the dark.)

The study of English literature served a similar purpose. Amongst the required texts was Arthur Stanley's collection of English patriotic poetry, with an introduction by the Lord Bishop of Calcutta extolling the virtue of verse ('for an Empire lives not by bread alone', he intones sagely), and commencing with Tennyson's famous lines 'The song that nerves a nation's heart *Is itself a deed.*' *The poems are all, of course, intended to exalt the greater glory of the British empire. The poet G. Flavell Hayward wrote in praise of 'Glory or death, for true hearts and brave Honour in life, or rest in a grave.'* The spirit of English 'fair play' was instilled in Newbolt's 'Play up! Play up! And play the game' and Kipling's odes to the White Man's Burden no doubt made the heathen feel suitably grateful for the stamp of the colonial jackboot. ('East is East and West is West *And never the twain shall meet*', I wrote bitterly after discovering the poem in college, 'Except of course when you lie crushed / Under the Briton's feet!')

In those pre-televisual days, popular fiction, too, helped the anxious English-educated reader imbibe the virtues of colonialism. Those redoubtable bestsellers by G. A. Henty, H. Rider Haggard, and Kipling himself told tales of imperial derring-do in which the intrepid Englishman always triumphed over the dark, untrustworthy savages. Kipling's notorious verse told the English (and the Americans who were conquering the Philippines) to 'Take up the White Man's Burden, Send forth the best ye breed / Go bind your sons to exile, to serve your captives' need', despite the ingratitude of the heathens they were ruling; the White Man had to bear his Burden despite 'his old reward: *the blame of those ye better*, The hate of those ye guard'. And he was to do this, in lines reeking of

hypocritical paternalism, for the needs of resentful ‘sullen peoples, Half-devil [*sic*] and half-child’. (A brilliant contemporary riposte, in verse, ‘The Brown Man’s Burden’, came from the Liberal MP and theatre impresario Henry Labouchère, which deserves to be better known. I have therefore reproduced it *in extenso* later in this chapter.)

The inclusion of an Indian character in the hugely popular children’s stories featuring Billy Bunter, a staple of boys’ pulp-magazine fiction in the first quarter of the twentieth century, creatively sought to inveigle the colonials into a narrative of complicity. The boy was, of course, an aristocrat, improbably named Hurree Jamset Ram Singh, his royal provenance compounded (like his illustrious compatriot Ranji) by his talent at cricket. Still, his English classmates knew him as ‘Inky’, and the illustrations always showed him several shades darker than them; and he was usually relegated to the margins of the Bunter stories, whose real heroes remained the English boys.

Salman Rushdie has written of the creation of a ‘false Orient of cruel-lipped princes and dusky slim-hipped maidens, of ungodliness, fire and the sword’, endorsing Edward Said’s conclusion in his path-breaking *Orientalism*, ‘that the purpose of such false portraits was to provide moral, cultural and artistic justification for imperialism and for its underpinning ideology, that of the racial superiority of the Caucasian over the Asiatic’. To Rushdie, such portrayals did not belong only to the imperial past; ‘the rise of Raj revisionism, exemplified by the huge success of these fictions, is the artistic counterpart to the rise of conservative ideologies in modern Britain’.

Despite the efforts of the Orientalists and their glamorous exoticizing of British imperialism, however, there was one problem: once an Indian was taught to read, study and understand, it was impossible to restrict where his mind might take him. William Howitt presciently observed in 1839 that ‘it is impossible to make the English language the vernacular tongue, without at the same time producing the most astonishing moral revolution which ever yet was witnessed on the earth. English ideas, English tastes, English literature and religion, must follow as a matter of course...’ And, of course, though he did not mention it, English political ideas too. By 1908, the notorious Empire apologist J. D. Rees was complaining that ‘in our schools pupils imbibe sedition with their daily lessons: they are fed with Rousseau, Macaulay, and the works of philosophers,

which even in Oxford tend to pervert the minds of students to socialistic and impractical dreams, and in India work with far greater force upon the naturally metaphysical minds of youths, generally quick to learn by rote, for the most part penniless, and thus rendered incapable of earning their living, except by taking service of a clerical character under rulers, whom they denounce as oppressors unless they receive a salary at their hands. The malcontents created by this system have neither respect for, nor fear of, the Indian Government. Nor is this surprising, for the literature upon which they are brought up in our schools is fulfilled with destructive criticism of any system of Government founded upon authority...’ Rees urged the British government in India to ‘follow Lord Curzon’s courageous lead in refusing to subsidise the manufacture of half-baked Bachelors of Arts and full-fledged agitators. It is too late, I suppose, to go back upon the decision in favour of the Anglicists, but is there any particular reason why Herbert Spencer, for instance, should be given in the Indian system so prominent a place? Is there any need to fill Indian students with philosophy, the study of which, even in Oxford, induces a regrettable tendency towards vain speculative dreams and socialistic sophistries?’

By the late nineteenth century, English education had indeed created a class of Anglophone Indians well-versed in the literature, philosophy and political ideas of the British; but, as we have seen, when they began to clamour for rights, and access to positions that they believed their education had qualified them for, they met with stubborn resistance.

There were always, of course, those who argued that the real obstacle was Indian attitudes, especially those relating to caste, since the prospect of students from various castes mingling in classrooms filled Indian traditionalists with horror. On this argument—that castes would not mingle in schools—Durant points out that they already did mingle indiscriminately ‘in railway coaches, tramcars and factories’ and that ‘the best way to conquer caste would have been through schools’. But the British chose to shelter behind imagined objections from the traditionalists, because it suited them not to have to spend more on education.

Still, there were memorable exceptions. The pioneering Dalit reformer Jyotiba Phule, born in a ‘lower’ caste of gardeners and florists, became an inspiring example of how a student could study in an English school with Brahmin and

other high-caste friends, energize and invigorate his intellect with literature from around the world, and build on that to transform his society. Mahatma Phule, as many called him, not only became a pioneer of Dalit empowerment and women's education but also a voice for global movements and ideas of equality. He dedicated his book *Gulamgiri* ('Slavery', 1873) to the 'good people of the United States' for having abolished slavery. A few decades later, Dr B. R. Ambedkar followed in his footsteps, though after an Indian schooling he did all his higher education abroad, in both Britain and America.

It has been argued that the British were not selective, and at least theoretically favoured the education of all castes and not just the upper castes, whereas India's own leaders were divided on whether modern education should be extended to all. A bill for universal compulsory primary education was indeed tabled by the 'moderate' Congress leader Gopal Krishna Gokhale in the legislative council of the governor-general in 1911 and another by Vithalbhai Patel in the same body in 1916, but both were defeated by the votes of the British and government-appointed members. What is less known, however, is that the bills were also opposed by the likes of Mahatma Gandhi and Surendra Nath Banerjea, staunch nationalists both. Gandhiji wrote in *Hind Swaraj*: 'The ordinary meaning of education is knowledge of letters. To teach boys reading, writing and arithmetic is called primary education. A peasant earns his bread honestly. He has ordinary knowledge of the world. But he cannot write his own name. What do you propose to do by giving him a knowledge of letters? Will you add an inch to his happiness? It is not necessary to make this education compulsory. Our ancient school system is enough. We consider your modern school to be useless'.

Fortunately, on this issue, Gandhiji's somewhat eccentric views did not prevail. But perhaps his real objection was not to literacy and education as such, but to British education in particular. In 1937, when Congress ministries were elected in eight provinces and for the first time enjoyed control over education, Gandhi put forward a plan called the Wardha Scheme for Education, which envisaged seven years of basic education for rural children, including vocational training in village handicrafts. It was never fully implemented, but it would certainly have imparted the basics, including literacy in the mother tongue, mathematics, science, history, and physical culture and hygiene, in addition to crafts. It is difficult to argue against the proposition that the Wardha scheme

would have been a vast improvement on what little colonial education was available in rural India.

One of the consequences of a colonial education was, as we have seen with Nirad Chaudhuri, the colonization of the minds of Indians by the languages, models and intellectual systems brought into our lives by the West. In many ways Indians judged their societies according to Western intellectual or aesthetic standards (Ashis Nandy has written pointedly of how Third Worlders construct a 'non-West which is itself a construction of the West'). Colonialism misappropriated and reshaped the ways in which a subject people saw its history and even its cultural self-definition. Nationalists sought, in reaction, to contribute towards, and to help articulate and give expression to, the cultural identity of their society, but they did so coloured, inevitably, by the influence of their own colonial education. It was only after India had emerged into Independence, awaking from the incubus of colonialism, that Indians realized how much imperial rule had also, in many ways, fractured and distorted their cultural self-perceptions. This is changing gradually over the decades, as Indians understand that development will not occur without a reassertion of identity: that this is who they are, this is what they are proud of, this is what they want to be. The task of the Indian nationalist is to find new ways (and revive old ones) of expressing his culture, just as his society strives, with the end of colonialism, to find new ways of being and becoming.

By virtue not so much of British colonization, as of American twentieth-century dominance, English has become the language of globalization, the benefits of which are also accruing to India. But though the worldwide adoption of English has 'certainly facilitated more global exchanges and business transactions among English speakers everywhere', including India, as Adrian Lester observes, 'it [has] only served to heighten the exclusion of most non-English speaking subjects and women from access to the credit and political capital that flowed through Anglophone global networks'.

I am not suggesting that India's traditional forms of education, in Indian languages, could have met the challenge of making India literate and competitive with the rest of the world. It could, of course, have given India a basic competence and self-confidence that cultures like Japan which educate themselves in their national languages have, and the foundation to set up great



schools and colleges in the Nalanda mode; and an India that had grown and flourished without the ordeal of colonialism, could always have imported the best educationists, technological systems and English teachers from wherever they were, to create our own links with the globalized world. At least, without the British having expropriated our national wealth for two centuries, we would have had the resources to do so.

One of the regrettable consequences of British rule was how colonialism suffocated any prospect of a revival of India's traditional spirit of scientific enquiry, whether by neglect or design. The destruction of the textile and steel industries has already been discussed, but it is striking that a civilization that had invented the zero, that spawned Aryabhata (who anticipated Galileo, Copernicus and Kepler by several centuries, and with greater precision) and Susruta (the father of modern surgery) had so little to show by way of Indian scientific or technological innovation even under the supposedly benign and stable conditions of Pax Britannica. The mathematical genius Ramanujan had to travel to Cambridge to have his genius recognized, and though C. V. Raman won a Nobel Prize in Physics in 1930 and S. N. Bose should have (instead, the discovery of the particle named for him, the boson, won two others the 2013 Prize), and Bose's namesake and mentor, Jagadish Chandra Bose, blazed an astonishing path as physicist, biologist, biophysicist, botanist and archaeologist (as well as an early writer of science fiction), there was little else to celebrate by way of scientific accomplishment in the two centuries of British colonial rule. Strikingly, the British themselves flourished in these fields in the nineteenth and early twentieth centuries, while funding no great institutions in India, and neglecting the enormous potential of Indian minds to excel in science and technology. It would take a while for India to make any headway in science and technology given the ground the country had to make up in these areas. The lack of facilities at home led to an exodus of sorts; several Indians went on to excel in foreign institutions, three winning science Nobels under foreign flags, while the stunted or fledgling research institutions in India were still seeking to establish themselves as worthy homes for brilliant Indian minds. (There are signs, though, that scientific studies are improving, as the remarkable innovations in space and missile technology have shown; this owes nothing to the colonial period but is a product of independent India's own efforts.)

Still, I am conscious that there is something ironic about English-speaking Indians like myself attacking the British in English for having imparted their English education to Indians. Ironic, yes, but only up to a point. I had my English schooling in India, and I learned it without the shadow of the Englishman judging my prose. I delighted in the language on its own terms, as a pan-Indian language today, and not as a symbol of colonial oppression. In any case, most English-educated Indians, including myself, will not repudiate Shakespeare and P. G. Wodehouse: we must concede we couldn't have enjoyed their masterworks without the English language.

I am told by a British-Indian friend that in a passionate public debate in London in 2015 on the merits or otherwise of my Oxford views, more than one speaker sought to discredit me in my absence (I was in India) on the grounds that I was a known aficionado of Wodehouse and the English language, who had even revived St Stephen's College's Wodehouse Society, the first of its kind in the world, and still served as patron of the London-headquartered (global) Wodehouse Society. The implication was that one cannot denounce British colonialism and celebrate the doyen of English humorists at the same time.

My critics could not have been more wrong. Yes, some have seen in Wodehouse's popularity a lingering nostalgia for the Raj. Writing in 1988, the journalist Richard West thought India's Wodehouse devotees were those who hankered after the England of fifty years before (i.e. the 1930s): 'That was the age when the English loved and treasured their own language, when schoolchildren learned Shakespeare, Wordsworth and even Rudyard Kipling... It was Malcolm Muggeridge who remarked that the Indians are now the last Englishmen. That may be why they love such a quintessentially English writer.'

Those lines are, of course, somewhat more fatuous than anything Wodehouse himself could ever write. Wodehouse is loved by Indians who loathe Kipling and detest the Raj and all its works. Indeed, despite a brief stint in a Hong Kong bank, Wodehouse had no colonial connection himself, and the Raj is largely absent from his books. (There is only one notable exception I can recall, in a 1935 short story, 'The Juice of an Orange': 'Why is there unrest in India? Because its inhabitants eat only an occasional handful of rice. The day when Mahatma Gandhi sits down to a good juicy steak and follows it up with roly-poly pudding and a spot of Stilton, you will see the end of all this nonsense of

Civil Disobedience.’) But Indians saw that the comment was meant to elicit laughter, not agreement.

(Mahatma Gandhi himself was up to some humorous mischief when, in 1947, far from sitting down to steak, he dined with the king’s cousin and the last viceroy, Lord Mountbatten, and offered him a bowl of home-made goat’s curd—perhaps from the same goat he took to England when he went to see the king in a loincloth! I reinvented the moment in my satirical *The Great Indian Novel*, only substituting a mango for the curd.)

If anything, Wodehouse was one British writer whom Indian nationalists could admire without fear of political incorrectness. Saroj Mukherji, née Katju, the daughter of a prominent Indian nationalist politician, remembers introducing Lord Mountbatten to the works of Wodehouse in 1948; it was typical that the symbol of the British empire had not read the ‘quintessentially English’ Wodehouse but that the Indian freedom fighter had.

Indeed, it is precisely the lack of politics in Wodehouse’s writing, or indeed of any other social or philosophic content, that made what Waugh called his ‘idyllic world’ so free of the trappings of Englishness, quintessential or otherwise. Whereas other English novelists burdened their readers with the specificities of their characters’ lives and circumstances, Wodehouse’s existed in a never-never land that was almost as unreal to his English readers as to his Indian ones. Indian readers were able to enjoy Wodehouse free of the anxiety of allegiance; for all its droll particularities, the world he created, from London’s Drones Club to the village of Matcham Scratchings, was a world of the imagination, to which Indians required no visa.

But they did need a passport, and that was the English language. English was undoubtedly Britain’s most valuable and abiding legacy to India, and educated Indians, a famously polyglot people, rapidly learned and delighted in it—both for itself, and as a means to various ends. These ends were both political (for Indians turned the language of the imperialists into the language of nationalism) and pleasurable (for the language granted access to a wider world of ideas and entertainments). It was only natural that Indians would enjoy a writer who used language as Wodehouse did—playing with its rich storehouse of classical precedents, mockingly subverting the very canons colonialism had taught Indians they were supposed to venerate (in a country ruled for the better part of

two centuries by the dispensable siblings of the British nobility, one could savour lines like these: ‘Unlike the male codfish which, suddenly finding itself the parent of three million five hundred thousand little codfish, cheerfully resolves to love them all, the British aristocracy is apt to look with a somewhat jaundiced eye on its younger sons.’)

I am grateful, in other words, for the joys the English language has imparted to me, but not for the exploitation, distortion and deracination that accompanied its acquisition by my countrymen.

## *Tea Without Sympathy*

Something similar can probably be said about those two great British colonial legacies (now that we have discredited democracy, the ‘rule of law’ and the railways as credible British claims): tea and cricket. Both, I freely confess, are addictions of mine, a personal tribute to the legacy of colonialism.

In an address to a joint session of the US Congress in 1985, the late Indian Prime Minister Rajiv Gandhi recalled, with a twinkle in his eye, the great affinities between the American Revolution and the Indian colonial experience. Cornwallis, after surrendering at Yorktown, triumphed in Bengal. And then, Gandhi added mischievously, ‘Indian tea stimulated your revolutionary zeal’.

He got a good laugh for the allusion to the Boston Tea Party. But he was wrong. In 1773, there was no Indian tea, at least none that was properly cultivated and traded. Tea was a Chinese monopoly, and the taxed tea the colonists tossed into Boston Bay came from Amoy, not Assam. Perhaps if it had been Indian tea, the American revolutionaries might have thought of a less wasteful method of protest.

It was the British who established Indian tea as a cultivated commodity. The story is interesting, and once again commercial motives came into play. The British ruled India but not China: rather than spending good money on the Chinese, they reasoned, why not grow tea in India? Their desire to end their dependence on Chinese tea led the British to invent agricultural espionage, as a secret agent, improbably enough named Robert Fortune, slipped into China in the early 1840s, during the chaos and confusion of the Opium War years, to procure tea plants for transplantation in the Indian Himalayas. But most of the thousands of specimens he sent to British India died, and the East India Company directors were left scratching their collective heads. The solution came by accident—when a wandering Briton discovered an Indian strain of tea growing wild in Assam, tested it in boiling water, tasted the results and realized he had struck gold: he had made tea.

That gave the British their own tea industry in India. Assam tea proved superior to the Chinese imports and more palatable to the British housewife. In the 1830s, the East India Company traded about 31.5 million lbs. (14 million kilos) of Chinese tea a year; today India alone produces nearly 300 million kilos.

But even tea was not exempt from colonial exploitation: the workers laboured in appalling conditions for a pittance, while all the profits, of course, went to British firms. Early in the twentieth century, the remarkable anti-imperialist Sir Walter Strickland wrote bitterly in the preface to his now-out-of-print volume *The Black Spot in the East*: ‘Let the English who read this at home reflect that, when they sip their deleterious decoctions of tannin...they too are, in their degree, devourers of human flesh and blood. It is not the tea alone, but the impoverished blood of the slaves, devoid of its red seeds of life and vigour, that they are drinking.’

The British grew tea in India for themselves, not for the locals: the light, fragrant Darjeeling, the robust Assam, the heady Nilgiris tea, all reflected the soil, climate and geography of the respective parts of India for which they were named, but they were grown by Scottish planters (and picked by woefully underpaid Indian labourers) to be shipped to the mother country, where demand was strong. A modest quantity was retained for sale to the British in India; Indians themselves did not drink the tea they produced. It was only during the Great Depression of the 1930s—when demand in Britain dropped and British traders had to unload their stocks—that they thought of selling their produce to the Indians they’d ignored for a century. The Indian masses turned to tea with delight, and the taste for it spread throughout the Depression and the War years. Today, tea can be found in the remotest Indian village, and Indians drink more black tea than the rest of the world combined.

Full credit, then, to the British. And this time it is difficult to argue that one could have had extensive tea cultivation and a vast market for the product without colonization: certainly Indians hadn’t ever done it before the British. Even the name is a colonial legacy. The word ‘tea’, common to most European languages, is from the dialect of Amoy, from where much of Britain’s tea was shipped; but those who got their tea from Canton, like the Portuguese, and overland, like the Indians and the Arabs, call it by the Cantonese word ‘cha’. Almost every Indian language uses a variant of ‘cha’, including ‘chai’ and ‘chaya’; it is only the Anglophone Indians who speak of ‘tea’.

But before I end this section on tea, a small digression. Even as they gave us tea, the British were destroying something else. The British ruthlessly exploited the land for profit, while ruining it and decimating the wildlife it sheltered. The

destruction of Indian forests and wildlife occurred at a galloping pace under colonialism. The forests were destroyed for three main reasons: to convert the land into commercial plantations, especially to grow tea; to make railway sleepers; and to export timber to England for the construction of English houses and furniture.

The British cut down the forests of the Nilgiris and Assam to grow tea, and ravaged the forests of Coorg to grow coffee. Tea was not the only villain in the ecological devastation of the Nilgiris; the British also brought in several exotic species like eucalyptus, pine and wattle to produce viscose, which was sent to the UK to be made into fabric. Unfortunately, plants like eucalyptus thirstily drink up the ground water; thanks to their plantations, the British converted the once lush tropical rainforests of the Nilgiris into a water-shortage area.

The same phenomenon occurred when the British forced Indian farmers to grow poppy in order to extract opium, which involved cutting down vast areas of forests in some parts of north India. In Assam, for instance, by the mid-nineteenth century, large numbers of trees were chopped down since the opium poppy could not ripen and flower in their shade. This practice of slashing trees to protect the poppy indirectly almost wiped out some of India's most magnificent predators. The British wanted more land to be used for commercial crops, which would bring them revenue, so they put a bounty on the head of each predator, successfully erasing tigers, cheetahs, leopards and lions from vast parts of India. The tiger and leopard survived, albeit in reduced numbers, because they hid in the jungle. But the lion needed vast open spaces and could not survive—except in the one corner of the country, in Gujarat, where an Indian prince, the Nawab of Junagadh, maintained a private lion sanctuary where hunting was permitted for his invitees only. This saved the Asiatic Lion to some extent—but this majestic animal, of whom several thousand flourished before the British came to India, was down to fewer than a hundred when the Empire ended.

By destroying the forests, the British also broke the spirit of the aboriginal people or 'tribals' who lived in and utilized the natural resources of the forests. Unfortunately, their ownership of forest lands was traditional rather than documented; since they could not claim ownership in a form the British recognized, they were dispossessed and displaced, and attempts to maintain their hunter-gatherer lifestyle resulted in them being treated as poachers and therefore

criminals.

Meanwhile, the British elevated the killing of wild animals into a high-status sport, one for the whites and the privileged Indian elite, and an activity whose glamour was enhanced by the access it provided the latter into British ruling circles (rather like polo might do today). Hunting in the British period became a monster sport; countless numbers of animals were killed, irretrievably transforming the ecology of many areas. For example, Madras was once called Puliur, which means the town of tigers and leopards (the Tamil word ‘puli’ is used for both tiger and leopard). The British killed every tiger and leopard in this area, so that not even one was left in Madras or any of the plains of Tamil Nadu. The term Puliur has lost its meaning, and is now largely forgotten.

Puliur may no longer have tigers, which are hanging on precariously elsewhere in the subcontinent, but the British still drink Indian tea. In more ways than one: Tata, the Indian business conglomerate, now owns Tetley, the venerable British tea firm. So perhaps, in the ubiquitous references to ‘chai’ everywhere in the country, and in the milky, sweetened cups of tea that Indians thrust on every visitor, it is we who have appropriated this colonial legacy and made it our own.

The story gets a little more complicated. Tea, like other commodities, has been suffering a decline in prices, and exports are dwindling; many tea plantations, faced with rising wages and collapsing profits, are threatening to close down. The most expensive Indian tea, Castleton, was sold for over 6,000 rupees a kilo in 1991 (\$231 at the then-prevailing exchange rate); the buyers were Japanese. The new record was set in 2012, when the price hit 7,200 rupees a kilo (but that meant it was down to \$120 as the rupee had weakened) Castleton is the champagne of teas: other Indian teas do not fare a fraction as well. Internationally, Indian tea is competing for export markets with inferior teas from such unlikely sources as Argentina, Kenya and Malawi. But then again—if Argentina could grow tea without the British having colonized them first, couldn’t India have done so as well?

So when the first Indian prime minister who had served as a *chaiwallah* (helping his father sell tea at a railway station platform), Narendra Modi, addressed the US Congress in 2016, he sprinkled his speech with humour, but unlike his predecessor thirty-one years earlier, did not breathe a word about tea.



At a time when the world commodity markets are down and Indian tea producers are clamouring for relief, the Indian prime minister must have realized that tea is no longer a joking matter.

## *The Indian Game of Cricket*

Cricket is, of course, the only sport in the world that breaks for tea (and for many amateurs, tea is the highlight of the experience). I have often thought that cricket is really, in the sociologist Ashis Nandy's phrase, an Indian game accidentally discovered by the British. Everything about the sport seems suited to the Indian national character: its rich complexity, the infinite possibilities and variations possible with each delivery, the dozen different ways of getting out, are all rather like Indian classical music, in which the basic laws are laid down but the performer then improvises gloriously, unshackled by anything so mundane as a written score. The glorious uncertainties of the game echo ancient Indian thought: Indian fatalists instinctively understand that it is precisely when you are seeing the ball well and timing your fours off the sweet of the bat that the unplayable shooter can come along and bowl you. It is almost, as has also been observed, a pastime in which the *Bhagavad Gita* is performed in the guise of a Victorian English morality play.

A country where a majority of the population still consults astrologers and believes in the capricious influence of the planets can well appreciate a sport in which an ill-timed cloudburst, a badly-prepared pitch, a lost toss of a coin or the sun in the eyes of a fielder can transform the outcome of a game. Even the possibility that five tense, exciting, hotly-contested and occasionally meandering days of cricket can still end in a draw seems derived from Indian philosophy, which accepts profoundly that in life the journey is as important as the destination.

Cricket first came to India with decorous English gentlemen idly pursuing their leisure; it took nearly a century for the 'natives' to learn the sport, and then they played it in most un-English ways. I remember being taken by my father to my first ever Test match, in Bombay in late 1963, when a weak English side was touring. I shall never forget the exhilaration of watching India's opening batsman and wicketkeeper, Budhi Kunderan, smite a huge six over midwicket, follow it soon after with another blow that just failed to carry across the rope, and then sky a big shot in a gigantic loop over mid-on. As it spiralled upwards Kunderan began running; when the ball was caught by an English fielder, he hurled his bat in the air, continued running, caught it as it came down, and ran

into the pavilion. I was hooked for life.

India has always had its Kunderans, but it has also had its meticulous grafters, its plodders, its anarchists and its stoics: a society which recognizes that all sorts of people have their place recognizes the value of variety in its cricket team as well. Cricket reflects and transcends India's diversity: the Indian team has been led by captains from each of its major faiths, Hindus, Muslims, Parsis, Christians and a colourful Sikh. A land divided by caste, creed, colour, culture, cuisine, custom and costume is united by a great conviction: cricket.

Yes, the British brought it to us. But they did not do so in the expectation that we would defeat them one day at their own game, or that our film-makers would win an Oscar nomination for an improbable tale about a motley bunch of illiterate villagers besting their colonial overlords at a fictional nineteenth-century match (*Lagaan*, 2003). Sport played an important role in British imperialism, since it combined Victorian ideas of muscular Christianity, a cult of youthful vigour and derring-do in far-off lands, and the implicit mission of bringing order and civilization to the unruly East through the imposition of rules learned on the playing fields of Eton. If Empire was a field of play, then to the colonized learning the rules and trying to defeat the masters at their own game became an inevitable expression of national feeling. Scholars have demonstrated that one of the reasons why cricket acquired such a hold in Bengal society between 1880 and 1947 was as a way to discharge the allegation of effeminacy against the Bengali male by beating the English at their own game. The educated middle class of Bengal, the *bhadralok*, joined the maharajas of Natore, Cooch Behar, Mymensingh and other native states to make cricket a part of Bengali social life as a means of attaining recognition from their colonial masters. At the same time, the British, who saw cricket as a useful tool of the Raj's civilizing mission, promoted the sport in educational institutions of the province. In a somewhat different way, Parsi cricketers in Bombay undertook the sport for the purpose of social mobility within the colonial framework. The maharajas, the affluent classes and Anglicized Indians, Ashis Nandy points out, 'saw cricket as an identifier of social status and as a means of access to the power elite of the Raj. Even the fact that cricket was an expensive game by Indian standards strengthened these connections'.

Curiously, this pattern was replicated across the country, not just in the British

presidencies but also in the princely states, many of which produced not inconsiderable teams, well financed by the native rulers. Some of these gentlemen played the sport themselves at a significant level of accomplishment; one, K. S. Ranjitsinhji (universally known as ‘Ranji’, and enviously as ‘Run-get-sin-ji’), was selected to play for England against Australia in 1895, and scored a century on debut, which made him the hero of the Indian public. It is fascinating how Ranji, like Oscar Wilde and Benjamin Disraeli, became an English hero without being quite English enough himself. (‘He never played a Christian stroke in his life,’ as one English admirer disbelievingly put it.) Ranji described himself as ‘an English cricketer and an Indian prince,’ but as Buruma observes: ‘As an English cricketer he behaved like an Indian prince, and as an Indian prince like an English cricketer.’

Ranji—cricketing genius, reckless spendthrift, shameless Anglophile—was an extraordinary amalgam of the virtues and defects of both gentleman and prince. His nephew, K. S. Duleepsinhji, and another prince, the Nawab of Pataudi, both emulated Ranji in 1930 and 1933 respectively, though by then Indians were beginning to ask why they had taken their talents to the other side instead of playing for the fledgling Indian Test team. (Pataudi did, in 1946, but by then he was past his prime.)

When Indians became good enough at cricket to win the occasional game, the British took care to divide them, organizing a ‘Quadrangular Tournament’ that pitted teams of Hindus, Muslims, Parsis and ‘the Rest’ against each other, so that even on the field of play, Indians would be reminded of the differences among them so assiduously promoted by colonial rule.

The sociologist Richard Cashman notes that Indian nationalism was less radical, in a cultural sense, than Irish nationalism. In Ireland, the nationalists and Home Rule agitators attacked cricket and other English sports as objectionable elements of colonial culture, and patronized ‘Gaelic sports’ instead. Indian nationalist leaders, on the other hand, ‘attacked the political and economic aspects of British imperialism but retained an affection for some aspects of English culture’. While traditional Indian sports like kabaddi languished in the colonial era, and polo was revived as a sport mainly for the British and a very narrow segment of the Indian aristocracy, cricket was seen as a sport where Indians could hold their own against the English. (This may explain why Ireland

still has a very modest cricket team that is yet to earn ‘Test’ status, whereas India in the twenty-first century is one of the giants of the world game.)

That cricket was connected with the nationalist movement in Bengal of the 1910s is evident from the sporting history of Presidency College, the principal English-language institution of higher learning for Indians in Calcutta, where sports such as gymnastics and cricket were made compulsory to develop (as we have noted a little earlier) Bengali boys physically in reaction to British colonial stereotypes of ‘manly’ Britons and effeminate Bengalis. When the nationalist resistance in Bengal was gathering momentum, Presidency College lost a cricket match in 1914 to an all-European team of La Martinière College, an unabashedly colonial institution whose students were divided into ‘Houses’ named for the likes of Charnock and Macaulay. This caused much breast-beating and self-flagellation. The players of the team were publicly criticized: ‘the big defeat of the college team by La Martinière College cannot be forgiven’, declared the Presidency College magazine.<sup>13</sup>

[13 Of course, my football-crazy son Kanishk assures me that the single greatest moment of Indian sporting triumph against the British in the colonial period is to be found in football, not cricket: the Mohun Bagan team that defeated the East Yorkshire Regiment to win the IFA shield in 1911, barefoot!]

‘The contention that emulation of the colonizers is the key to explaining the origins of Indian cricket,’ writes a scholar, ‘fails to successfully account for the flowering of the game in Bengal.’ So cricket too had nationalist overtones, and while one must concede that the British imparted it to us, today we can more than hold our own with them, and anyone else playing that sport.

## **THE (IM) BALANCE SHEET**

## A CODA

As I prepare to wind up my arguments, I'd like to touch on aspects of them, in brief, in this chapter. Before I do so I'd like to make it clear that it is not my intention to discredit every single thing the British did in India. As with all human enterprises, colonialism too brought positives as well as negatives. Not every British official in India was as rapacious as Clive, as ignorantly contemptuous as Macaulay, as arrogantly divisive as Curzon, as cruel as Dyer, or as racist as Churchill. There were good men who rose above the prejudices of their age to treat Indians with compassion, curiosity and respect; humane judges, conscientious officials, visionary viceroys and governors, Britons who genuinely befriended Indians across the colour barrier; and throughout the Raj there were men who devoted their lives to serve in India—to serve their country and its colonial institutions, it must be said, but also to help ordinary people lead better lives in the process. Their good works are still remembered by the Indians whose lives they changed. Sir Arthur Cotton, for instance, built a dam across the Godavari that irrigated over 1.5 million acres of previously arid land in south India, and is celebrated to this day with some three thousand statues installed by grateful farming communities in those two Andhra Pradesh districts, with even chief ministers participating in his birthday memorials. All these figures did exist; but they alleviated, rather than justified, the monstrous crime that allowed them to exist, the crime of subjugating a people under the oppressive heel of the 'stout British boot'.

Few still claim, as Lord Curzon did, that 'the British empire is under Providence the greatest instrument for good that the world has seen'; having written (or so he declared, without the slightest suggestion of irony) 'the most unselfish page in history... We found strife and we have created order.' He added that Britain had ruled India 'for the lasting benefit of millions of the human race'.

Few claim, I said, but some do. There are still Empire apologists like Ferguson and the lesser-known but surprisingly successful Lawrence James, who portrays the imperial undertaking as (to quote his literary agency) 'an exercise in benign autocracy and an experiment in altruism'. It seems preposterous that anyone today could possibly believe the twaddle that by spreading the benign

blessings of free trade like so much confetti, introducing Western notions of governance by gunboat and sowing altruistic seeds of technological progress, the British empire genuinely ruled the benighted heathen in his own interest, but there are still nostalgics willing to make such an argument to the gullible, and they must be refuted, as I have tried to do throughout the book.

### *Imperial Pretensions, Colonial Consequences*

Recent years have seen the rise of what the scholar Paul Gilroy called ‘postcolonial melancholia’, the yearning for the glories of Empire, reflected in such delights as a burger called the Old Colonial, a London bar named The Plantation, and an Oxford cocktail (issued during the debate on reparations in which I spoke) named Colonial Comeback. A 2014 YouGov poll revealed that 59 per cent of respondents thought the British empire was ‘something to be proud of’, and only 19 per cent were ‘ashamed’ of its misdeeds; almost half the respondents also felt that the countries ‘were better off’ for having been colonized. An astonishing 34 per cent opined that ‘they would like it if Britain still had an empire’.

Niall Ferguson, for instance, argues that Britain’s empire promoted ‘the optimal allocation of labour, capital and goods in the world...no organisation in history has done more to promote the free movement of goods, capital and labour than the British empire in the nineteenth and early twentieth centuries. And no organization has done more to impose Western norms of law, order and governance around the world. For much (though certainly not all) of its history, the British Empire acted as an agency for relatively incorrupt government. Prima facie, there therefore seems a plausible case that Empire enhanced global welfare—in other words, [that it] was a Good Thing.’

This ‘Good Thing’ was so proclaimed at the height of globalization at the dawn of the twenty-first century, when it suited Ferguson to portray the British empire as the pioneer of this much-vaunted global economic phenomenon, its conquests dressed up as overseas investment and its rapacity as free trade—the very elements that contemporary globalizers were claiming would raise everyone’s levels of prosperity. Such an argument is, of course, highly contestable, since the ‘optimal allocation’ of resources that Ferguson celebrates meant, to its colonial victims, landlessness, unemployment, illiteracy, poverty,



disease, transportation and servitude. The British proclaimed the virtues of free trade while destroying the free trade Indians had carried on for centuries, if not millennia, by both land and sea. Free trade, of course, suited the British as a slogan, since they were the best equipped to profit from it in the nineteenth century, and their guns and laws could always stifle what little competition the indigenes could attempt to mount. A globalization of equals could well have been worth celebrating, but the globalization of Empire was conducted by and above all for the colonizers, and not in the interests of the colonized.

Ferguson also suggests that, in the long run, the victims of British imperialism will prove to have been its beneficiaries, since the Empire laid the foundations for their eventual success in tomorrow's globalized world. But human beings do not live in the long run; they live, and suffer, in the here and now, and the process of colonial rule in India meant economic exploitation and ruin to millions, the destruction of thriving industries, the systematic denial of opportunities to compete, the elimination of indigenous institutions of governance, the transformation of lifestyles and patterns of living that had flourished since time immemorial, and the obliteration of the most precious possessions of the colonized, their identities and their self-respect.

In this the likes of Ferguson are, ironically, following no less a predecessor than Karl Marx:

Indian society has no history at all, at least no known history. What we call its history is but the history of the successive intruders who founded their empires on the passive basis of that unresisting and unchanging society. The question, therefore, is not whether the English had a right to conquer India, but whether we are to prefer India conquered by the backward Turk, by the backward Persian, by the Russian, to India conquered by the Briton... England has to fulfil a double mission in India: one destructive, the other one regenerating the annihilation of old Asiatic society, and the laying of the foundations of Western society in Asia.

A more balanced account of imperial rule, broadly sympathetic to the British Raj but without glossing over its exploitative nature—while concluding that ‘whether all this has been for better or worse, is almost impossible to say’—may

be found in Denis Judd's short *The Lion and the Tiger*. Jon Wilson, in his recent *India Conquered*, is dismissive of most pretensions to grand imperial purpose, one way or the other. 'Its operation was driven instead by narrow interests and visceral passions,' he argues, 'most importantly the desire to maintain British sovereign institutions in India for its own sake.' In other words, Empire had no larger purpose than its own perpetuation. No wonder, then, that it did India little good.

Indians can never afford to forget the condition in which we found our country after two centuries of colonialism. We have seen how what had once been one of the richest and most industrialized economies of the world, which together with China accounted for almost 75 per cent of world industrial output in 1750, was transformed by the process of imperial rule into one of the poorest, most backward, illiterate and diseased societies on earth by the time of our independence in 1947. In 1600, when the East India Company was established, Britain was producing just 1.8 per cent of the world's GDP, while India was generating some 23 per cent. By 1940, after nearly two centuries of the Raj, Britain accounted for nearly 10 per cent of world GDP, while India had been reduced to a poor 'third-world' country, destitute and starving, a global poster child of poverty and famine. Ferguson admits that 'between 1757 and 1900 British per capita gross domestic product increased in real terms by 347 per cent, Indian by a mere 14 per cent'. Even that figure masks a steadily worsening performance by the Raj: from 1900 to 1947 the rate of growth of the Indian economy was below 1 per cent, while population grew steadily at well over 3.5 per cent, leavened only by high levels of infant and child mortality that shrank the net rate of population growth to the equivalent of economic growth, leaving a net growth rate near zero.

Freedom from Britain turned these numbers around for India. Net per capita income growth between 1900 and 1950 was nil (economic growth of 0.8 per cent minus net population growth at the same level,) but it rose to 1.3 per cent from 1950 to 1980 (growth rate of 3.5 per cent minus population growth of 2.2 per cent), to 3.5 per cent from 1981–90 and 4.4 per cent from 1991–2000, before attaining even higher levels in the following decade, twice crossing 9 per cent and averaging 7.8 per cent from 2001–10. Besides these, other key indices were also extraordinarily good after just under seven (at the time of writing)

decades of independence, compared to the twenty decades of British rule that had gone before.

The British left a society with 16 per cent literacy, a life expectancy of 27, practically no domestic industry and over 90 per cent living below what today we would call the poverty line. Today, the literacy rate is up at 72 per cent, average life expectancy is nearing the Biblical three score and ten, and 280 million people have been pulled out of poverty in the twenty-first century.

To take the simple example of electricity, one of the supposed blessings of imperial rule in India: Britain governed India for five decades after the arrival of the first electricity supplies in the 1890s. In those fifty years to independence in 1947, while all of Britain, along with the rest of Europe and America, was electrified, the Raj connected merely 1,500 of India's 640,000 villages to the electrical grid. After Independence, however, from 1947 to 1991, the Indian government brought electricity to roughly 320 times as many villages as British colonialism managed in a similar time span.

The reasons were obvious: the British colonial rulers had no interest in the well-being of the Indian people. India was what the scholars Acemoglu and Robinson call, in their path-breaking *Why Nations Fail*, an 'extractive colony'. Thanks to British imperialism, the organic development of the Indian state and its scientific, technological, industrial and civic institutions could not take place, as it did between the sixteenth and eighteenth centuries in Europe. Colonial exploitation happened instead.

The world was aware of this disgraceful imperial record for decades before the British ended their rule after an ignominious half-century in which India's per capita income showed no growth at all. The US statesman William Jennings Bryan quotes the editor of a Calcutta magazine, *Indian World*, as writing in 1906: 'When the English came to India, this country was the leader of Asiatic civilization and the undisputed centre of light in the Asiatic world. Japan was nowhere. Now, in fifty years, Japan has revolutionized her history with the aid of modern arts of progress, and India, with 150 years of English rule, is still condemned to tutelage.' Japan had achieved 90 per cent literacy in forty years after the Meiji Restoration, whereas India languished at 10 per cent after 150 years of British rule. Every other significant socio-economic indicator worked to India's detriment.

Instead of enriching the world, Jon Wilson argues, the British empire impoverished it. 'The empire was run on the cheap. Instead of investing in the development of the countries they ruled, the British survived by doing deals with indigenous elites to sustain their rule at knockdown prices... The feudal lords now massacring villagers in the Indian state of Bihar were created by British land policy.'

It is hard not to bristle at Lawrence James's celebration of this abject performance by the British Raj: 'In return for its moment of greatness on the world stage, the Raj had offered India regeneration on British terms. It had been the most perfect expression of what Britain took to be its duty to humanity as a whole. Its guiding ideals had sprung from the late-18th and early-19th-century Evangelical Enlightenment, which had dreamed of a world transformed for the better by Christianity and reason. The former made little headway in India, but the latter, in the form of Western education and the application of science, did.'

Did India, the land of the Vedas and the Upanishads, the country of the learned theological debates at Akbar's court, the home of the 'argumentative Indian', really need British colonialism in order to be 'regenerated' by 'reason'? The claim is breathtaking in its presumption. Taken together with Ferguson's argument that economic benefits flowed from imperial rule, these Raj apologists are guilty of what might be described as an intellectual Indian rope-trick: they have climbed up their own premises. As Professor Richard Porter asks: 'Why, for example, should one assume that eighteenth-century India could not have evolved its own economic path, with distributions of capital, labour and goods "optimal" in the eyes of its own elites, however different from the criteria of liberal western political economists?' Porter, citing the detailed work of historians and scholars, questions the perceptions of Indian 'backwardness' advanced by those who see modernity as a gift of the West.

It must not be forgotten, after all, that the India the British entered was a wealthy, thriving and commercializing society: that was why the East India Company was interested in it in the first place. The Portuguese explorer Vasco da Gama, who found his way around the Cape of Good Hope to Calicut (Kozhikode), rather breathlessly spoke to King Manuel I of Portugal of large cities, large buildings and rivers, and great and prosperous populations. He talked admiringly of spices and jewels, precious stones and 'mines of gold'. The

trinkets he offered were deemed unworthy gifts for the Indian monarch he offered them to, the Zamorin of Calicut; da Gama's goods were openly mocked and scorned by merchants and courtiers accustomed to far higher quality items.

Far from being backward or underdeveloped, as we have seen, precolonial India exported high quality manufactured goods much sought after by Britain's fashionable society. The British élite wore Indian linen and silks, decorated their homes with Indian chintz and decorative textiles, and craved Indian spices and seasonings. (Indeed, there are tales of British manufacturers in the seventeenth century trying to pass off their wares as 'Indian' to entice customers into buying their poorer quality British-made imitations.) The annual revenues of the Mughal Emperor Aurangzeb (1618–1707) were vast. Indeed, tax revenues aside, which I have mentioned earlier in the book, his total income at the time is said to have amounted to \$450,000,000, more than ten times that of (his contemporary) Louis XIV.

India's highly developed banking system and vigorous merchant capital, with its well-established network of agents, brokers and middlemen and a talent for financing exports and commercial credit, featured such sophisticated financial networks as that of the Jagat Seths, the Chettiars in the south and the Gujarati Baniyas in the west. This banking system was as large and extensive and dealt with as much money as the Bank of England.

This was the country impoverished by British conquest. The India that succumbed to British rule enjoyed an enormous financial surplus, deployed a skilled artisan class, exported high-quality goods in great global demand, disposed of plenty of arable land, had a thriving agricultural base, and supported some 100 to 150 million without either poverty or landlessness. All of this was destroyed by British rule. As Wilson points out: 'In 1750, Indians had a similar standard of living to people in Britain. Now, average Indian incomes are barely a tenth of the British level in terms of real purchasing power. It is no coincidence that 200 years of British rule occurred in the intervening time.'

As I have said more than once in the course of the book, there is no reason to believe that, left to itself, India could not have evolved into a more prosperous, united and modernizing power in the nineteenth and twentieth centuries. Many economists blame technological backwardness rather than British malice for India's economic failure under the Raj. But even if lack of technology was the

Indian economy's single biggest failing, an independent India could always have imported the technology it needed, as Japan, for instance, was to do. This the British refused to allow Indians to do till well into the twentieth century. A country that was quite willing, over the centuries, to import artists and historians from Persia, sculptors and architects from Central Asia and soldiers from East Africa, would have seen no reason not to import the trappings of modernity from Europe, from railways to industrial technology (just as China is doing today).

India's civilizational impulse throughout history was towards greatness, punctuated undoubtedly by setbacks and conflicts, but which country has been exempt from those? Trade, not conquest, could also have changed India. Something like the Meiji Restoration could have easily taken place in India without the incubus of British rule. It is at least as plausible to argue that India would have modernized, using best practices borrowed (and paid for) from everywhere and adapted to its needs, as to claim that it needed the subjection and humiliation of Empire to reach where it has now begun to.

Joseph Conrad, no radical himself, described colonialism as 'a flabby, pretending, weak-eyed devil of a rapacious and pitiless folly'. As he wrote in 1902, 'The conquest of the earth, which mostly means the taking it away from those who have a different complexion or slightly flatter noses than ourselves, is not a pretty thing when you look into it too much.' Rabindranath Tagore put it gently to a Western audience in New York in 1930: 'A great portion of the world suffers from your civilisation.' Mahatma Gandhi was blunter: asked what he thought of Western civilization, he replied, 'It would be a good idea'.

'The question,' Niall Ferguson writes in his defence of Empire, 'is not whether British imperialism was without blemish. It was not. The question is whether there could have been a less bloody path to modernity'. As we have seen from the sanguinary record of massacres and brutality by the Raj laid out in the previous chapters, the answer to his question could only be yes. Gurcharan Das, who is inclined to give the British the benefit of the doubt, also does not see deliberate malice in their policy, but his review of the reasons for the industrial failure of British India amount in fact to a devastating summary of what British colonial rule had done to the economy: The industrial revolution did not occur because [first], Indian agriculture remained stagnant, and you cannot have an industrial revolution without an agricultural surplus or the means to feed a

rapidly growing urban population; second, the international trading environment turned hostile with protectionism after World War I, followed by the Depression; third, the colonial government did not educate the masses, unlike the Japanese state; finally, a colonial mindset pervaded the Indian middle class—even the hardiest potential entrepreneur lacks confidence when he is politically enslaved.

In other words, British colonial agrarian policy, its education policy in India and its racist subjugation of Indians contribute three of Das's four major reasons for India's backwardness in the period in question; and the fourth, the Great War and its consequences, only affected India as much it did because India was a British possession.

It could be argued that the great crime of the British can be understood in a more neutral way. Critics, this argument runs, muddle the idea of the West in the colonial period, because we conflate two very separate strands that are constitutive of this idea: the first consists of modern state machinery (armies, censuses, bureaucracies, railroads, hospitals, telegraph lines, educational and scientific institutions and so on) and the second is of liberal norms (individual rights; freedom of thought, speech, artistic and political expression; equality under the law; and political democracy). One does not axiomatically go with the other. (Look, after all, at China today, where the former flourishes without the latter.) What separates the British from precolonial Indian rulers, then, is not that they were more rapacious or more amoral, but simply that they were more efficient in making a state, while remaining indifferent, or insincere, about imparting their liberal values. But Britain was also the embodiment of the Enlightenment tradition of liberalism, and we judge the 'state' they created harshly on this basis. Is this a valid argument, then, since it obviously cannot be applied on its own terms to the Marathas, the Indian principalities or even the collapsing late Mughal state the British encountered? Who was holding the Maratha Peshwas to the standards of Mill and Pitt?

This is an interesting argument, but not, ultimately, a persuasive one. For the British state in India was indeed, as I have demonstrated, a totally amoral, rapacious imperialist machine bent on the subjugation of Indians for the purpose of profit, not merely a neutrally efficient system indifferent to human rights. And its subjugation resulted in the expropriation of Indian wealth to Britain, draining the society of the resources that would normally have propelled its natural

growth and economic development. Yes, there may have been famines and epidemics in precolonial India, but Indians were acquiring the means to cope with them better, which they were unable to do under British rule, because the British had reduced them to poverty and destroyed their sources of sustenance other than living unsustainably on the land—in addition to which Victorian Britain’s ideological opposition to ‘indiscriminate’ charity denied many millions of Indians the relief that would have saved their lives.

It may seem frivolous to confine my appreciation of British rule to cricket, tea and the English language. I do not mean to discount other accomplishments. In outlining the exploitation and looting of India by British commercial interests, for example, I should acknowledge that in the process the British gave India the joint stock company, long experience of commercial processes and international trade, and Asia’s oldest stock exchange, established in Bombay in 1875. Indians’ familiarity with international commerce and the stock market has proved a distinct advantage in the globalized world; India’s entrepreneurial capital and management skills are well able to control and manage assets in the sophisticated financial markets of the developed West today, as Tatas have demonstrated in Britain by making Jaguar profitable for the first time in years, and India’s businessmen and managers are familiar with the systems needed to operate a twenty-first-century economy in an open and globalizing world.

And yet one must qualify this rosy notion—that it is thanks to British colonization that India is busy overrunning the planet with skilled, experienced and English-speaking businessmen straining at the leash to take over the world economy. The fact is that the initial Indian reaction to colonial commercial exploitation was, understandably, the opposite—not imitation but rejection. The fight for freedom from colonial rule involved the overthrow of both foreign rulers and foreign capitalists (though few nationalists could tell the difference). Thanks to colonialism, the great leaders of Indian nationalism associated capitalism with slavery: the fact that the East India Company had come to trade and stayed on to rule made our nationalist leaders suspicious of every foreigner with a briefcase, seeing him as the thin end of a neo-imperial wedge.

So instead of integrating India into the global capitalist system, as a few postcolonial countries like Singapore so effectively were to do, India’s leaders were convinced that the political independence they had fought for could only be



guaranteed through economic independence. That is why self-reliance became the default slogan, the protectionist barriers went up, and India spent forty-five years with bureaucrats rather than businessmen on the ‘commanding heights’ of the economy, spending a good part of the first four and a half decades after Independence in subsidizing unproductivity, regulating stagnation and trying to distribute poverty. One cannot blame the British for the choices Indians themselves made in reaction to British rule, but it only goes to prove that one of the lessons you learn from history is that history sometimes teaches the wrong lessons. Our current economic growth and global visibility is a result of new choices made after the initial visceral rejection of British colonialism and its methods.

If there were positive by-products for Indians from the institutions the British established and ran in India in their own interests, I am happy to acknowledge them, but only as by-products, and not because they were intended to benefit Indians. The railways were set up entirely for British gain, from construction to execution, but today Indians cannot live without them; the Indian authorities have reversed British policies and the railways are used principally to transport people, with freight bearing ever higher charges in order to subsidize the passengers (exactly the opposite of British practice). Similarly the irrigation works conducted by the British were criticized for their inadequacy by Indian nationalists—since expenditure on them was barely one-ninth that on the railways—and William Jennings Bryan, the American statesman, pointed out that, ‘Ten per cent of the army expenditure applied to irrigation would complete the system within five years, but instead of military expenses being reduced, the army appropriation was increased.’ However, irrigation still added some twenty million acres, an area the size of France, to the country’s cultivable land (almost all of it, alas, in Pakistan today). It would be idle to pretend that no good came of any of this. But when the balance sheet is drawn up, at the end, the balance weighs heavily against the colonialists.

The Indian Army is sometimes cited as a valuable British legacy, a professional fighting force held together by strong traditions of camaraderie and courage, which has remained a meritocracy and stayed out of politics. How much of the credit for this last accomplishment should go to the British is debatable: after all, the Pakistan Army is as much an inheritor of the same

colonial legacy, but it has conducted three coups, as well holding the reins firmly even when elected governments are in the saddle. The essential point is, of course, that the Indian Army was not created in India's interests, but in those of Britain, both here and abroad. The Indian soldier was merely an obedient instrument: the Indian sepoy was described by a contemporary as 'temperate, respectful, patient, subordinate, and faithful'. This quiescence ended with the 1857 revolt, but the British managed to restore discipline and the British Indian Army rebuilt itself on notions of fidelity and honour for the next ninety years.

Then the British tore it apart through Partition. The poignant tale is told of Hindu and Muslim officers singing 'Auld Lang Syne' together at the army mess in Delhi at a farewell dinner for those who were leaving for the new country of Pakistan. For many of those officers, years of comradeship were irretrievably lost in the name of a faith they had been born into and a political cause they had not chosen.

A largely uncritical, indeed romanticized, account of the British Indian Army, and how a few thousand British troops held down a subcontinent of 200 million people, comes from Philip Mason, who quotes a Victorian administrator: 'Our force does not operate so much by its actual strength as by the impression which it produces'.

That today's Indian Army, a million strong, has held on to the best of British military traditions while eschewing the temptations to which its Pakistani and Bangladeshi counterparts have fallen prey, is surely more to the credit of its own officers and men, as well as of the inclusive and pluralist nature of Indian democracy.

Some point to physical evidence of the British presence—buildings, ports, trains and institutions—as evidence of a lasting contribution. The fact is the British put in the minimum amount of investment to optimize their exploitation of Indian wealth, while keeping the indigenous population from rebelling. Some of these things were basic to any society; most were created to benefit the British, whether in India or in the UK. Niall Ferguson argues that the British built 'useful' things—opulent palaces for themselves and ships to transport indentured labour, no doubt, are good examples of these—while Indians wasted their resources on 'conspicuous consumption'. Making exportable muslin? Setting global metallurgical standards with its wootz steel? Building magnificent

cities and temples? Or perhaps Ferguson thinks the Taj Mahal was a colossal and conspicuous waste?

The story is told—I cannot pinpoint the source—that when the Prince of Wales, the future Edward VIII, visited India in 1921, he pointed to a few magnificent buildings, cars and electrical installations and remarked to an Indian accompanying him, ‘We have given you everything here in India! What is it you don’t have?’ And the lowly Indian replied, gently: ‘Self-respect, sir.’

That too was snatched away by colonialism: the self-respect that comes from the knowledge that you are the master of your own fate, that your problems are your own fault and that their resolution depends principally on you and not some distant person living in a faraway land. The biggest difference that freedom has made lies in this, in the establishment of democratic rights and a shared idea of empowered citizenship, in which every citizen or sub-national group can promote their own rights and ensure their voices are heard. This was always withheld from Indians by the colonial subjecthood that was all the British were willing to confer upon them.

## *The Moral Barrier*

Jawaharlal Nehru once described British India as being like an enormous country house in which the English were the gentry living in the best parts, with the Indians in the servants' hall: 'As in every proper country house there was a fixed hierarchy in the lower regions—butler, housekeeper, cook, valet, maid, footman, etc.—and strict precedence was observed among them. But between the upper and lower regions of the house there was, socially and politically, an impassable barrier.'

The barrier was not merely social or racial: it was also a moral barrier, one of motive and interest. One claim that cannot be credibly made is that the British authorities ever, in any instance, put the interests of the Indian public above their own, or placed the needs of single suffering Indian woman above the commercial profit-seeking that had engendered her pain. There are simply no examples of this, while myriad instances tell of the opposite. Take, for example, the British policy on the cultivation and sale of opium. In China, the British desire to reduce its people to a drugged stupor in the pursuit of profit even led to a pair of Opium Wars; in India it merely became one more form of exploitation of the masses.

The East India Company ensured that both growing opium and selling it were to be British government monopolies. The facts were laid out in an 1838 account:

Throughout all the territories within the Company's jurisdiction, the cultivation of the poppy, the preparation of the drug, and the traffic in it, [...] are under a strict monopoly...the growing of opium is compulsory on the part of the ryot. Advances are made by Government through its native servants, and if a ryot refuses the advance, 'the simple plan of throwing the rupees into his house is adopted; should he attempt to abscond, the peons seize him, tie the advance up in his clothes, and push him into his house. The business being now settled, and there being no remedy, he applies himself, as he may, to the fulfilment of his contract...' <sup>14</sup> The evils which the cultivation of opium entails upon our fellow-subjects in India, arise partly from the ryots in the opium districts of Patna and Benares being

compelled to give up fixed portions of their lands for the production of the poppy.

[14 The quotes within the quotation are, says the 1838 author, William Howitt, taken from an article on the 'Cultivation of the Poppy,' in the Chinese Repository of February 1837.]

This went on well after the Chinese had thrown off the opium yoke. An 1895 Royal Commission set up in response to public outrage glossed over the horrors of opium and claimed the public's fears and concerns were exaggerated. (Sir Richard Temple of famine fame, now retired, defended the opium policy before the Commission.) In 1930, Durant found 7,000 opium shops in India, every single one of them British-government owned, and conducting their business over the protests of every Indian nationalist organization and social service group. Some 400,000 acres of fertile land were given over to opium cultivation; these could have produced food for malnourished Indians. When the elected Indian members of the impotent Central Legislature got their colleagues to pass a bill in 1921 prohibiting the growth or sale of opium in India, the government vetoed it by the simple expedient of refusing to act upon it, mindful, no doubt, of the fact that one-ninth of the government's annual revenues came from drugs. When Mahatma Gandhi, no less, mounted a campaign against opium in Assam and succeeded in halving its consumption, the British responded by jailing him and forty-four of his satyagrahis.

Various World Opium Conferences were held to demand the abolition of this pernicious drug, but Britain refused to accede to their exhortations; in order to appease global outrage, it agreed to reduce its export of opium by 10 per cent a year, but not to restrict or dilute its production and sale in India. (Indeed, a Government Retrenchment Commission, examining economy measures, underscored 'the importance of safe-guarding opium sales as an important source of revenue', and recommended 'no further reduction'.) The result was that opium became the drug of choice of the masses, used recklessly by those who knew no better; mothers gave opium to their children to keep them quiet when they trudged off to construction sites to labour for their daily pittance.

Should the British policy on opium be excused as reflecting the attitudes of their times? Is it wrong to condemn it from the vantage point of today? No: the British were roundly condemned during their execution of their opium policy by every contemporary Indian nationalist grouping, by dozens of foreign delegates

at international conferences, and by thoughtful foreign observers and reporters like the indignant Will Durant. Ironically, the most effective broadside against opium came from none other than Lord Macaulay himself, in an 1833 speech to the House of Commons: [It was] the practice of the miserable tyrants whom we found in India, [...] when they dreaded the capacity and the spirit of some distinguished subject...to administer to him daily [a] dose of...a preparation of opium, the effect of which was in a few months to destroy all the bodily and the mental powers of the wretch who was drugged with it, and turn him into a helpless idiot. That detestable artifice, more horrible than assassination itself, was worthy of those who employed it... It is no model for the English nation. We shall never consent to administer [opium] to a whole community, to stupefy and paralyze a great people.

Little did he realize that, for more than a century after he spoke, his own British government would give the lie to his words, for what he inveighed against is exactly what it did.

The British government's refusal to halt the sale of opium was of a piece, of course, with its official disinclination to take any steps to reform Indian society, even while its policies transformed and distorted it beyond measure. It justified this as being out of respect for native customs and traditions, but its main consideration was, of course, that reform would cost money and stir up trouble, which in turn would require the expenditure of money and time to redress. As a result British rule witnessed the entrenching of the caste system, the domination of the Muslim community by preachers and conservative religious figures, the persistence of child marriage and untouchability, and a host of other social evils within India which the British preferred to keep at arm's length rather than risk disturbing. The British interfered with social customs only when it suited them. The gap between liberal principles of universalism and the actual colonial practice of justice and governance was vast.

Such reform as did occur was strongly impelled by Indian social reformers whom the British acceded to, rather than initiated by the British themselves (with the exception of the suppression of Thuggee, which the British undertook to solve a law-and-order problem rather than a religious one). The call for the abolition of sati (widow immolation) was initiated by Raja Rammohan Roy and enacted by Bentinck, knowing he had the support of right-thinking Indians,

rather than being the product of the British conscience imposing its will on the barbarous native. The modest increase in the age of marriage (to fourteen for women and eighteen for men) that took place under the British Raj was voted by the Indians in the legislature against the opposition, but later acquiescence, of the British authorities. And the persecution of widows, the worst practices of untouchability, and social evils like ritual sacrifice, were first raised and campaigned against by Indian reformists like Ishwar Chandra Vidyasagar, the Brahmo Samaj and the Arya Samaj; these evils were all continuing unhampered under the indifferent gaze of the British. Three impressive women presided over the Indian National Congress during an era in which not a single governor, secretary or other British high official was female and the very notion of a female authority figure, let alone a female viceroy, would have been a fantasy. The British, as the government of the day, had the right to permit changes to be enacted and implemented, but very rarely did they initiate them themselves.

Lawrence James brags, 'Unlike Stalin's Russia, the British empire was always an open society.' The comparator is amusing for a stalwart defender of the Raj, but we shall let that pass. For whom was the British empire an open society? Not for non-whites, as we have seen; not for women of any race; not, indeed, for Indians.

For, as I have pointed out repeatedly, behind everything lay one inescapable fact: unlike every previous conqueror of India (not counting transient raiders like Mahmud of Ghazni, Timur and Nadir Shah), unlike every other foreign overlord who stayed on to rule, the British had no intention of becoming one with the land. The French ruled foreign territories and made them French, assimilating them in a narrative of Frenchness; the Portuguese settled in their colonies and intermarried with the locals; but the British always stayed apart and aloof, a foreign presence, with foreign interests and foreign loyalties.

The Delhi Sultans and the Mughals may have arrived from abroad, and their progenitors might initially have harked back to distant cities in the Ferghana Valley as their idea of 'home', but they settled in India and retained no extraterritorial allegiance. They married women from India and diluted their foreign blood to the point that in a few generations no trace remained of their foreign ethnicity. Akbar's son Jehangir was half-Rajput; Jehangir's son Shah Jehan also came from an Indian bride; Aurangzeb was only one-eighth non-

Indian. Of course, the Mughal emperors were all deeply aware of their connections to Ferghana; they would ask emissaries from there about the conditions of their ancestors' Chingisid tombs and donate money for their upkeep. The past was part of the Mughal identity, but their conceptions of themselves in the present and for the future became more rooted and embedded in India. The British, in contrast, maintained racial exclusivity, practised discrimination against Indians and sneered at miscegenation.

Yes, the Mughal emperors taxed the citizens of India, they claimed tributes from subordinate princes, they plundered the treasuries of those they defeated in battle—all like the British—but they spent or saved what they had earned in India, instead of 'repatriating' it to Samarkand or Bukhara as the British did by sending their Indian revenues to London. They ploughed the resources of India into the development of India, establishing and patronizing its industries and handicrafts; they brought painters, sculptors and architects from foreign lands, but they absorbed them at their courts and encouraged them to adorn the artistic and cultural heritage of their new land.

The British did little, very little, of such things. They basked in the Indian sun and yearned for their cold and fog-ridden homeland; they sent the money they had taken off the perspiring brow of the Indian worker to England; and whatever little they did for India, they ensured India paid for it in excess. And at the end of it all, they went home to enjoy their retirements in damp little cottages with Indian names, their alien rest cushioned by generous pensions provided by Indian taxpayers.

The question never honestly confronted by the apologists of Empire is the classic '*cui bono*'—who benefited from British imperial rule? The answer is evidently Britain itself.<sup>15</sup> Let's look at the numbers one last time, widening the lens a little. A fascinating comparative chart of countries' share of global GDP throughout history is instructive. In 1 CE, as Christianity lay literally in swaddling clothes, India accounted for 33 per cent of global GDP, while the UK, France and Germany combined scored barely 3 per cent. By 1700, the equivalent figures were 25 per cent and 11 per cent; by 1870, at Empire's peak, 12.5 per cent for India and 22 per cent for the three European countries; in 1913, with India's further impoverishment, 9 per cent versus 22.5 per cent. In 1950, just after the British left, India stood at 4 per cent; in 2008, this figure



was above 7 per cent and climbing. The UK, France and Germany, having dropped to 16 per cent in 1950, are hovering at 9 per cent today. As of 2014 Britain accounted for 2.4 per cent of global GDP, down from 6 per cent twenty-five years ago. History administers its own correctives.

[15 Just as this book was going to press, a new work has emerged that makes much the same case: Jon Wilson, *India Conquered: Britain's Raj and the Chaos of Empire*, London: Simon & Schuster, 2016.]

This is the reality that Raj apologists seek to put lipstick on. As one reviewer of Ferguson's pro-imperialist screed put it: 'Ferguson's "history" is a fairy tale for our times which puts the white man and his burden back at the centre of heroic action. Colonialism—a tale of slavery, plunder, war, corruption, land-grabbing, famines, exploitation, indentured labour, impoverishment, massacres, genocide and forced resettlement—is rewritten into a benign developmental mission marred by a few unfortunate accidents and excesses.'

When Kipling wrote his racist poem, *The White Man's Burden*, as I have noted, a contemporary, Henry Labouchère, published an immediate rejoinder, *The Brown Man's Burden*, that encapsulated much of what was wrong with imperialism—British, or anybody else's (the Americans were just launching into their conquest of the Philippines). It is worth reproducing extensively, though not quite in full: *Pile on the brown man's burden*

*To gratify your greed;  
Go, clear away the 'niggers'  
Who progress would impede;  
Be very stern, for truly*

*'Tis useless to be mild  
With new-caught, sullen peoples,  
Half devil and half child.*

*Pile on the brown man's burden;  
And, if ye rouse his hate,  
Meet his old-fashioned reasons  
With Maxims up to date.  
With shells and dum-dum bullets  
A hundred times made plain  
The brown man's loss must ever*

*Imply the white man's gain.*

*Pile on the brown man's burden,  
compel him to be free;*

*Let all your manifestoes*

*Reek with philanthropy.*

*And if with heathen folly*

*He dares your will dispute,*

*Then, in the name of freedom,*

*Don't hesitate to shoot.*

*Pile on the brown man's burden,*

*Nor do not deem it hard*

*If you should earn the rancour*

*Of those ye yearn to guard.*

*The screaming of your*

*Eagle Will drown the victim's sob—*

*Go on through fire and slaughter.*

*There's dollars in the job.*

*Pile on the brown man's burden,*

*And through the world proclaim*

*That ye are Freedom's agent—*

*There's no more paying game!*

*And, should your own past history*

*Straight in your teeth be thrown,*

*Retort that independence*

*Is good for whites alone.*

*Pile on the brown man's burden,*

*With equity have done;*

*Weak, antiquated scruples*

*Their squeamish course have run,*

*And, though 'tis freedom's banner*

*You're waving in the van,*

*Reserve for home consumption*

*The sacred 'rights of man'!*

*And if by chance ye falter,  
Or lag along the course,  
If, as the blood flows freely,  
Ye feel some slight remorse,  
Hie ye to Rudyard Kipling,  
Imperialism's prop,  
And bid him, for your comfort,  
Turn on his jingo stop.*

The fact that, despite all these wrongs and injustices, Indians readily forgave the British when they left, retaining with them a 'special connection' that often manifests itself in warmth and affection, says more about India than it does about any supposed benefits of the British Raj.

There is a story—perhaps apocryphal—of Jawaharlal Nehru, who had cumulatively spent 3,262 days (nearly ten years of his life) in eight terms of imprisonment between 1922 and 1945 in British jails, being asked by the arch-imperialist Winston Churchill how it was that he felt so little rancour for his jailers and tormentors. 'I was taught by a great man,' Nehru was said to have replied, in a reference to the recently assassinated Mahatma Gandhi, 'never to hate—and never to fear.'

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## CHAPTER 2: DID THE BRITISH GIVE INDIA POLITICAL UNITY?

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## CHAPTER 3: DEMOCRACY, THE PRESS, THE PARLIAMENTARY SYSTEM AND THE RULE OF LAW

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Thomas Babington Macaulay, *Miscellaneous Writings and Speeches—Volume 4*, Project Gutenberg, 2008. [www.gutenberg.org/files/2170/2170-h/2170-h.htm](http://www.gutenberg.org/files/2170/2170-h/2170-h.htm).

**British interfered with social customs only when it suited them:** See, for example, the impassioned appeals by anti-slavery campaigners for the British government to put an end to certain traditional practices of servitude, which were of course completely ignored by Company officialdom: Wilson Anti-Slavery Collection, *A Brief View of Slavery in British India*, 1841, Manchester: The University of Manchester, John Rylands University Library. URL: [www.jstor.org/stable/60228274](http://www.jstor.org/stable/60228274).

**‘Unlike Stalin’s Russia, the British empire’:** Lawrence James, *The Making and Unmaking of British India*, New York: St. Martin’s Press, 2000; also published as *Raj: The Making and Unmaking of British India*, London: Little, Brown & Co., 1997.

**For whom was the British empire an open society?:** See the essays in Philippa Levine, ed., *Gender and Empire*, Oxford History of the British Empire Companion Series, Oxford University Press, 2004.

**Let’s look at the numbers one last time, widening the lens a little:** See <https://infogr.am/Share-of-world-GDP-throughout-history>.

**As of 2014 Britain accounted for 2.4 per cent of global GDP:**

[www.quandl.com/collections/economics/gdp-as-share-of-world-gdp-at-pp-by-country](http://www.quandl.com/collections/economics/gdp-as-share-of-world-gdp-at-pp-by-country).

**‘Ferguson’s “history” is a fairy tale for our times’:** Priyamvada Gopal, ‘The story peddled by imperial apologists is a poisonous fairytale’, *The Guardian*, 28 June 2006.

**Henry Labouchère, published an immediate rejoinder:** Henry Labouchère, ‘The Brown Man’s Burden’ was first published in the London magazine, *Truth*, edited by Labouchère, in February 1899.

## CHAPTER 8: THE MESSY AFTERLIFE OF COLONIALISM

**A 1997 Gallup Poll in Britain revealed:** Stuart Ward, ed., *British Culture and the End of Empire* (Manchester, 2001), 28, 128, cited in Richard Price, 'One Big Thing: Britain, Its Empire, and Their Imperial Culture', *Journal of British Studies*, Vol. 45, No. 3, July 2006, pp. 602–627. Published by: Cambridge University Press on behalf of The North American Conference on British Studies [www.jstor.org/stable/10.1086/503593](http://www.jstor.org/stable/10.1086/503593).

**'wholly unprecedented in creating a global hierarchy':** Pankaj Mishra, *From the Ruins of Empire. The Revolt against the West and the Remaking of Asia*, London: Allen Lane, 2012, p. 42.

**'the memory of European imperialism remains a live political factor':** Mark Mazower, 'From the Ruins of Empire', *Financial Times*, 27 July 2012.

**he sees in Empire cause for much that is good:** Ferguson, *Empire*, p xxv.

**Without the spread of British rule around the planet:** Ibid, p. 358.

**The East India Company has collapsed, but globalization:** Philip Pomper, 'The History and Theory of Empires', *History and Theory*, Vol. 44, No. 4, December 2005, pp. 1–27, published by Wiley for Wesleyan University. [www.jstor.org/stable/3590855](http://www.jstor.org/stable/3590855).

**the liberal-capitalist 'rise of Asia' of which India is a contemporary epitome:** Mishra, *From the Ruins of Empire*, p 42 et seq.

**'[T]he British empire was essentially a Hitlerian project on a grand scale':** Richard Gott, 'White wash' (book review of *Ornamentalism: How the British saw their Empire* by David Cannadine), *The Guardian*, 5 May 2001.

**if looted Nazi-era art can be (and now is being) returned to their rightful owners:** See the discussion in Erin Johnson, 'If we return Nazi-looted art, the same goes for empire-looted,' Aeon. [www.aeon.co/ideas/if-we-return-nazi-looted-art-the-same-goes-for-empire-looted?utm\\_source=twitter&utm\\_medium=oupphilosophy&utm\\_campaign=oupphilosophy](http://www.aeon.co/ideas/if-we-return-nazi-looted-art-the-same-goes-for-empire-looted?utm_source=twitter&utm_medium=oupphilosophy&utm_campaign=oupphilosophy)

**'if a strong man were to throw four stones':** 'The Koh-i-noor diamond is in Britain illegally. But it should still stay there', *The Guardian*, 16 February 2016.

**Part of the legacy of colonialism is the worldwide impact of the methods:**

For a searching political analysis of the Empire and its continuing implications, see two books by John Darwin, *The Empire Project*, London: Penguin, 2010; and *Unfinished Empire: The Global Expansion of Britain*, London: Allen Lane, 2013.

**the resultant militarization of Pakistan:** Husain Haqqani, *India vs Pakistan: Why Can't we Just Be Friends?* (New Delhi: Juggernaut Books, 2016).

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